

Reply to the Attention of Leandra Delgado  
Direct Line 416.865.7004  
Email Address Leandra.delgado@mcmillan.ca  
Date April 18, 2017

**DELIVERED**

Norma Ibbetson  
Canadian Lawyers Liability Assurance Society  
Office of the General Manager  
Suite 510, 36 Toronto Street  
Toronto, ON M5C 2C5

Dear Norma:

**Re: 2017 CLLAS Renewal Application**

Please find attached McMillan's 2017 CLLAS renewal application package. We trust we have completed all required information and provided all necessary supporting documentation.

Should you require anything further, please do not hesitate to contact me.

Yours truly,



Leandra Delgado

Attach.





Canadian Lawyers Liability Assurance Society

2017/2018 Renewal Application for  
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

**Note:** *The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer **ALL** questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): McMillan LLP
2. Address of principal office: Brookfield Place, Suite 4400, Bay Wellington Tower  
181 Bay Street, Toronto ON M5J 2T3  
Phone: ( 416 ) 865-7000 Fax: ( 416 ) 865-7048
3. Address, phone and fax numbers of other office(s):  
See attached Exhibit 1
4. Management or service companies, date(s) established and services provided:  
See attached Exhibit 2
5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no  
If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

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6. Since the most recent CLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

No

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes    ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2017:

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

|  | <u>This Year</u>      | <u>Last Year</u>      |
|--|-----------------------|-----------------------|
| a) Corporate and Commercial Law            | 33.67 %               | 31.94 %               |
| b) Criminal Law                            | 0.0 %                 | 0.0 %                 |
| c) Family Law                              | 0.0 %                 | 0.0 %                 |
| d) Intellectual Property                   | 0.0 %                 | 0.0 %                 |
| e) Labour Law                              | incl. in litigation % | incl. in litigation % |
| f) Litigation                              | 21.91 %               | 23.68 %               |
| g) Real Estate                             | 10.04 %               | 9.64 %                |
| h) Securities Law                          | 15.40 %               | 14.60 %               |
| i) Tax Matters                             | 4.81 %                | 4.07 %                |
| j) Wills, Estates, Trust                   | 0.0 %                 | 0.00 %                |
| k) Other (please specify)                  | 14.17 %               | 16.07 %               |
| Regulatory, Misc. Students, Administration |                       |                       |

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

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11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2016. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **However, updates thus reported are not considered official notice of claim to CLLAS.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes    ☐ no

If "yes", please indicate preferred limit option:

- |  |   |
|--|---|
| <input type="checkbox"/> \$10M xs \$160M | <input type="checkbox"/> \$20M xs \$160M            |
| <input type="checkbox"/> \$30M xs \$160M | <input type="checkbox"/> \$40M xs \$160M            |
| <input type="checkbox"/> \$50M xs \$160M | <input checked="" type="checkbox"/> \$60M xs \$160M |

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach as Appendix I copy of the Firm's 2017 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: \_\_\_\_\_



(Must be signed by a Partner of the Firm)

Name of Signatory: Daniel V. MacDonald, General Counsel/Chief Risk Officer

(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: April 13, 2017

**EXHIBIT "1"**  
**OFFICES OF McMILLAN LLP**

McMillan LLP  
2700-1000 Sherbrooke St. W.  
Montreal, QC H3A 3G4  
Phone: (514) 987-5000  
Fax: (514) 987-1213

McMillan LLP  
Suite 2000, 45 O'Connor Street  
Ottawa, ON K1P 1A4  
Phone: (613) 232-7171  
Fax: (613) 231-3191

McMillan LLP  
Brookfield Place, Suite 4400  
Bay Wellington Tower  
181 Bay Street  
Toronto, ON M5J 2T3  
Phone: (416) 865-7000  
Fax: (416) 865-7048

McMillan LLP  
TD Canada Trust Tower  
421 7th Avenue S.W.  
Suite 1700  
Calgary, ALB T2P 4K9  
Phone: (403) 531-4700  
Fax: (403) 531-4720

McMillan LLP  
1500 Royal Centre  
1055 West Georgia St.  
Vancouver, BC V6E 4N7  
Phone: (604) 689-9111  
Fax: (604) 685-7084

McMillan LLP  
3502 Tower 2, Lippo Centre  
89 Queensway  
Hong Kong  
Phone: (852) 3101-0213  
Fax: (852) 3585-1233



April 11, 2017

**Exhibit "2"**  
**McMillan LLP**  
**Corporate and Service Entities**  
**April 11, 2017**

| <b>Name of Entity</b>  | <b>Date Established</b> | <b>Service Provided</b>   |
|--|-------------------------|---|
| 6390064 Canada Inc.  | May 10, 2005            | General partner of MServices LP   |
| 6390676 Canada Inc.  | May 11, 2005            | General partner of 7686943 Canada LP  |
| MB Holdings Corporation  | December 20, 2002       | Holds Toronto office lease (other than for the prior Lang space)                        |
| MB Services Corporation  | February 1, 1991        | Former service corporation that holds some old fixed assets                             |
| MServices Limited Partnership (formerly MB Services Limited Partnership) | December 10, 1998       | Administrative services entity for McMillan LLP   |
| McMillan Alberta Holdings Inc.   | March 27, 2009          | Holds lease for Calgary office  |
| Menrose Management Services Inc.   | February 1, 1987        | Holds lease for Montreal office; former administrative services entity for McMillan LLP |
| MPowered Structured Solutions Inc.                                       | August 25, 2006         | Inactive  |



| Name of Entity                       | Date Established  | Service Provided  |
|--------------------------------------|-------------------|---|
| Lang Michener                        | January 14, 2011  | Partnership between 7686943 Canada Limited Partnership and 7686960 Canada Inc.  |
| LML&S Services Inc.                  | June 27, 1990     | Acts as B.C. Attorney for Service for extra-provincially registered companies, as well as escrow agent in commercial transactions |
| Double India Holding Company Limited | April 28, 1993    | Holds the Vancouver office lease  |
| 1055 Corporate Services Ltd.         | March 4, 2004     | Acts as Incorporator (and first shareholder) for BC companies when expedited incorporation required                               |
| 7686943 Canada Inc.                  | October 27, 2010  | Ottawa tenant   |
| 7686960 Canada Inc.                  | October 27, 2010  | Holds a nominal interest in Lang Michener on behalf of McMillan LLP   |
| 7686943 Canada Limited Partnership   | November 1, 2010  | Holds substantially all of McMillan's interest in Lang Michener   |
| Lang Michener Management Corp.       | February 1, 1994  | Former administrative services entity for Lang Michener   |
| LM Toronto Leaseholds Inc.           | December 21, 1990 | Held the Toronto office lease (for prior Lang space)  |
| LMCFW Management Corp.               | April 1, 1987     | Former Ottawa office tenant   |
| McMillan Holdco Inc.                 | November 3, 2016  | General Partner of McMillan Vantage Holdings LP   |
| McMillan Vantage Holdings LP         | November 14, 2016 | Holds 50% interest in McMillan Vantage Policy Group LP  |

April 11, 2017

| <b>Name of Entity</b>            | <b>Date Established</b>                          | <b>Service Provided</b>                                   |
|----------------------------------|--|---|
| McMillan GR Inc.                 | November 3, 2016                                 | General Partner of McMillan Vantage Policy Group LP       |
| McMillan Vantage Policy Group LP | November 14, 2016                                | Services include government relations and communications  |
| Prefix Legal LLP                 | October 1, 2016<br>(registered October 25, 2016) | Carries on the practice of law separate from McMillan LLP |

## APPENDIX A

### PREDECESSOR FIRMS

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Name of Firm: McMillan LLP

|  | <u>Merger Date</u> |
|--|--------------------|
| McMillan Binch   |                    |
| McMillan Binch LLP   |                    |
| Mendelsohn s.e.n.c.  | May 1, 2005        |
| McMillan Binch Mendelsohn LLP  |                    |
| Thackray Burgess Professional Corporation (TB) *   | May 1, 2009        |
| Blanie & Company (predecessor firm of TB)  |                    |
| Evans Higa Burgess (predecessor firm of TB)  |                    |
| Lang Michener LLP  | January 1, 2011    |
| Lang Michener (changed to LLP in October 2003)   |                    |
| Lang Michener Lawrence & Shaw<br>(Lang Michener's former name in Toronto,<br>Mississauga and Western Canada) |                    |
| Lang Michener Honeywell Wotherspoon<br>(Lang Michener's former name in Ottawa)                               |                    |
| Lang Michener Lash Johnston<br>Smith, Shaver   |                    |
| Honeywell, Wotherspoon (Ottawa)  | April 1, 1990      |
| Lawrence & Shaw (Vancouver)  | June 7, 1989       |
| Lash, Johnston   | 1986               |
| Lang Michener Cranston Farquharson & Wright  |                    |
| Robertson Ward Suderman (Toronto)  | May 1, 1990        |

- \* While Thackray Burgess did not formally merged with McMillan LLP, for the purpose of insurance, CLLAS considered this as a merger and recognized Thackray Burgess as a predecessor firm. McMillan offered employment to certain former Thackray Burgess lawyers and certain other lawyers from that firm became partners at McMillan.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

APPENDIX B  
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2017

Name of Firm: McMillan LLP

|   | <u>CANADA</u> |                |                |               |  | <u>OUTSIDE OF CANADA</u> <sup>/5</sup> |  |
|---|---------------|----------------|----------------|---------------|--|--|--|
|   | <u>B.C.</u>   | <u>Alberta</u> | <u>Ontario</u> | <u>Quebec</u> | <u>Other Provinces</u><br>(Please specify) | <u>U.S.</u>                            | <u>Other Hong Kong</u><br><u>Locations</u> |
| a) No. of Lawyers <sup>/1</sup>   | 33            | 9              | 117            | 29            | 0  |  | 2  |
| b) No. of Patent & Trademark Agents <sup>/2</sup>   | 0             | 0              | 2              | 1             | 0  |  |  |
| c) No. of Non-lawyer Consultants <sup>/3</sup>  | 3             | 0              | 1              | 0             | 0  |  | 1  |
| d) No. of Paralegals  | 21            | 3              | 28             | 11            | 0  |  |  |
| e) No. of Other Employees   | 68            | 17             | 246            | 36            | 0  |  |  |
| f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup> | 29            | 8              | 36             | 1             | 0  |  |  |

<sup>/1</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

<sup>/2</sup> These are not lawyers.

<sup>/3</sup> Please complete Appendix C if individuals are reported under this category.

<sup>/4</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>/5</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

*Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.*

*Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.*

*If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.*

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

## Lawyers (As of March 2017)

| Employee Name (Last Suffix, First MI) | Job Title      | NOG                    | Work Location | Year of Call | Date Became Partner | Date Joined Firm |
|---------------------------------------|----------------|------------------------|---------------|--------------|---------------------|------------------|
| Adamson, Calie (Alice) E.             | Associate      | Advocacy & Employment  | Toronto       | 2012         |                     | 5/9/2011         |
| Adler, Marc D.                        | Counsel        | Financial Services     | Vancouver     | 2011         |                     | 6/1/2015         |
| Allen, Mervyn D.                      | Counsel        | Commercial Real Estate | Toronto       | 1999         |                     | 6/27/2016        |
| Allison, Mitchell                     | Associate      | Commercial Real Estate | Calgary       | 2014         |                     | 5/7/2012         |
| Ammerman, Darcy                       | Associate      | Financial Services     | Ottawa        | 2011         |                     | 5/4/2009         |
| Annbale, Jason J.                     | Equity Partner | Advocacy & Employment  | Toronto       | 2002         | 01/01/2016          | 1/5/2009         |
| Antenore, Robert                      | Equity Partner | Commercial Real Estate | Toronto       | 2000         | 01/01/2015          | 5/9/2005         |
| Armstrong, Tayleigh                   | Associate      | Financial Services     | Toronto       | 2015         |                     | 5/6/2013         |
| Badali, Gerald                        | Counsel        | Financial Services     | Toronto       | 1983         |                     | 4/17/2000        |
| Bains, Ravipal S.                     | Associate      | Capital Markets & M&A  | Vancouver     | 2016         |                     | 5/5/2014         |
| Banfai, Geza                          | Counsel        | Advocacy & Employment  | Toronto       | 1978         |                     | 2/17/2014        |
| Bathgate, Benjamin                    | Equity Partner | Advocacy & Employment  | Toronto       | 2005         | 01/01/2015          | 4/9/2007         |
| Beaudin, Patrice                      | Counsel        | Financial Services     | Montreal      | 1991         | 01/01/2014          | 2/1/2005         |
| Beaudrie, Gerald                      | Equity Partner | Business Law           | Toronto       | 2006         | 01/01/2017          | 5/2/2005         |
| Betts, Douglas                        | Counsel        | Capital Markets & M&A  | Hong Kong     | 1978         |                     | 6/1/2015         |
| Bird, Keith D.                        | Equity Partner | Regulatory             | Toronto       | 1999         | 01/01/2015          | 6/30/1997        |
| Boothoo, Michelle                     | Associate      | Financial Services     | Toronto       | 2014         |                     | 5/14/2012        |
| Boshyk, Paul                          | Associate      | Advocacy & Employment  | Toronto       | 2012         |                     | 5/9/2011         |
| Brandreth, Sean                       | Associate      | Business Law           | Toronto       | 2013         |                     | 5/9/2011         |
| Brazil, Laura                         | Associate      | Advocacy & Employment  | Toronto       | 2011         |                     | 3/3/2014         |
| Brown-Okruhlik, Stephen               | Associate      | Advocacy & Employment  | Toronto       | 2014         |                     | 5/14/2012        |
| Burke, Claron                         | Associate      | Commercial Real Estate | Toronto       | 2014         |                     | 5/14/2012        |
| Burns, Michael A.                     | Counsel        | Capital Markets & M&A  | Toronto       | 1992         | 03/13/2017          | 1/1/2002         |
| Catimel-Marchand, Émile               | Associate      | Restructuring          | Montreal      | 2016         |                     | 1/5/2015         |
| Chad, Joshua                          | Associate      | Regulatory             | Toronto       | 2013         |                     | 5/9/2011         |
| Chan, Sze Pui Florence                | Associate      | Regulatory             | Toronto       | 2016         |                     | 7/4/2016         |
| Chertin, Jason Alexander              | Counsel        | Capital Markets & M&A  | Toronto       | 2001         |                     | 4/23/2007        |
| Chisholm, Adam                        | Principal      | Advocacy & Employment  | Toronto       | 2009         |                     | 5/7/2007         |
| Collins Hoffman, Pierre-Christian     | Associate      | Commercial Real Estate | Montreal      | 2013         |                     | 5/14/2012        |
| Collins, Paul                         | Equity Partner | Capital Markets & M&A  | Toronto       | 1986         | 01/01/2015          | 7/4/1984         |
| Conlin, Charlotte E.                  | Counsel        | Capital Markets & M&A  | Toronto       | 1997         | 08/31/2015          | 5/12/2014        |
| Cooper, Rachel                        | Counsel        | Advocacy & Employment  | Toronto       | 2005         |                     | 8/5/2014         |
| Coughlin, Sean K.                     | Associate      | Commercial Real Estate | Toronto       | 2016         |                     | 5/5/2014         |
| Cowan, David                          | Equity Partner | Capital Markets & M&A  | Vancouver     | 1980         | 01/01/2015          | 2/2/2003         |
| Cullen, Timothy                       | Associate      | Advocacy & Employment  | Ottawa        | 2015         |                     | 5/6/2013         |
| Cuthill, Natalie                      | Associate      | Advocacy & Employment  | Vancouver     | 2016         |                     | 5/5/2014         |
| Daechsel, Kip                         | Counsel        | Business Law           | Toronto       | 1984         |                     | 3/24/2014        |
| Davis, Paul                           | Equity Partner | Capital Markets & M&A  | Toronto       | 1988         | 01/01/2016          | 3/8/2010         |
| Debenham, David B.                    | Counsel        | Advocacy & Employment  | Ottawa        | 1988         | 01/01/2014          | 11/1/2004        |
| Dewan, Rajeev                         | Counsel        | Capital Markets & M&A  | Toronto       | 1999         |                     | 7/11/2016        |
| Di Francesco, Stefanie                | Associate      | Advocacy & Employment  | Toronto       | 2014         |                     | 5/14/2012        |
| D'Silva, Devina                       | Associate      | Business Law           | Toronto       | 2015         |                     | 5/6/2013         |
| Dubé, Georges                         | Counsel        | Capital Markets & M&A  | Toronto       | 1994         |                     | 6/6/2016         |
| Dudkiewicz, David                     | Associate      | Commercial Real Estate | Toronto       | 2011         |                     | 11/21/2016       |
| Dufort, Teresa M.                     | Equity Partner | Advocacy & Employment  | Toronto       | 1984         | 01/01/2015          | 12/2/1985        |
| Duhra, Navnit                         | Associate      | Financial Services     | Vancouver     | 2010         |                     | 9/8/2009         |
| Dykstra, Lindsay E.                   | Associate      | Business Law           | Vancouver     | 2016         |                     | 5/5/2014         |
| Edmondstone, Daniel G.                | Counsel        | Regulatory             | Toronto       | 1991         | 01/01/2014          | 7/4/1989         |
| Elbaz, Sidney                         | Equity Partner | Advocacy & Employment  | Montreal      | 2006         | 01/01/2016          | 6/15/2005        |
| Farahani, Arman G.                    | Principal      | Capital Markets & M&A  | Vancouver     | 2010         |                     | 9/8/2009         |
| Fell, Caitlin                         | Associate      | Financial Services     | Toronto       | 2011         |                     | 11/23/2015       |
| Forgione, Pasquale                    | Equity Partner | Financial Services     | Toronto       | 1997         | 01/01/2015          | 12/29/1997       |
| Forristal, Annik                      | Associate      | Commercial Real Estate | Toronto       | 2012         |                     | 5/9/2011         |
| Fraser, Laura                         | Associate      | Capital Markets & M&A  | Toronto       | 2015         |                     | 11/14/2016       |
| Fung, Michelle                        | Associate      | Capital Markets & M&A  | Hong Kong     | 2016         |                     | 5/5/2014         |
| Gallagher, Ryan C.                    | Principal      | Regulatory             | Vancouver     | 2007         |                     | 12/2/2009        |
| Genereux, Marc                        | Counsel        | Commercial Real Estate | Montreal      | 1982         |                     | 3/7/2016         |
| Giddens, Peter D.                     | Equity Partner | Regulatory             | Toronto       | 1999         | 01/01/2015          | 2/1/1999         |
| Glass, Robert E.                      | Counsel        | Business Law           | Toronto       | 1980         | 01/01/2014          | 4/1/1980         |
| Goldstein, Yoine                      | Counsel        | Financial Services     | Montreal      | 1961         |                     | 8/1/2006         |
| Gordon, Samantha                      | Associate      | Advocacy & Employment  | Toronto       | 2015         |                     | 5/6/2013         |
| Grossman, Robbie                      | Equity Partner | Capital Markets & M&A  | Toronto       | 2002         | 01/01/2016          | 9/9/2013         |
| Haley, Jason M.                       | Associate      | Financial Services     | Calgary       | 2016         |                     | 6/15/2015        |
| Halladay, Casey W.                    | Equity Partner | Regulatory             | Toronto       | 2002         | 01/01/2015          | 5/3/1999         |
| Hamelin, Stephanie                    | Principal      | Commercial Real Estate | Montreal      | 2007         |                     | 5/29/2006        |
| Han, Julie                            | Principal      | Financial Services     | Toronto       | 2010         |                     | 5/5/2008         |
| Hanert, Caileen                       | Counsel        | Advocacy & Employment  | Calgary       | 2001         |                     | 3/3/2014         |
| Hanlon, Michael J.                    | Counsel        | Financial Services     | Montreal      | 1994         |                     | 8/17/2015        |
| Hanna, W. Bradley                     | Equity Partner | Advocacy & Employment  | Toronto       | 1994         | 01/01/2015          | 5/6/1991         |
| Harmer, Melanie J.                    | Principal      | Advocacy & Employment  | Vancouver     | 2009         |                     | 5/1/2006         |
| Harrison, Brett                       | Equity Partner | Advocacy & Employment  | Toronto       | 2001         | 01/01/2015          | 5/3/1999         |
| Hennick, Hilary R.                    | Associate      | Business Law           | Toronto       | 2012         |                     | 5/9/2011         |
| Henry, Elisa                          | Principal      | Regulatory             | Montreal      | 2011         |                     | 5/9/2011         |
| Hill, Rohan                           | Associate      | Advocacy & Employment  | Vancouver     | 2013         |                     | 5/9/2011         |
| Houshidari, Anita                     | Associate      | Commercial Real Estate | Toronto       | 2008         |                     | 1/4/2016         |
| Ivanovic, Gordana                     | Associate      | Advocacy & Employment  | Calgary       | 2006         |                     | 2/13/2017        |
| Jalette, Catherine                    | Associate      | Advocacy & Employment  | Montreal      | 2010         |                     | 3/21/2016        |
| Jarvie, Max A.                        | Associate      | Financial Services     | Montreal      | 2015         |                     | 5/12/2014        |
| Jones, Charles                        | Counsel        | Advocacy & Employment  | Calgary       | 1992         | 01/01/2014          | 10/1/2012        |

|                        |                     |                        |           |      |            |            |
|------------------------|---------------------|------------------------|-----------|------|------------|------------|
| Kaddis, Mirna          | Associate           | Advocacy & Employment  | Montreal  | 2015 |            | 5/12/2014  |
| Karolia, Shaheen       | Associate           | Financial Services     | Toronto   | 2011 |            | 1/4/2016   |
| Khorami, Money         | Associate           | Business Law           | Toronto   | 2014 |            | 5/14/2012  |
| Kilpatrick, Sarah      | Associate           | Regulatory             | Ottawa    | 2009 |            | 5/7/2007   |
| Kline, Adam            | Counsel             | Capital Markets & M&A  | Toronto   | 2005 |            | 9/8/2014   |
| Knight, Anthony        | Counsel             | Commercial Real Estate | Vancouver | 1977 | 01/01/2017 | 5/9/1976   |
| Koch, Alexandre        | Associate           | Business Law           | Montreal  | 2012 |            | 4/8/2016   |
| Koczerginski, Mitchell | Associate           | Advocacy & Employment  | Toronto   | 2015 |            | 5/6/2013   |
| Kostic, Kosta          | Equity Partner      | Capital Markets & M&A  | Montreal  | 2002 | 01/01/2016 | 2/19/2014  |
| Kruger, Stuart M.      | Counsel             | Regulatory             | Montreal  | 1990 |            | 5/24/2016  |
| Kubrick, Geoffrey C.   | Equity Partner      | Regulatory             | Ottawa    | 1988 | 01/01/2015 | 9/1/2005   |
| Lambert, Kyle M.       | Associate           | Advocacy & Employment  | Ottawa    | 2013 |            | 9/23/2013  |
| Le, Yanwen             | Counsel             | Capital Markets & M&A  | Montreal  | 1994 |            | 6/28/2013  |
| Lemieux, Maxime        | Associate           | Capital Markets & M&A  | Montreal  | 2006 |            | 12/7/2015  |
| Levine, Jeffrey        | Principal           | Advocacy & Employment  | Toronto   | 2008 |            | 5/8/2006   |
| Loney, Julia C.        | Principal           | Business Law           | Calgary   | 2011 |            | 5/11/2009  |
| Lorimer, H. Lindsay    | Equity Partner      | Advocacy & Employment  | Toronto   | 2000 | 01/01/2017 | 7/25/2007  |
| Lyons, Carol V.        | Partner             | Financial Services     | Toronto   | 1989 |            | 7/2/1987   |
| Macchione, Luigi       | Equity Partner      | Commercial Real Estate | Toronto   | 1979 | 01/01/2015 | 7/18/1977  |
| MacDonald, Daniel V.   | General Counsel/CRO |                        | Toronto   | 1983 |            | 9/16/1985  |
| MacNeil, Janine M.     | Counsel             | Regulatory             | Toronto   | 2002 | 01/01/2014 | 5/1/2000   |
| Maheoney, Richard      | Counsel             | Regulatory             | Ottawa    | 1987 |            | 9/13/2016  |
| Maidment, J. Scott     | Equity Partner      | Advocacy & Employment  | Toronto   | 1989 | 01/01/2015 | 7/28/1992  |
| Mainland, Don          | Lawyer              | Regulatory             | Vancouver | 1979 |            | 6/12/1989  |
| Man, Christine         | Principal           | Tax                    | Vancouver | 2006 |            | 6/2/2006   |
| Mandel, Jennifer       | Counsel             | Commercial Real Estate | Toronto   | 2006 |            | 5/30/2016  |
| Martin, Kathy A.       | Equity Partner      | Financial Services     | Toronto   | 2002 | 01/01/2015 | 5/1/2000   |
| Martyn, Scott          | Counsel             | Commercial Real Estate | Toronto   | 2011 |            | 2/1/2017   |
| Masson, Auguste        | Counsel             | Business Law           | Montreal  | 1984 |            | 1/4/2016   |
| McDonald, Morgan       | Associate           | Business Law           | Vancouver | 2013 |            | 5/9/2012   |
| McKechnie, Dave J.     | Equity Partner      | Advocacy & Employment  | Toronto   | 2004 | 01/01/2015 | 5/6/2002   |
| McNee, Margaret C.     | Equity Partner      | Capital Markets & M&A  | Toronto   | 1985 | 01/01/2015 | 6/27/1983  |
| McWilliam, Bruce N.    | Counsel             | Business Law           | Toronto   | 1985 | 01/01/2014 | 9/1/1984   |
| Mendelsohn, Max        | Counsel             | Financial Services     | Montreal  | 1966 | 01/01/2014 | 7/2/1965   |
| Méthé, Béatrice        | Associate           | Business Law           | Montreal  | 2015 |            | 9/9/2016   |
| Mirakian, Shahan A.    | Counsel             | Financial Services     | Toronto   | 2002 |            | 6/5/2000   |
| Mirza, Ahsan           | Principal           | Financial Services     | Toronto   | 2011 |            | 5/4/2009   |
| Moyse, Geoffrey E.     | Equity Partner      | Advocacy & Employment  | Toronto   | 2007 | 01/01/2017 | 5/9/2005   |
| Munk-Manel, Shari      | Associate           | Advocacy & Employment  | Montreal  | 2006 |            | 6/30/2015  |
| Munro, James R.        | Equity Partner      | Capital Markets & M&A  | Vancouver | 2005 | 01/01/2016 | 5/17/2004  |
| Murray, Patrick W.     | Counsel             | Commercial Real Estate | Ottawa    | 1995 | 01/01/2016 | 12/1/2006  |
| Musgrove, James B.     | Equity Partner      | Regulatory             | Toronto   | 1986 | 01/01/2015 | 2/3/1984   |
| Nagashima, Jeffrey     | Associate           | Business Law           | Toronto   | 2015 |            | 5/6/2013   |
| Namesnikova, Iana      | Associate           | Financial Services     | Toronto   | 2014 |            | 1/4/2016   |
| Nelghor, Mark C.       | Principal           | Capital Markets & M&A  | Vancouver | 2009 |            | 5/20/2008  |
| O'Hara, Jonathan P.    | Associate           | Regulatory             | Ottawa    | 2012 |            | 6/27/2011  |
| Ono, Herbert           | Counsel             | Capital Markets & M&A  | Vancouver | 1990 | 01/01/2016 | 8/8/2005   |
| Opashinov, Mark        | Equity Partner      | Regulatory             | Toronto   | 1998 | 01/01/2015 | 5/6/1996   |
| Palmy, Frank K.        | Counsel             | Financial Services     | Toronto   | 1978 | 01/01/2014 | 9/1/1976   |
| Parliament, Lisa D.    | Equity Partner      | Advocacy & Employment  | Toronto   | 2004 | 01/01/2015 | 5/6/2002   |
| Pascu, Andrel          | Principal           | Advocacy & Employment  | Montreal  | 2010 |            | 8/20/2009  |
| Pelland, Jean-François | Equity Partner      | Business Law           | Montreal  | 1994 | 01/01/2015 | 9/1/2004   |
| Petel, Yonatan         | Principal           | Financial Services     | Montreal  | 2009 |            | 5/12/2008  |
| Petersen, Ronald S.    | Equity Partner      | Advocacy & Employment  | Ottawa    | 1983 | 01/01/2015 | 1/15/1995  |
| Phelan, Patrick J.     | Counsel             | Business Law           | Toronto   | 1983 | 01/01/2014 | 6/15/1981  |
| Poulin, Maude          | Associate           | Advocacy & Employment  | Montreal  | 2015 |            | 5/13/2013  |
| Pudar, Sasa            | Associate           | Capital Markets & M&A  | Vancouver | 2015 |            | 5/6/2013   |
| Rafi, Leila            | Counsel             | Capital Markets & M&A  | Toronto   | 2006 |            | 11/21/2016 |
| Ranger, Michel M.      | Counsel             | Tax                    | Montreal  | 1997 | 01/01/2014 | 9/16/2013  |
| Rankin, Jeremy         | Associate           | Advocacy & Employment  | Toronto   | 2016 |            | 5/5/2014   |
| Rankin, Michael S.     | Equity Partner      | Regulatory             | Ottawa    | 1987 | 01/01/2015 | 5/1/1990   |
| Ray, Lauren            | Associate           | Advocacy & Employment  | Toronto   | 2016 |            | 5/5/2014   |
| Reardon, Peter         | Equity Partner      | Financial Services     | Vancouver | 1978 | 01/01/2015 | 1/1/1987   |
| Reid, Michael E.       | Principal           | Business Law           | Vancouver | 2011 |            | 5/4/2009   |
| Reilly, Katherine A.   | Principal           | Advocacy & Employment  | Vancouver | 2007 |            | 9/5/2006   |
| Richmond, Michael J.   | Equity Partner      | Business Law           | Toronto   | 2000 | 01/01/2015 | 5/13/2004  |
| Robinson, Nika J.      | Principal           | Regulatory             | Vancouver | 2005 |            | 9/4/2007   |
| Rock, Rebecca M.       | Associate           | Business Law           | Vancouver | 2015 |            | 5/6/2013   |
| Rosentzweig, David L.  | Counsel             | Business Law           | Montreal  | 1978 | 01/01/2014 | 6/15/1976  |
| Rostom, Wael           | Equity Partner      | Financial Services     | Toronto   | 2000 | 01/01/2015 | 5/5/1997   |
| Rudensky, Adriana      | Associate           | Business Law           | Toronto   | 2014 |            | 5/14/2012  |
| Rylands, Kourtney      | Associate           | Business Law           | Calgary   | 2015 |            | 5/6/2013   |
| Saga, Candy            | Counsel             | Financial Services     | Vancouver | 1986 |            | 5/1/2014   |
| Sagan, Maria           | Associate           | Financial Services     | Toronto   | 2011 |            | 5/4/2009   |
| Samara, Caroline       | Associate           | Business Law           | Toronto   | 2013 |            | 5/9/2011   |
| Sandhu, Amandeep       | Equity Partner      | Capital Markets & M&A  | Vancouver | 2003 | 01/01/2015 | 5/1/2001   |
| Sbrocchi, Sandra       | Principal           | Business Law           | Ottawa    | 2005 |            | 5/5/2003   |
| Scavone, Robert M.     | Counsel             | Financial Services     | Toronto   | 1987 | 01/01/2014 | 5/28/1984  |
| Scott, Marc-Elle       | Associate           | Advocacy & Employment  | Calgary   | 2014 |            | 5/7/2012   |
| Shannon, Michael       | Principal           | Capital Markets & M&A  | Vancouver | 1998 |            | 9/12/2011  |
| Shore, Robert J.       | Associate           | Commercial Real Estate | Toronto   | 2015 |            | 7/6/2015   |

|                          |                |                       |           |      |            |            |
|--------------------------|----------------|-----------------------|-----------|------|------------|------------|
| Simpson, Jeffrey B.      | Counsel        | Advocacy & Employment | Toronto   | 1980 | 01/01/2014 | 7/4/1978   |
| Stewart, C. Brett        | Equity Partner | Business Law          | Toronto   | 2005 | 01/01/2015 | 5/5/2003   |
| Stirling, Andrew J.      | Principal      | Tax                   | Toronto   | 2008 |            | 5/8/2006   |
| Thompson, Martin J.      | Equity Partner | Advocacy & Employment | Ottawa    | 2003 | 01/01/2015 | 5/6/2002   |
| Thompson, Patrick        | Principal      | Advocacy & Employment | Ottawa    | 2005 |            | 5/3/2004   |
| Thring, David E.         | Equity Partner | Financial Services    | Toronto   | 1981 | 01/01/2015 | 6/1/1983   |
| Tombs, Anna              | Associate      | Advocacy & Employment | Toronto   | 2014 |            | 5/14/2012  |
| Tran, Marina             | Associate      | Capital Markets & M&A | Vancouver | 2015 |            | 5/6/2013   |
| Tremblay, Janie          | Counsel        | Financial Services    | Calgary   | 1997 |            | 7/12/2016  |
| Valdivieso, Maria        | Associate      | Capital Markets & M&A | Toronto   | 2016 |            | 10/24/2016 |
| Vale, Allison            | Associate      | Capital Markets & M&A | Toronto   | 2016 |            | 5/5/2014   |
| Vallières, Eric          | Equity Partner | Advocacy & Employment | Montreal  | 1992 | 01/01/2015 | 4/22/2002  |
| Virgin, Jamieson D.      | Associate      | Advocacy & Employment | Vancouver | 2011 |            | 5/4/2009   |
| Vukobrat, Andjela        | Associate      | Capital Markets & M&A | Vancouver | 2013 |            | 5/9/2011   |
| Wahidie, Ehsanullah      | Associate      | Tax                   | Toronto   | 2016 |            | 5/5/2014   |
| Wasser, Lyndsay          | Principal      | Advocacy & Employment | Toronto   | 2004 |            | 12/4/2006  |
| Weerasooriya, Tushara N. | Counsel        | Financial Services    | Toronto   | 2005 |            | 5/5/2003   |
| Wells, Peter E.          | Counsel        | Regulatory            | Toronto   | 1978 | 01/01/2014 | 9/7/1976   |
| Wilks, Jamie M.          | Counsel        | Tax                   | Toronto   | 1989 |            | 3/26/2001  |
| Willis, Peter A.         | Partner        | Financial Services    | Toronto   | 1965 |            | 8/14/1989  |
| Wisner, Robert           | Equity Partner | Advocacy & Employment | Toronto   | 1996 | 01/01/2015 | 5/10/1993  |
| Wong, Enda               | Principal      | Business Law          | Montreal  | 2009 |            | 5/12/2008  |
| Wong, Grant              | Counsel        | Capital Markets & M&A | Vancouver | 1997 | 01/01/2016 | 5/1/1995   |
| Wortley, Stephen         | Equity Partner | Capital Markets & M&A | Vancouver | 1985 | 01/01/2015 | 5/7/1984   |
| Wust, Jeffrey D.         | Principal      | Capital Markets & M&A | Vancouver | 2004 |            | 9/18/2006  |
| Yang, Linda              | Associate      | Advocacy & Employment | Vancouver | 2015 |            | 5/6/2013   |
| Zacks, Cara              | Associate      | Advocacy & Employment | Toronto   | 2013 |            | 5/9/2011   |
| Zhao, Sandra             | Principal      | Capital Markets & M&A | Toronto   | 2011 |            | 5/4/2009   |
| Zhou, David              | Associate      | Business Law          | Toronto   | 2016 |            | 5/5/2014   |
| Zhou, Xue (Rofi)         | Lawyer         | Capital Markets & M&A | Toronto   | 2006 |            | 9/10/2012  |



**Paralegal & Law Clerk Group (As of March 2017)**

| Employee Name (Last Suffix, First MI) | Job                        | Department                | Work Location | Original Hire |
|---------------------------------------|----------------------------|---------------------------|---------------|---------------|
| Gerrard, Lisa K.                      | Paralegal                  | Financial Services        | Calgary       | 11/15/2016    |
| Petrenko, Diana                       | Supervisor, Corp Services  | Business Law              | Calgary       | 03/04/2013    |
| Seto, Sarena                          | Paralegal                  | Financial Services        | Calgary       | 02/29/2016    |
| Bertrand, Edith                       | Translator                 | Translation Services      | Montreal      | 10/13/2015    |
| Chatziadamos, Mary                    | Paralegal                  | Business Law              | Montreal      | 08/06/2007    |
| Gauthier, Monique                     | Paralegal                  | Commercial Real Estate    | Montreal      | 05/24/2016    |
| Gay, Frédérique                       | Paralegal                  | Financial Services        | Montreal      | 12/15/2008    |
| Genovezos, Panagioti (Penny)          | Paralegal                  | Business Law              | Montreal      | 03/19/2007    |
| Groulx, Johanne                       | Translator                 | Translation Services      | Montreal      | 10/06/2014    |
| Liu, Hao Ran                          | Paralegal                  | Financial Services        | Montreal      | 04/25/2016    |
| Pelchat, Martine                      | Supervisor, Corp Services  | Business Law              | Montreal      | 08/18/2014    |
| Testa, Raffaela                       | Paralegal                  | Business Law              | Montreal      | 05/16/2016    |
| Thuillieux, Sabine                    | Mgr, Linguistic Services   | Translation Services      | Montreal      | 06/25/2014    |
| Turcotte, Sindy                       | Paralegal                  | Business Law              | Montreal      | 06/13/2011    |
| Corneau, Dons                         | Law Clerk                  | Business Law              | Ottawa        | 09/01/1977    |
| Murphy, Jane                          | Law Clerk                  | Advocacy & Employment     | Ottawa        | 05/16/2016    |
| Whittington, Leigh                    | Law Clerk                  | Commercial Real Estate    | Ottawa        | 06/28/2013    |
| Bokser, Paula                         | Law Clerk                  | Financial Services        | Toronto       | 10/07/1987    |
| Burke, Alexandra                      | Law Clerk                  | Business Law              | Toronto       | 08/17/2015    |
| Chaves, Nancy                         | Law Clerk                  | Advocacy & Employment     | Toronto       | 03/08/1999    |
| Dapito, Evelyn                        | Law Clerk                  | Regulatory                | Toronto       | 10/06/2008    |
| Findlayter, Andrea                    | Law Clerk                  | Capital Markets & M&A     | Toronto       | 08/11/2014    |
| Goldman, Carolyn                      | Law Clerk                  | Business Law              | Toronto       | 09/12/2016    |
| Gould, Eleanor                        | Law Clerk                  | Commercial Real Estate    | Toronto       | 02/21/2017    |
| Helliwell, Angela                     | Law Clerk                  | Business Law              | Toronto       | 09/06/2016    |
| Khounghanian, Kristine Lee            | Corp.Compliance Clerk      | Business Law              | Toronto       | 03/02/2015    |
| King, Judith                          | Law Clerk                  | Business Law              | Toronto       | 06/24/2013    |
| LaForgia, Patricia                    | Law Clerk                  | Commercial Real Estate    | Toronto       | 01/05/2015    |
| Ly, Anna                              | Law Clerk                  | Financial Services        | Toronto       | 06/04/2007    |
| Madden, Valerie                       | Law Clerk                  | Commercial Real Estate    | Toronto       | 01/10/2017    |
| McIntyre, Barry W.                    | Title Searcher/Conveyance  | Commercial Real Estate    | Toronto       | 01/04/1990    |
| Moore, Maria                          | Title Searcher/Conveyance  | Commercial Real Estate    | Toronto       | 02/23/1987    |
| Pouliot, Deborah                      | Law Clerk                  | Advocacy & Employment     | Toronto       | 10/26/1986    |
| Singleton, Megan                      | Law Clerk                  | Financial Services        | Toronto       | 03/24/2008    |
| Slater, Karen                         | Law Clerk                  | Capital Markets & M&A     | Toronto       | 10/11/2016    |
| Surendran, Dashmini                   | Law Clerk                  | Commercial Real Estate    | Toronto       | 01/30/2017    |
| Trajkowski, Tina                      | Law Clerk                  | Business Law              | Toronto       | 09/12/2016    |
| Tuschak, Karen                        | Dir, Law Clerk/Paralegals  | Professional Growth & Mgm | Toronto       | 07/18/2016    |
| Tyrell, Susan J.                      | Law Clerk                  | Financial Services        | Toronto       | 02/20/2006    |
| Villagas (Ng), May                    | Law Clerk                  | Commercial Real Estate    | Toronto       | 01/09/2012    |
| Wang, Qi                              | Law Clerk                  | Commercial Real Estate    | Toronto       | 01/14/2013    |
| Zee, Barbara P.                       | Law Clerk                  | Capital Markets & M&A     | Toronto       | 05/12/1992    |
| Atkinson, Susan                       | Corp.Compliance Clerk      | Business Law              | Vancouver     | 08/15/2016    |
| Bahmanieh, Chirine                    | Paralegal                  | Business Law              | Vancouver     | 04/02/2014    |
| Chan, Venice                          | Paralegal                  | Capital Markets & M&A     | Vancouver     | 03/17/1997    |
| Clemens, Julie M.                     | Paralegal                  | Capital Markets & M&A     | Vancouver     | 05/03/2006    |
| Dhillon, Susan                        | Supervisor, Corp Services  | Business Law              | Vancouver     | 04/01/1999    |
| Dilag, Regina V.                      | Junior Paralegal           | Business Law              | Vancouver     | 07/16/2012    |
| Laniel, Alice F.                      | Paralegal                  | Capital Markets & M&A     | Vancouver     | 05/01/2000    |
| Liang, Connie                         | Jr. Corp. Compliance Clerk | Business Law              | Vancouver     | 11/10/2014    |
| Liew, Joni S.                         | Paralegal                  | Capital Markets & M&A     | Vancouver     | 09/03/2004    |
| Lim, Krystel                          | Paralegal                  | Financial Services        | Vancouver     | 02/19/2014    |



|                           |           |                        |           |            |
|---------------------------|-----------|------------------------|-----------|------------|
| McNamara, Sharon          | Paralegal | Capital Markets & M&A  | Vancouver | 02/18/2002 |
| Miller, Karen             | Paralegal | Financial Services     | Vancouver | 01/11/1984 |
| Mujagic, Sieglinde (Sigi) | Paralegal | Commercial Real Estate | Vancouver | 07/02/1975 |
| Pears, Paulette S.        | Paralegal | Capital Markets & M&A  | Vancouver | 05/14/2001 |
| Rocca, Linda A.           | Paralegal | Capital Markets & M&A  | Vancouver | 10/01/1996 |
| Shore (Marion), Monica E. | Paralegal | Commercial Real Estate | Vancouver | 03/28/2011 |
| Taylor, Susan Y.          | Paralegal | Advocacy & Employment  | Vancouver | 06/29/2009 |
| Tremblay, Glen            | Paralegal | Financial Services     | Vancouver | 11/20/2000 |
| Tsang, Cecilia W.         | Paralegal | Business Law           | Vancouver | 10/27/2008 |
| Wong, Cecilia S.          | Paralegal | Capital Markets & M&A  | Vancouver | 03/21/2005 |
| Zorrilla, Douglas         | Paralegal | Commercial Real Estate | Vancouver | 08/22/2016 |

**Professional Corporations (As of March 2017)**

| Employee Name (Last Suffix, First MI) | Job                      | Work Location | Professional Corporation Name |
|---------------------------------------|--------------------------|---------------|-------------------------------|
| Archibald, Frank A.                   | Equity Partner           | Toronto       | Frank A Archibald PC          |
| Avis, Paul                            | Equity Partner           | Toronto       | Avis Prof Corp                |
| Balakrishnan, Desmond                 | Equity Partner           | Vancouver     | DMB Law Corporation           |
| Black, Ryan J.                        | Equity Partner           | Vancouver     | Ryan Black Law Corp.          |
| Botz, Peter                           | Equity Partner           | Vancouver     | Botz Law Corporation          |
| Campbell, Neil                        | Equity Partner           | Toronto       | A Neil Campbell Prof Corp     |
| Chapple, Bruce A.                     | Equity Partner           | Toronto       | B. Chapple Prof Corp          |
| Chevrette, Charles                    | Equity Partner           | Montreal      | 9275-2203 QUEBEC INC.         |
| Chisholm, Damon J.                    | Equity Partner           | Vancouver     | Damon J Chisholm Law Corp     |
| Clifford, John F.                     | Equity Partner           | Toronto       | John F Clifford Prof Corp     |
| Collins, Barbara J.                   | Counsel                  | Vancouver     | Barbara J. Collins            |
| Derbawka, Janet L.                    | Counsel                  | Vancouver     | Janet L. Derbawka LawCorp     |
| Deutsch, Thomas                       | Equity Partner           | Vancouver     | Thomas J Deutsch Law Corp     |
| DeVuomo, Carl A.                      | Equity Partner           | Toronto       | C. De Vuono Prof Corp         |
| Drabinsky, Howard M.                  | Equity Partner           | Toronto       | Howard Drabinsky Prof Cor     |
| Duncan, Dwight                        | Senior Strategic Advisor | Toronto       | 1890825 Ontario Inc           |
| Dunlop, David                         | Equity Partner           | Toronto       | David Dunlop Prof Corp        |
| Floyd, Gary                           | Equity Partner           | Vancouver     | Altus Equity Law Corp         |
| Flynn-Guglielitti, Mary L.            | Equity Partner           | Toronto       | Mary L. Flynn-Guglielitti P   |
| Friedman, Eric B.                     | Equity Partner           | Toronto       | Eric B. Friedman Prof Cor     |
| Friedman, Michael F.                  | Equity Partner           | Toronto       | Michael F. Friedman Prof C    |
| Garrah, Christopher J.                | Equity Partner           | Toronto       | C. J. Garrah Prof. Corp.      |
| Germanakos, Chris                     | Equity Partner           | Toronto       | C. N. Germanakos Prof Corp    |
| Grenier, Glenn                        | Equity Partner           | Toronto       | Glenn Grenier Prof. Corp.     |
| Groom, Sharon                         | Equity Partner           | Toronto       | S. E. Groom Prop Corp         |
| Gustafson, Karl                       | Equity Partner           | Vancouver     | K. E. G. Law Corporation      |
| Harkness, Craig                       | Counsel                  | Calgary       | Craig S. Harkness PC          |
| Hee, Ernie                            | Counsel                  | Vancouver     | EAH Law Corporation           |
| Junger, Robin                         | Equity Partner           | Vancouver     | RMJ Law Corp                  |
| Kennedy, Michael P.                   | Senior Counsel           | Vancouver     | M. Kennedy Law Corp.          |
| Kent, Andrew J.                       | Equity Partner           | Toronto       | Andrew J F Kent Prof Corp     |
| Kent, Cory                            | Equity Partner           | Vancouver     | C. H. Kent Law Corporation    |
| Kent, David W.                        | Equity Partner           | Toronto       | D. W. Kent Prof Corp          |
| Kirkham, Roderick W                   | Counsel                  | Vancouver     | Roderick W. Kirkham LC        |
| Knowler, Sandra                       | Equity Partner           | Vancouver     | Sandra Knowler Law Corp       |
| Koehnen, Markus                       | Equity Partner           | Toronto       | Markus Koehnen Prof Corp      |
| Krupa, Henry                          | Counsel                  | Toronto       | Henry Krupa Law Prof Corp     |
| Maerov, Adam                          | Equity Partner           | Calgary       | Adam C. Maerov Prof. Corp     |
| Major, Peter J.                       | Equity Partner           | Calgary       | Peter Major Prof Corp         |
| McIlwain, Greg                        | Equity Partner           | Calgary       | Gregory J. McIlwain           |
| McInnes, David                        | Equity Partner           | Vancouver     | N. David McInnes Law Corp     |
| Miller, Todd                          | Equity Partner           | Toronto       | T. A. Miller Prof Corp        |
| Morrison, John                        | Equity Partner           | Vancouver     | John D. Morrison Law Corp     |
| Murphy, Timothy J.                    | Equity Partner           | Toronto       | Timothy J. Murphy PC          |
| Onn, Andrea L.                        | Equity Partner           | Toronto       | Andrea Onn Prof Corp.         |
| Pedlow, Douglas                       | Counsel                  | Vancouver     | Douglas H Pedlow Law Corp     |
| Pereira, Jill R.                      | Equity Partner           | Vancouver     | Jill R. Pereira Law Corp      |
| Pinsonnault, Guy                      | Counsel                  | Ottawa        | Guy Pinsonnault Avocat In     |

|                        |                |           |                           |
|------------------------|----------------|-----------|---------------------------|
| Plowman, Kevin         | Counsel        | Calgary   | Kevin W. Plowman PC       |
| Raffin, Leo            | Equity Partner | Vancouver | Leo Raffin Law Corp       |
| Resnick, Mark          | Partner        | Ottawa    | Parallax Public Affairs I |
| Rigby, Stephen C.      | Equity Partner | Toronto   | Stephen C.E. Rigby PC     |
| Rogers, R.D. Jeffrey   | Equity Partner | Toronto   | R.D. Jeffrey Rogers PC    |
| Ross, David N.         | Equity Partner | Toronto   | David N. Ross Prof Corp   |
| Rowlands, William A.   | Equity Partner | Toronto   | W.Rowlands Prof Corp.     |
| Scott, Thomas          | Equity Partner | Toronto   | T.E. Scott Prof Corp      |
| Skwarok, Mark          | Counsel        | Vancouver | Mark Skwarok Law Corp     |
| Slan, David            | Equity Partner | Toronto   | David Slan Prof Corp      |
| Stead, Andrew E.       | Equity Partner | Calgary   | Andrew E. Stead Prof Corp |
| Stuhldreier, Lucia     | Counsel        | Vancouver | L M Stuhldreier Law Corpo |
| Taylor, Michael        | Equity Partner | Vancouver | MHT Law Corporation       |
| Templeton, Michael D.  | Equity Partner | Toronto   | Michael Templeton Prof Co |
| Thackray, Michael A.   | Counsel        | Calgary   | Michael A. Thackray PC    |
| Theodorakis, Tom       | Equity Partner | Vancouver | Tom Theodorakis Law Corp  |
| Tougas, Francois       | Equity Partner | Vancouver | FT Law Corporation        |
| Van Horne, William C.  | Counsel        | Calgary   | William C. Van Horne Prof |
| Waggott, George        | Counsel        | Toronto   | George Waggott Prof.Corp. |
| Waters, Donald         | Equity Partner | Toronto   | D. M. Waters Prof Corp    |
| Weston, John           | Counsel        | Vancouver | Pan Pacific Law Corporati |
| Whitcombe, Michael P.  | Equity Partner | Toronto   | M. P. Whitcombe Prof Corp |
| Yaksich, Mickey        | Counsel        | Toronto   | Mickey Yaksich Prof Corp  |
| Young, Joan M.         | Equity Partner | Vancouver | Joan M. Young Law Corp    |
| Zinkhofer, Bernhard J. | Equity Partner | Vancouver | Bernard Zinkhofer LC      |
| Zivot, Louis           | Equity Partner | Vancouver | Louis J. Zivot Law Corp   |

**Other Employees (As of March 2017)**

| Employee Name (Last Suffix, First MI) | Job                       | Department                | Work Location | Original Hire |
|---------------------------------------|---------------------------|---------------------------|---------------|---------------|
| Abdullah, Karim F.                    | Business Centre Assistant | Business Centre           | Toronto       | 02/01/1982    |
| Abrenica, Michelle                    | DSC/Legal Support Ast     | Legal Support Services    | Vancouver     | 05/24/2016    |
| Afework, Noah                         | Library Clerk             | Research and Library Serv | Toronto       | 05/04/2015    |
| Ahmed, Omar                           | Team Lead, Office & Facil | Business Centre           | Ottawa        | 08/22/2016    |
| Aiello, Mirella                       | Legal Administrative Assi | Legal Support Services    | Toronto       | 06/04/1984    |
| Ali, Zaid                             | Solutions Architect       | Information Technology    | Toronto       | 01/30/2017    |
| Almamoori, Diana                      | Document Specialist       | Document Specialist Centr | Toronto       | 05/09/2016    |
| Alty, Blayne                          | Supvr, Hospitality & Conc | Hospitality Concierge Ser | Vancouver     | 05/16/2013    |
| Amrit, Mona                           | Legal Administrative Assi | Legal Support Services    | Toronto       | 06/14/2011    |
| Angeles, Maria                        | Supervisor, Office Servic | Business Centre           | Vancouver     | 03/03/2014    |
| Anghelescu, Iuliana Daniela           | Office Services Assistant | Business Centre           | Vancouver     | 02/15/2016    |
| Ansell, David                         | Document Specialist       | Document Specialist Centr | Toronto       | 11/29/2010    |
| Anthony, Katherine                    | Concierge Coordinator.    | Hospitality Concierge Ser | Toronto       | 03/13/2017    |
| Antonelli, Robin                      | Legal Administrative Assi | Legal Support Services    | Toronto       | 05/03/2004    |
| Armstrong, Kaelyn                     | Records Mgmt Assistant    | Info Security & Governanc | Vancouver     | 02/17/2014    |
| Arseneau, Robert                      | Coordinator, Firm Events  | Hospitality Concierge Ser | Toronto       | 08/29/2011    |
| Asmerom, Josef                        | Facilities Assistant      | Facilities                | Toronto       | 02/06/2004    |
| Atwood, Judith                        | Dir, Professional Resourc | Professional Growth & Mgm | Toronto       | 07/14/2008    |
| Aubin, Lyne                           | Legal Administrative Assi | Legal Support Services    | Montreal      | 01/04/2016    |
| Babos, Robert                         | Graphic Designer          | Marketing & Bus. Developm | Toronto       | 01/16/2017    |
| Baddeley, Jennifer J.                 | Sr. Dir, Strat Init & Pro | Administration            | Vancouver     | 09/15/2010    |
| Badiali, Ida                          | Supvr Payroll & Benefits  | Human Resources           | Toronto       | 07/18/2016    |
| Baker, Allison                        | LAA/Paralegal             | Legal Support Services    | Vancouver     | 07/24/2007    |
| Baltazar, Sylvie                      | Legal Administrative Assi | Legal Support Services    | Montreal      | 02/28/2000    |
| Bancheri, Manuela                     | Legal Administrative Assi | Legal Support Services    | Toronto       | 08/10/1987    |
| Bara, Erika                           | Legal Administrative Assi | Legal Support Services    | Montreal      | 02/13/2012    |
| Barrientos Martinez, Juan Carlos      | Billing Coordinator       | Finance/Accounting        | Toronto       | 07/22/2011    |
| Bastaldo, Jhanez                      | Accounts Payable Admin    | Finance/Accounting        | Toronto       | 07/04/2016    |
| Bates, Christie Jane Clark            | Articling/Student at Law  | Students- Articling       | Toronto       | 05/04/2015    |
| Beaudoin, Danielle                    | Library Technician        | Research and Library Serv | Montreal      | 12/11/2006    |
| Beck, Brandon N.                      | Legal Administrative Assi | Legal Support Services    | Ottawa        | 03/02/2015    |
| Berberian, Georges                    | Bus.Dev.Mgr - Pitches&Pro | Marketing & Bus. Developm | Montreal      | 08/22/2016    |
| Blanchett, Ainslie                    | Exec/Legal Admin Assistan | Legal Support Services    | Vancouver     | 05/10/1991    |
| Block, Sarah                          | Legal Administrative Assi | Legal Support Services    | Toronto       | 03/13/2017    |
| Boeyen-Landriault, Kathy              | Legal Administrative Assi | Legal Support Services    | Ottawa        | 02/01/1993    |
| Bone, Andrew F.                       | Billing Coordinator       | Finance/Accounting        | Calgary       | 06/15/2015    |
| Bonk, Michelle A.                     | Legal Administrative Assi | Legal Support Services    | Toronto       | 05/08/1995    |
| Briltz, Nenetie (Trinitas) T.         | Legal Administrative Assi | Legal Support Services    | Toronto       | 05/28/1990    |
| Brown, Perlita                        | Trust Accounting Admin    | Finance/Accounting        | Vancouver     | 06/10/2014    |
| Buchanan, Bibi                        | Legal Administrative Assi | Legal Support Services    | Toronto       | 11/26/2012    |
| Buder, Laura                          | Office Administrator/LAA  | Legal Support Services    | Ottawa        | 10/07/2010    |
| Burke, Nancy                          | Legal Administrative Assi | Legal Support Services    | Toronto       | 07/06/1987    |
| Butler, Daniel                        | Office Services Assistant | Business Centre           | Ottawa        | 06/04/2012    |
| Cadieux-Lulin, Florence               | Articling/Student at Law  | Students- Articling       | Montreal      | 05/02/2016    |
| Calderon, Sharon                      | Legal Administrative Assi | Legal Support Services    | Toronto       | 05/12/1986    |
| Callaghan, Jennifer                   | File Management Specialis | Legal Support Services    | Toronto       | 06/13/2011    |
| Camina, Cesar                         | Business Centre Assistant | Business Centre           | Toronto       | 02/01/2016    |
| Campbell, Julia                       | Research Librarian        | Research and Library Serv | Toronto       | 03/23/2015    |
| Cant, Shauna                          | Articling/Student at Law  | Students- Articling       | Ottawa        | 05/04/2015    |
| Cao, Da Feng                          | IT Solutions Specialist   | Information Technology    | Toronto       | 03/06/2017    |
| Care, Alissa                          | Legal Administrative Assi | Legal Support Services    | Toronto       | 03/14/2016    |
| Carlos, Henedine May S.               | Billing Coordinator       | Finance/Accounting        | Toronto       | 10/01/1985    |

|                             |                           |                           |           |            |
|-----------------------------|---------------------------|---------------------------|-----------|------------|
| Castonguay, Victoria B.     | Lead Asst, Hosp & Conc Se | Boardroom Services        | Toronto   | 04/17/2000 |
| Cenne, Ann                  | Application Support Speci | Information Technology    | Ottawa    | 09/22/1986 |
| Cenne, Laura                | Administrative Floater    | Administration            | Ottawa    | 05/11/2015 |
| Chaichian, Chantal          | Human Resources Assistant | Human Resources           | Vancouver | 10/04/2016 |
| Chan, Eric                  | Team Lead, CRM            | Marketing & Bus. Developm | Toronto   | 11/23/2015 |
| Chaput, Marthe              | Legal Administrative Assi | Legal Support Services    | Ottawa    | 12/03/2012 |
| Charlebois, Cyndi           | Legal Administrative Assi | Legal Support Services    | Ottawa    | 10/24/2016 |
| Charron, Marna              | Document Specialist       | Document Specialist Centr | Toronto   | 09/27/2010 |
| Chen, Bozena M.             | Legal Administrative Assi | Legal Support Services    | Toronto   | 02/17/2003 |
| Chen, Bozena M.             | Document Specialist/Train | Document Specialist Centr | Ottawa    | 05/16/2012 |
| Cheung, Joanna S.           | Legal Administrative Assi | Legal Support Services    | Toronto   | 11/19/2001 |
| Chien, Annie                | Legal Administrative Assi | Legal Support Services    | Toronto   | 11/14/1988 |
| Chieng, Tong                | Solutions Architect       | Information Technology    | Toronto   | 04/30/2012 |
| Childerhose, Christopher M. | System Engineer           | Information Technology    | Toronto   | 04/08/2013 |
| Chilelli, Amanda            | Legal Administrative Assi | Legal Support Services    | Montreal  | 08/27/2012 |
| Chun, Adrian                | Enterprise Solutions Arch | Information Technology    | Toronto   | 06/08/2015 |
| Cierna, Katarina            | Human Resources Coordinat | Human Resources           | Ottawa    | 02/27/2012 |
| Clarke, Samuel              | Mgr,Tax,Treas.& Ptnr Cap. | Finance/Accounting        | Toronto   | 09/23/1991 |
| Coates, Carolyn             | Document Specialist       | Document Specialist Centr | Vancouver | 04/22/2013 |
| Conn, Robert W.             | File/Admin Assistant      | Legal Support Services    | Calgary   | 09/17/2014 |
| Coolahan, Karen M.          | Legal Administrative Assi | Legal Support Services    | Toronto   | 06/26/1989 |
| Correa, Luisa               | Legal Administrative Assi | Legal Support Services    | Vancouver | 03/16/2015 |
| Correa, Ximena A.           | Digital Marketing Special | Marketing & Bus. Developm | Toronto   | 03/21/2016 |
| Costa, John                 | Facilities Manager        | Facilities                | Toronto   | 12/06/2016 |
| Costello, Susan E.          | Legal Administrative Assi | Legal Support Services    | Toronto   | 09/18/2002 |
| Coyle, Fergus               | Sr.Proposal Specialist    | Marketing & Bus. Developm | Toronto   | 07/23/2012 |
| Crispin, Morna              | Executive Receptionist    | Reception                 | Ottawa    | 07/03/2014 |
| Cucca, Caterina             | Billing Coordinator       | Finance/Accounting        | Montreal  | 02/27/2012 |
| Currell, Susanne            | Legal Administrative Assi | Legal Support Services    | Toronto   | 01/22/2007 |
| Da Col-Gros, Emeline        | Legal Administrative Assi | Legal Support Services    | Montreal  | 03/13/2017 |
| Da Ponte, M. Fatima         | Legal Administrative Assi | Legal Support Services    | Toronto   | 10/04/1993 |
| Daechsel, Thomas            | Trust Accounting Admin    | Finance/Accounting        | Toronto   | 02/21/2017 |
| Dakha, Maneesha             | Articling/Student at Law  | Students- Articling       | Vancouver | 05/04/2015 |
| DaSilva, Angela             | Admin Coord, Hosp & Conci | Reception                 | Toronto   | 09/28/1987 |
| Deer, Priscilla             | Legal Administrative Assi | Legal Support Services    | Vancouver | 06/30/2008 |
| Delforge, Gwen              | Legal Administrative Assi | Legal Support Services    | Montreal  | 04/25/2016 |
| Delgado, Leandra            | Mgr,Conflicts & Risk Man. | Risk Management           | Toronto   | 01/21/2013 |
| Derbyshire, Janet           | Executive Assistant       | Human Resources           | Toronto   | 10/30/1989 |
| Desrosiers, Johanne         | Executive Receptionist    | Reception                 | Montreal  | 09/17/1999 |
| Dhillon, Dharampreet        | Articling/Student at Law  | Students- Articling       | Vancouver | 05/04/2015 |
| Dhillon, Kiran              | Legal Administrative Assi | Legal Support Services    | Toronto   | 03/02/2015 |
| DiFebo, Amanda              | File Management Specialis | Legal Support Services    | Toronto   | 03/28/2016 |
| Dimitriou, Melis            | Conflict & Risk Manag Ast | Risk Management           | Toronto   | 02/16/2016 |
| Dimitrova, Milena           | Legal Administrative Assi | Legal Support Services    | Toronto   | 11/17/2008 |
| Dixon, Daraphone            | LAA/Jr. Compliance Clerk  | Legal Support Services    | Toronto   | 05/04/2015 |
| Donaher, Stephanie J.       | Nat.Dir,Prof.Growth/Mgmt  | Professional Growth & Mgm | Toronto   | 05/04/1998 |
| Drown, Bolortungalag        | Executive Receptionist    | Reception                 | Vancouver | 03/13/2017 |
| D'Silva, Janis              | Trade Mark Admin Clerk    | Administration            | Toronto   | 09/04/2007 |
| D'Souza, Sydel              | DSC/Legal Support Ast     | Document Specialist Centr | Vancouver | 10/06/2016 |
| Duckworth, Claire F.        | National Director, Financ | Finance/Accounting        | Toronto   | 08/26/2013 |
| Eckerman, Tracy             | Document Specialist       | Document Specialist Centr | Toronto   | 01/12/2016 |
| Ellis, June V.              | Supvr, Hospitality & Conc | Reception                 | Toronto   | 09/05/1995 |
| Elvey, Rasha                | Evening Team Leader, DSC  | Document Specialist Centr | Toronto   | 11/03/2008 |
| Eno, Laura                  | Library Technician        | Research and Library Serv | Vancouver | 03/15/1993 |



|                                 |                           |                           |           |            |
|---------------------------------|---------------------------|---------------------------|-----------|------------|
| Farndon, Sandra E.              | Legal Administrative Assi | Legal Support Services    | Toronto   | 09/17/1990 |
| Fedorchuk, Iryna                | Business Centre Assistant | Business Centre           | Toronto   | 05/06/2013 |
| Fernandez, Mark R.              | Project Manager           | Information Technology    | Toronto   | 06/24/2013 |
| Filippelli, Sofia S.            | Legal Administrative Assi | Legal Support Services    | Toronto   | 03/28/2011 |
| Fiorella, Tina                  | Project Manager, EPMO     | Information Technology    | Toronto   | 04/09/2012 |
| Flynn, Nicole M.                | Legal Administrative Assi | Legal Support Services    | Toronto   | 01/24/2000 |
| Fonseca, Helena                 | Legal Administrative Assi | Legal Support Services    | Toronto   | 09/14/2015 |
| Fontaine, Evelyn                | DSC/Legal Support Ast     | Document Specialist Centr | Vancouver | 05/16/2016 |
| Fordham, Leah R.                | Legal Administrative Assi | Legal Support Services    | Vancouver | 09/27/2010 |
| Francini, Lisa                  | Controller, RevOps&CLC    | Finance/Accounting        | Toronto   | 06/16/2014 |
| Frechette, Shanna               | Document Specialist/Train | Document Specialist Centr | Montreal  | 10/13/2015 |
| Freedman, Joshua                | Articling/Student at Law  | Students- Articling       | Toronto   | 05/04/2015 |
| Freeman, Jennifer (Juleanna) E. | Legal Administrative Assi | Legal Support Services    | Vancouver | 01/30/2006 |
| Fuentes, Juan C.                | Tech Support Analyst      | Information Technology    | Toronto   | 10/26/2015 |
| Garneau-Ross, Louise            | Nat.Coordinator, PG&M     | Professional Growth & Mgm | Toronto   | 09/17/2007 |
| Gaudet, Courtney                | Legal Administrative Assi | Legal Support Services    | Montreal  | 07/16/2012 |
| Gent, Michel                    | Financial Systems Analyst | Finance/Accounting        | Toronto   | 09/15/2014 |
| Germain, Mireille               | Articling/Student at Law  | Students- Articling       | Montreal  | 05/04/2016 |
| Giannini, Franca                | Office Manager            | Administration            | Montreal  | 05/25/1991 |
| Glass, Lori                     | eDiscovery Support Analys | E-Discovery Support       | Toronto   | 11/21/2016 |
| Glavin, Beth                    | Legal Administrative Assi | Legal Support Services    | Ottawa    | 11/04/1985 |
| Gledhill, Sherisse              | Legal Administrative Assi | Legal Support Services    | Toronto   | 10/20/2003 |
| Goldfarb, Brenda                | Collections Clerk         | Finance/Accounting        | Toronto   | 08/09/2016 |
| Goldsman, Julie                 | Manager, Marketing & Bus  | Marketing & Bus. Developm | Montreal  | 02/28/2007 |
| Goncalves, Cindy L.             | Accounts Payable Admin    | Finance/Accounting        | Toronto   | 03/20/1996 |
| Goncalves, Lidia M.             | Supervisor, Business Cent | Business Centre           | Toronto   | 10/01/1990 |
| Gordeyev, Iliia                 | Tech Support Analyst      | Information Technology    | Toronto   | 09/24/2012 |
| Gouveia, Susan D.               | Legal Administrative Assi | Legal Support Services    | Toronto   | 11/25/1991 |
| Graden, Ashleigh                | Research Librarian        | Research and Library Serv | Toronto   | 04/11/2016 |
| Gray, Colette M.                | Legal Administrative Assi | Legal Support Services    | Toronto   | 04/01/1997 |
| Greene, Loraine M.              | Legal Admin Asst- Floater | Legal Support Services    | Vancouver | 01/02/2008 |
| Gregory, Dawn (Ingrid)          | Exec Rec, Hosp & Conci    | Reception                 | Toronto   | 01/03/1977 |
| Grewal, Rabnit                  | Assistant, Mktg&Bus.Dev.  | Marketing & Bus. Developm | Vancouver | 02/14/2017 |
| Guglietti, Patrick V.           | Team Lead, Trust & Treasu | Finance/Accounting        | Toronto   | 05/14/2007 |
| Haji, Pyarali A.                | Facilities Assistant      | Facilities                | Toronto   | 10/29/1980 |
| Han, Leah                       | Office Services Assistant | Business Centre           | Vancouver | 12/07/2015 |
| Harries, Ronald M.              | Tech Support Analyst      | Information Technology    | Vancouver | 12/03/2012 |
| Healey, Larry                   | Dir, Fin Sys, AP & Trust  | Finance/Accounting        | Toronto   | 04/07/2014 |
| Helal, Bushra                   | Mkt & Bus Devel Specialis | Marketing & Bus. Developm | Toronto   | 12/15/2016 |
| Hénault, Isabelle               | Office Services Assistant | Business Centre           | Montreal  | 12/17/2008 |
| Hewgill, Wendy D.               | Legal Administrative Assi | Legal Support Services    | Toronto   | 09/04/1984 |
| Homem, Cristina                 | Legal Administrative Assi | Legal Support Services    | Toronto   | 06/26/2000 |
| Hope, Dionne                    | Legal Administrative Assi | Legal Support Services    | Toronto   | 04/23/2012 |
| Huang, Johnny                   | Team Lead, GL Accounting  | Finance/Accounting        | Toronto   | 05/13/2013 |
| Huang, Michael                  | Accounts Payable Admin    | Finance/Accounting        | Toronto   | 01/29/2015 |
| Hughes, Sasha                   | Minute Book Librarian     | Research and Library Serv | Toronto   | 11/24/2014 |
| Hughes, Tomas                   | Tech Support Analyst      | Information Technology    | Vancouver | 01/03/2017 |
| Hussain, Pamela                 | Asst, Hosp & Conc Service | Boardroom Services        | Toronto   | 08/22/2011 |
| Igbinigie, Nneka                | Tech Support Analyst      | Information Technology    | Calgary   | 11/04/2013 |
| Indyk, Priscil                  | Legal Administrative Assi | Legal Support Services    | Vancouver | 01/02/2007 |
| Jardim Costa, Nancy M.          | Nat.Coordinator, PG&M     | Professional Growth & Mgm | Toronto   | 01/05/1998 |
| Jay, Carolyn                    | Mgr,HRIS,Payroll&Benefits | Human Resources           | Toronto   | 07/25/2016 |
| Jennings, Martha                | Library Technician        | Research and Library Serv | Toronto   | 09/23/2013 |
| Jinah, Alnoor M.                | AV Technician             | Facilities                | Toronto   | 03/13/1995 |

|                            |                            |                           |           |            |
|----------------------------|----------------------------|---------------------------|-----------|------------|
| Joilliffe, Prairie         | Legal Administrative Assl  | Legal Support Services    | Vancouver | 06/27/2011 |
| Kaitharan, Rose M.         | Business Centre Coordinat  | Business Centre           | Toronto   | 10/05/2015 |
| Kaimokoff, Kathryn Michele | Document Specialist        | Document Specialist Cent  | Vancouver | 05/03/2016 |
| Kane, Marlene J.           | Dir. of Profess.Dev., Nat. | Professional Growth & Mgm | Toronto   | 03/17/1986 |
| Kastner, Brenda            | Document Specialist        | Document Specialist Cent  | Toronto   | 05/09/2016 |
| Khalil, Shahram            | Articling/Student at Law   | Students- Articling       | Toronto   | 05/04/2015 |
| Khan, Amer                 | Dir, Info Security & Gov   | Info Security & Governanc | Toronto   | 07/04/2016 |
| Khan, Suraya               | Legal Administrative Assl  | Legal Support Services    | Vancouver | 06/14/2004 |
| Kibjls, Dena A.            | Legal Administrative Assl  | Legal Support Services    | Toronto   | 11/15/1995 |
| Kim, Da Hee                | Staff Accountant           | Finance/Accounting        | Toronto   | 11/14/2016 |
| Kimiec, Kinga              | Legal Administrative Assl  | Legal Support Services    | Toronto   | 05/21/2002 |
| Kokkinakos, Patricia       | Legal Administrative Assl  | Legal Support Services    | Toronto   | 05/31/1999 |
| Koszler, Bianka            | Office Services Assistant  | Business Centre           | Calgary   | 10/17/2016 |
| Krstic, Duda               | Billing Coordinator        | Finance/Accounting        | Vancouver | 11/12/2013 |
| Kwong, Ada                 | Legal Administrative Assl  | Legal Support Services    | Toronto   | 10/15/1990 |
| Kwong, Eifi Lok Wai        | Research Librarian         | Research and Library Serv | Toronto   | 03/14/2016 |
| Lacroix, Leona             | Trust & Billing Adminstr   | Finance/Accounting        | Ottawa    | 02/17/2015 |
| Lai, Jessica               | Legal Administrative Assl  | Legal Support Services    | Vancouver | 05/28/2012 |
| Lam, Karen                 | DSC/Legal Support Ast      | Document Specialist Cent  | Vancouver | 04/25/2016 |
| Lapierre, Andrea           | Legal Administrative Assl  | Legal Support Services    | Vancouver | 04/30/2012 |
| Lavoie, Genevieve          | Bar School                 | Students- Articling       | Montreal  | 09/08/2016 |
| Lawrence, Akousa           | Team Lead, Hosp & Concier  | Reception                 | Toronto   | 09/01/2016 |
| Leach, Nina E.             | Ntl Mgr, Hosp & Con Serv.  | Hospitality Conclerge Ser | Toronto   | 11/13/2000 |
| Lee, Jocelyn               | Legal Administrative Assl  | Legal Support Services    | Toronto   | 02/17/2015 |
| Lee, Wonseok William       | Articling/Student at Law   | Students- Articling       | Toronto   | 05/04/2015 |
| Leo, Wilma                 | Legal Administrative Assl  | Legal Support Services    | Toronto   | 03/14/1988 |
| Leslie, Daisy A.           | Legal Administrative Assl  | Legal Support Services    | Toronto   | 02/20/2006 |
| Leung, Yvonne              | Nat.Mgr Resear & Lib Serv  | Research and Library Serv | Toronto   | 01/09/2012 |
| Lewin, Rhoda               | Legal Administrative Assl  | Legal Support Services    | Toronto   | 02/27/2017 |
| Lian, May Cui Wei          | Legal Administrative Assl  | Legal Support Services    | Calgary   | 12/29/2014 |
| Lichty, Anna               | Articling/Student at Law   | Students- Articling       | Ottawa    | 05/04/2015 |
| Ligere, Marcia             | Billing Coordinator        | Finance/Accounting        | Toronto   | 07/14/2014 |
| Lin, Min                   | Accounts Payable Admin     | Finance/Accounting        | Toronto   | 03/25/2013 |
| Liu, Jia                   | Mgr, Fin Planning & Analy  | Finance/Accounting        | Toronto   | 09/24/2012 |
| Lok, Bryan                 | Office Services Assistant  | Business Centre           | Vancouver | 01/16/2017 |
| LoMonaco, Joanne           | Legal Administrative Assl  | Legal Support Services    | Toronto   | 02/28/2005 |
| Longo, Marianna            | Learning&Performance Spec  | Learning & Development    | Toronto   | 12/01/1997 |
| Louie, Susan               | Legal Administrative Assl  | Legal Support Services    | Toronto   | 02/03/1994 |
| Lukefic, Kimberly A.       | National Manager, DSC      | Document Specialist Cent  | Toronto   | 11/27/1989 |
| Lussier, France A.         | DSC/Legal Support Ast      | Document Specialist Cent  | Montreal  | 08/29/2011 |
| Ma, Lingji                 | File Management Specialis  | Legal Support Services    | Vancouver | 10/31/2016 |
| Maccharlane, Judith A.     | Dir, Professional Resourc  | Professional Growth & Mgm | Vancouver | 12/05/2011 |
| MacKae, Deborah            | Legal Administrative Assl  | Legal Support Services    | Calgary   | 12/03/2014 |
| Maharaj, Judy              | Supervisor, Collections    | Finance/Accounting        | Toronto   | 12/07/2015 |
| Malette, Andrea            | Human Resources Analyst    | Human Resources           | Toronto   | 10/20/2014 |
| Marks, Lynn                | Admin. Assistant           | Legal Support Services    | Ottawa    | 12/01/2016 |
| Martella, Adriana          | Legal Administrative Assl  | Legal Support Services    | Montreal  | 01/03/1983 |
| Martinez Mora, Samuel      | Solutions Architect        | Information Technology    | Toronto   | 04/29/2013 |
| McBeath, Suzanne           | Research Librarian         | Research and Library Serv | Vancouver | 04/04/2016 |
| McDonald, Crystal          | Legal Administrative Assl  | Legal Support Services    | Calgary   | 10/15/2013 |
| McDonnell, Niamh           | DSC/Legal Support Ast      | Legal Support Services    | Vancouver | 03/06/2017 |
| McGinn, Michael            | Learning & Dev Specialist  | Learning & Development    | Vancouver | 08/16/2016 |
| McGreer, Claudette         | Legal Administrative Assl  | Legal Support Services    | Toronto   | 03/24/2014 |
| Medeiros, Lucy             | Legal Administrative Assl  | Legal Support Services    | Toronto   | 03/22/1999 |

|                           |                            |                           |          |            |
|---------------------------|----------------------------|---------------------------|----------|------------|
| Medeiros, Sheila M.       | Legal Administrative Assi  | Legal Support Services    | Vancover | 02/21/2000 |
| Mesina, Ella              | Mktng&Bus.Dev.Coordinator  | Marketing & Bus. Developm | Toronto  | 08/22/2016 |
| Messinger, Crystal M.     | Legal Administrative Assi  | Legal Support Services    | Vancover | 03/25/2008 |
| Metcalfe, Benjamin J.     | Document Specialist/ Train | Document Specialist Cent  | Calgary  | 08/11/2014 |
| Meza, Nael                | Asst. Hosp & Conc Service  | Hospitality Concierge Ser | Toronto  | 02/27/2017 |
| Miroshnichenko, Natalia   | Manager, Human Resources   | Human Resources           | Vancover | 08/02/2016 |
| Misra, Tiffany            | Articling/Student at Law   | Students- Articling       | Vancover | 05/04/2015 |
| Mooney, Britany           | Human Resources Coordinat  | Human Resources           | Toronto  | 12/07/2015 |
| Moore, Gundy              | Legal Administrative Assi  | Legal Support Services    | Vancover | 05/13/2013 |
| Morin, Christine          | Office Services Assistant  | Business Centre           | Montreal | 11/20/2006 |
| Morley, Justine           | Team Lead, Office & Facil  | Business Centre           | Calgary  | 12/10/2012 |
| Mottley, Lance            | Mgr, Enterprise Architect  | Information Technology    | Toronto  | 10/28/2013 |
| Muir, Linda               | Executive Receptionist     | Reception                 | Vancover | 02/07/1985 |
| Mundy, Julie A.           | Business Analyst           | Information Technology    | Toronto  | 11/17/2003 |
| Napolitano, Margherita    | Legal Administrative Assi  | Legal Support Services    | Toronto  | 01/06/1986 |
| Neil, Lynda               | Director, PMO              | Information Technology    | Toronto  | 03/18/2013 |
| Nesovic, Emily            | Coord,Online Content & Co  | Innovation & Information  | Toronto  | 05/13/2013 |
| Nguyen, Loan              | Billing Coordinator        | Finance/Accounting        | Toronto  | 03/13/2017 |
| Nicholson, Janette        | Learning Technologist      | Learning & Development    | Toronto  | 06/20/1994 |
| Nieuwenhuis, Ruth Janelle | Articling/Student at Law   | Students- Articling       | Vancover | 05/04/2015 |
| Niski, Mikolaj            | Articling/Student at Law   | Students- Articling       | Toronto  | 05/04/2015 |
| Notarangelo, Daniel       | IT Solutions Specialist    | Information Technology    | Montreal | 11/28/2011 |
| O'Dair, Ellen             | Library Clerk              | Research and Library Serv | Ottawa   | 09/27/2016 |
| Offenoe, Gemmaelene V.    | Document Specialist        | Document Specialist Cent  | Toronto  | 07/07/2003 |
| Okoy, Diana B.            | DSC/Legal Support Ast      | Document Specialist Cent  | Toronto  | 05/04/2015 |
| Oldenburg, Kelly R.       | Mkt & Bus Devel Specialis  | Marketing & Bus. Developm | Calgary  | 08/11/2014 |
| Ottaviano, Mary (Manella) | Legal Administrative Assi  | Legal Support Services    | Toronto  | 05/08/1995 |
| Quelley, Guillaume        | Team Lead, Office & Facil  | Business Centre           | Montreal | 02/19/1996 |
| Pa, Judy                  | Controller, ExpO&Report    | Finance/Accounting        | Toronto  | 02/11/2013 |
| Paiger, Allison           | Ntl Mgr, Fac & Office Ser  | Facilities                | Vancover | 07/16/2012 |
| Palmas, Pedro             | Mgr, Architecture & Tech   | Information Technology    | Toronto  | 10/28/2010 |
| Papillon, Karine          | Legal Administrative Assi  | Legal Support Services    | Montreal | 05/04/2015 |
| Papineau-Wolff, Sophie    | Bar School                 | Students- Articling       | Montreal | 05/02/2016 |
| Park, Mee Kyung           | DSC/Legal Support Ast      | Document Specialist Cent  | Toronto  | 02/18/2014 |
| Pelletier, May S.         | Legal Administrative Assi  | Legal Support Services    | Vancover | 04/04/2005 |
| Peliquin, Catherine       | Dir, Professional Resourc  | Professional Growth & Mgm | Montreal | 05/27/2010 |
| Pertschl, Sigrid          | Supervisor,Legal Sup Serv  | Legal Support Services    | Vancover | 04/10/2013 |
| Peters, Allison           | Ntl Recruitment Specialis  | Human Resources           | Ottawa   | 05/27/2013 |
| Phanor, Wanda             | Legal Administrative Assi  | Legal Support Services    | Ottawa   | 11/05/1990 |
| Pica, Sabrina             | Mktng&Bus.Dev.Coordinator  | Marketing & Bus. Developm | Toronto  | 10/13/2016 |
| Pinto, Rita               | Legal Administrative Assi  | Legal Support Services    | Toronto  | 04/04/1983 |
| Plaath, Rolanda           | Admin. Assistant           | Hospitality Concierge Ser | Toronto  | 09/28/2015 |
| Potter, Joanne E.         | Legal Administrative Assi  | Legal Support Services    | Toronto  | 09/12/1983 |
| Pouliot, Madelaine        | Client life Cycle Coordin  | Finance/Accounting        | Toronto  | 03/14/2011 |
| Powell, Ashley C.         | DSC/Legal Support Ast      | Document Specialist Cent  | Toronto  | 10/26/2015 |
| Power, Bradley            | Document Specialist        | Document Specialist Cent  | Toronto  | 09/10/2012 |
| Prashar, Rajat            | National Director, IT      | Information Technology    | Toronto  | 09/12/2011 |
| Prefontaine, Connie       | Legal Admin Asst- Floater  | Legal Support Services    | Calgary  | 05/01/2009 |
| Provencher, Jessica       | Document Specialist        | Document Specialist Cent  | Ottawa   | 01/17/2011 |
| Pugh, April               | Legal Administrative Assi  | Legal Support Services    | Toronto  | 03/06/2006 |
| Purdon, Christopher D.    | Ntl Mgr, E-Discovery Supp  | E-Discovery Support       | Toronto  | 06/03/2013 |
| Qujian, Richard F.        | Business Centre Assistant  | Business Centre           | Toronto  | 10/14/1997 |
| Ragheb, Suzan S.          | Collections Clerk          | Finance/Accounting        | Toronto  | 10/07/2015 |
| Railkar, Pranita          | Billing Coordinator        | Finance/Accounting        | Toronto  | 11/11/2013 |



|                           |                           |                           |           |            |
|---------------------------|---------------------------|---------------------------|-----------|------------|
| Raina, Vandna             | Manager, Marketing & Bus  | Marketing & Bus. Developm | Toronto   | 11/21/2011 |
| Ramlall, Sabrina          | Manager, Human Resources  | Human Resources           | Toronto   | 04/21/2008 |
| Ramos, Sylvia             | Asst, Hosp & Conc Service | Boardroom Services        | Toronto   | 04/04/2001 |
| Rampergass, Fareeda       | Executive Assistant, MBD  | Marketing & Bus. Developm | Toronto   | 11/26/2012 |
| Rana, Shiaheen M.         | DSC/Legal Support Ast     | Document Specialist Centr | Toronto   | 05/20/2003 |
| Randhawa, Gurleen K.      | Articling/Student at Law  | Students- Articling       | Vancouver | 05/04/2015 |
| Reachill, Amy             | Legal Administrative Assi | Legal Support Services    | Toronto   | 06/21/2004 |
| Reynolds, Nicole          | Human Resources Specialis | Human Resources           | Toronto   | 05/16/2011 |
| Ricchetti, Alexander      | Articling/Student at Law  | Students- Articling       | Toronto   | 05/04/2015 |
| Richards, Trish           | DSC/Legal Support Ast     | Document Specialist Centr | Toronto   | 05/20/2003 |
| Rider, Nisha              | National Director, HR     | Human Resources           | Toronto   | 06/01/1994 |
| Roberts, Karen            | Billing Coordinator       | Finance/Accounting        | Vancouver | 06/23/2014 |
| Roberts, Louis            | Tech Support Analyst      | Information Technology    | Montreal  | 12/19/2016 |
| Robertson, Justin         | Mktg & Comm. Specialist   | Marketing & Bus. Developm | Toronto   | 02/13/2017 |
| Romero, Tara              | DSC/Legal Support Ast     | Document Specialist Centr | Toronto   | 01/30/2006 |
| Roudenskaia, Marina       | Billing Coordinator       | Finance/Accounting        | Vancouver | 11/25/2013 |
| Roventa, Richard          | Records Analyst           | Info Security & Governanc | Toronto   | 07/02/2013 |
| Rozario, Nicole           | Articling/Student at Law  | Students- Articling       | Toronto   | 05/04/2015 |
| Saini, Lovepreet          | Articling/Student at Law  | Students- Articling       | Calgary   | 05/04/2015 |
| Samuel, Roxanne           | Legal Administrative Assi | Legal Support Services    | Toronto   | 09/02/2014 |
| Sauriol, Tiffany          | Legal Administrative Assi | Legal Support Services    | Toronto   | 10/04/1999 |
| Schrager, Ilana C.        | Manager, PG&M             | Professional Growth & Mgm | Vancouver | 09/09/2015 |
| Scott, Dagmar             | Consultant                | Facilities                | Toronto   | 02/03/2003 |
| Sebastian, Denise         | DSC/Legal Support Ast     | Document Specialist Centr | Toronto   | 10/09/2012 |
| Shageer, Nardiya          | File Management Specialis | Legal Support Services    | Toronto   | 04/23/2012 |
| Shahabun, Rehan           | Document Specialist       | Document Specialist Centr | Toronto   | 01/03/2017 |
| Sham, Doris               | File Management Specialis | Legal Support Services    | Toronto   | 02/27/2017 |
| Shaw, Ruth                | Legal Administrative Assi | Legal Support Services    | Toronto   | 06/11/2007 |
| Shen, Kuo                 | Financial Analyst         | Finance/Accounting        | Toronto   | 01/04/2016 |
| Shering, Rebecca          | Legal Administrative Assi | Legal Support Services    | Toronto   | 03/15/2010 |
| Shetty, Rose M.           | Document Specialist       | Document Specialist Centr | Toronto   | 06/05/1989 |
| Shunthirasingham, Nundini | Application Support Speci | Information Technology    | Toronto   | 11/14/2016 |
| Shustova, Oksana          | Legal Administrative Assi | Legal Support Services    | Montreal  | 05/10/2010 |
| Slatskas, Eleonor         | DSC/Legal Support Ast     | Document Specialist Centr | Toronto   | 11/16/2011 |
| Singh, Kamini M.          | Team Leader, A/P          | Finance/Accounting        | Toronto   | 07/19/1993 |
| Singh, Nadine             | Mktng&Bus.Dev.Coordinator | Marketing & Bus. Developm | Toronto   | 02/13/2017 |
| Singh, Shalini            | Legal Administrative Assi | Legal Support Services    | Toronto   | 08/23/1999 |
| Smith, Kelly              | Trust Accounting Admin    | Finance/Accounting        | Montreal  | 12/11/1992 |
| Smith, Nadine             | Supervisor, Billing       | Finance/Accounting        | Toronto   | 08/06/2013 |
| Smolis, Marjetka          | Hospitality Coordinator   | Boardroom Services        | Montreal  | 11/09/2015 |
| Spanjers, Emily           | Research Librarian        | Research and Library Serv | Vancouver | 10/07/2013 |
| Spano-Greco, Catena       | Legal Administrative Assi | Legal Support Services    | Toronto   | 09/19/1988 |
| Spence, Alex              | AV Technician             | Facilities                | Toronto   | 08/24/2015 |
| Spoltore, Marlene         | Records Mgmt Assistant    | Info Security & Governanc | Toronto   | 10/27/1971 |
| Stasiuk, Amanda           | Legal Administrative Assi | Legal Support Services    | Toronto   | 04/05/2016 |
| Steffensen, Donna         | Legal Administrative Assi | Legal Support Services    | Ottawa    | 11/01/2004 |
| Sudeikis, Elaine          | Cash Receipts Admin       | Finance/Accounting        | Toronto   | 03/13/2017 |
| Sugar, Daniel             | CRM Assistant             | Marketing & Bus. Developm | Toronto   | 07/04/2016 |
| Sutherland, Kara          | Dir, Professional Resourc | Professional Growth & Mgm | Toronto   | 03/06/2017 |
| Sutton, Kailey            | Articling/Student at Law  | Students- Articling       | Toronto   | 05/04/2015 |
| Suvaminathan, Valenteena  | Articling/Student at Law  | Students- Articling       | Toronto   | 05/04/2015 |
| Szilagyi, Balazs          | Team Leader, Audio Visual | Facilities                | Toronto   | 06/20/2011 |
| Tadayyon, Hadi            | Data Scientist            | Innovation & Information  | Toronto   | 07/04/2016 |
| Taylor, Brent A.          | Ntl Mgr, Learning & Devel | Learning & Development    | Toronto   | 09/21/2015 |

|                               |                             |                           |           |            |
|-------------------------------|-----------------------------|---------------------------|-----------|------------|
| Taylor, Susan E.              | DSC/Legal Support Asst      | Document Specialist Centr | Toronto   | 10/09/2012 |
| Thambiah, Thavaseelan         | Enterprise App Developer    | Information Technology    | Toronto   | 12/12/2011 |
| Thayne, Carolyn               | Legal Administrative Assi   | Legal Support Services    | Calgary   | 02/13/2017 |
| Theoret, France               | National Payroll Administ   | Human Resources           | Montreal  | 10/02/1989 |
| Thomas, Arlette               | Document Specialist         | Document Specialist Centr | Montreal  | 01/23/2017 |
| Therman, Dana L.              | Billing Coordinator         | Finance/Accounting        | Toronto   | 04/13/2015 |
| Toman, Maryanne A.            | Document Specialist         | Document Specialist Centr | Toronto   | 09/07/1976 |
| Tomovic, Milena               | Coordinator                 | Professional Growth & Mgm | Montreal  | 01/23/2013 |
| Tudgeon, Deidre               | Legal Administrative Assi   | Legal Support Services    | Calgary   | 08/02/2016 |
| Tsang, Euphemia L.            | Legal Administrative Assi   | Legal Support Services    | Toronto   | 06/07/1993 |
| Tsang, Shing Hon Ricky        | Office Services Assistant   | Business Centre           | Vancouver | 12/07/2015 |
| Tsin, Susanna S.              | Exec/Legal Admin Assistan   | Legal Support Services    | Vancouver | 09/17/2001 |
| Tsumagari, David              | Legal Administrative Assi   | Legal Support Services    | Calgary   | 07/02/2013 |
| Turpin, Emmanuelle            | Admin Coord, Mktg&Ling      | Marketing & Bus. Developm | Montreal  | 03/29/2016 |
| Valian, Gulmail K.            | Business Centre Assistant   | Business Centre           | Toronto   | 11/11/1985 |
| Valencia, Juan                | Tech Support Analyst        | Information Technology    | Toronto   | 09/19/2016 |
| Van Rooyen, Patricia          | Document Specialist         | Document Specialist Centr | Toronto   | 02/16/2004 |
| Vary, Catherine A.            | File Management Specialis   | Legal Support Services    | Montreal  | 06/16/2016 |
| Veidman, Amanda L.            | Asst, Hosp & Conc Service   | Hospitality Conderge Ser  | Vancouver | 02/06/2017 |
| Ven, Joanne C.                | Legal Administrative Assi   | Legal Support Services    | Toronto   | 10/10/1972 |
| Verity, Allison               | Office Manager              | Administration            | Calgary   | 08/08/2016 |
| Viani, Judy                   | Legal Administrative Assi   | Legal Support Services    | Vancouver | 10/07/1991 |
| Villar, Ricardo               | Business Centre Assistant   | Business Centre           | Toronto   | 03/16/2015 |
| Vineberg, Philip De Benedicts | Articling/Student at Law    | Students- Articling       | Toronto   | 05/04/2015 |
| Viner, Lori                   | Legal Administrative Assi   | Legal Support Services    | Vancouver | 05/09/2016 |
| Vukorep, Darlene              | Legal Administrative Assi   | Legal Support Services    | Vancouver | 11/04/1985 |
| Wagg, Michelle M.             | Team Lead, Doc Spec/LSS     | Legal Support Services    | Toronto   | 05/06/1998 |
| Walker, Amber                 | Coordinator                 | Professional Growth & Mgm | Vancouver | 07/11/2016 |
| Walker, James                 | Program Manager             | Information Technology    | Toronto   | 03/25/2013 |
| Walls, Tracy                  | Legal Administrative Assi   | Legal Support Services    | Toronto   | 08/30/1999 |
| Watson, Stacie L.             | Legal Administrative Assi   | Legal Support Services    | Vancouver | 03/21/2011 |
| Webb, Candace E.              | Trust Accounting Admin      | Finance/Accounting        | Calgary   | 10/14/2014 |
| Weber, Stephanie              | Business Centre Assistant   | Business Centre           | Toronto   | 01/01/2011 |
| Wei, Min                      | General Accountant          | Finance/Accounting        | Vancouver | 11/10/2014 |
| Weir, Christina               | Mgr, HRIS, Payroll&Benefits | Human Resources           | Toronto   | 07/05/2010 |
| Wilhelmna, April              | National Records Manager    | Info Security & Governanc | Vancouver | 01/14/2013 |
| Wong, Sharon                  | LAA/Paralegal               | Legal Support Services    | Vancouver | 08/15/2016 |
| Wypych, Jonathan              | Articling/Student at Law    | Students- Articling       | Toronto   | 05/04/2015 |
| Xue, Weiwei                   | Articling/Student at Law    | Students- Articling       | Toronto   | 05/04/2015 |
| Yankowski, Frances            | Billing Coordinator         | Finance/Accounting        | Montreal  | 09/11/1989 |
| Yee, Melinda                  | Legal Administrative Assi   | Legal Support Services    | Vancouver | 11/07/2005 |
| Yukawa, Mari L.               | Legal Administrative Assi   | Legal Support Services    | Vancouver | 04/25/2000 |
| Yung, Grace                   | Legal Administrative Assi   | Legal Support Services    | Toronto   | 08/22/1988 |
| Yung, Michelle                | Legal Administrative Assi   | Legal Support Services    | Toronto   | 02/24/1997 |
| Zheng, Kang                   | Info Security Analyst       | Information Technology    | Toronto   | 12/12/2016 |

**Active Patent & Trade Mark Agents (As of March 2017)**

| Employee Name (Last Suffix, First MI) | Job                     | Department      | Work Location | Original Hire |
|---------------------------------------|-------------------------|-----------------|---------------|---------------|
| Abdel-Kader, Sherif A.                | Patent/Technical Spclst | Regulatory      | Toronto       | 05/16/2016    |
| Bernier, Alain                        | Consultant/Legal        | Regulatory      | Montreal      | 07/25/2011    |
| Terrefe, Tilaye                       | Patent Agent Trainee    | Technology & IP | Toronto       | 01/23/2017    |

**APPENDIX C**  
**ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2017**  
**(Excluding Patent & Trademark Agents)**

Name of Firm: McMillan LLP

**SECTION A**

| Professional Service<br>Provided<br>or Type of Profession | # Person<br>in Same<br>Profession | Location<br>(Province) | Client Contact  | Advise Clients  | Supervised<br>by Lawyers  | Underlying<br>Insurance <sup>/1</sup>                               | % of Time<br>Docketed <sup>/2</sup> |
|---|-----------------------------------|------------------------|---|---|---|---|-------------------------------------|
| Strategic Advisors  | 3                                 | VAN                    | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | 0.00                                |
| Strategic Advisors  | 1                                 | TOR                    | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | 0.00                                |
| Strategic Advisor   | 1                                 | HK                     | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | 0.00                                |
|   |                                   |                        | <input type="checkbox"/> Yes <input type="checkbox"/> No            | <input type="checkbox"/> Yes <input type="checkbox"/> No            | <input type="checkbox"/> Yes <input type="checkbox"/> No            | <input type="checkbox"/> Yes <input type="checkbox"/> No            |                                     |
|   |                                   |                        | <input type="checkbox"/> Yes <input type="checkbox"/> No            | <input type="checkbox"/> Yes <input type="checkbox"/> No            | <input type="checkbox"/> Yes <input type="checkbox"/> No            | <input type="checkbox"/> Yes <input type="checkbox"/> No            |                                     |

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: Misc. Professional Liability  
Insurance Carrier: Chubb Insurance Company of Canada  
Policy Number: 8207-4107  
Period of Insurance: October 31, 2016 - October 31, 2017  
Retroactive Date: \_\_\_\_\_  
Limits: \$ 2,000,000 per claim, \$ 2,000,000 aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ aggregate

<sup>/1</sup> If underlying insurance is purchased, please complete Section B.

<sup>/2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

**Non-Lawyer Consultants (As of March 2017)**

| Employee Name (Last Suffix, First MI) | Job                      | Department                | Work Location | Original Hire |
|---------------------------------------|--------------------------|---------------------------|---------------|---------------|
| Day, Stockwell                        | Senior Strategic Advisor | Marketing & Bus. Developm | Vancouver     | 07/01/2011    |
| Duncan, Dwight                        | Senior Strategic Advisor | Marketing & Bus. Developm | Toronto       | 02/28/2013    |
| Reynolds, John                        | Senior Strategic Advisor | Marketing & Bus. Developm | Vancouver     | 02/16/2006    |
| Dai, Hongyan                          | Senior Strategic Advisor | Marketing & Bus. Developm | Vancouver     | 3/12/2012     |
| Shao, Patty                           | Strategic Advisor        | Marketing & Bus. Developm | Hong Kong     |               |
|                                       |                          |                           |               |               |
| <b>**Murphy, Tim</b>                  | Lawyer, Equity Partner   | Financial Services        | Toronto       | 9/1/2006      |
| <b>**Mahoney, Richard</b>             | Counsel                  | Regulatory                |               | 9/13/2016     |

**\*\*NOTE:** These individuals are practising lawyers who also engage in providing advisory services and are listed as insureds in our Strategic Advisor's Professional Liability policy. They have been included in the lawyer headcount and not in the advisors headcount.

## ***Miscellaneous Professional Liability***

CHUBB INSURANCE COMPANY OF CANADA

### **DECLARATIONS**

RENEWAL OF: 8207-4107  
(October 31, 2015 to October 31, 2016)

POLICY NUMBER: 8207-4107

**THIS IS A CLAIMS MADE POLICY WHICH APPLIES ONLY TO ANY CLAIM FIRST MADE AGAINST THE INSURED AND REPORTED IN WRITING TO THE COMPANY DURING THE POLICY PERIOD OR, IF PURCHASED, THE EXTENDED REPORTING PERIOD. THE LIMITS OF LIABILITY AVAILABLE TO PAY DAMAGES SHALL BE REDUCED BY DEFENCE EXPENSES, AND DEFENCE EXPENSES WILL BE APPLIED AGAINST THE DEDUCTIBLE.**

**Item 1. INSURED - ADDRESS:**

LML & S LIMITED PARTNERSHIP., MCMILLAN LLP  
1500 - 1055 West Georgia Street  
Vancouver, BC V6E4N7

**Item 2. POLICY PERIOD:**

- (a) Inception Date: October 31, 2016
  - (b) Expiration Date: October 31, 2017
- at 12:01 a.m. both dates at the Address in ITEM 1.

**Item 3. LIMITS OF LIABILITY (inclusive of DEFENCE Expenses):**

- (a) \$2,000,000.00 maximum limit of liability each **Claim or Related Claims**, but not to exceed
- (b) \$2,000,000.00 maximum aggregate limit of liability under the Policy for all **Claims**.

**Item 4. DEDUCTIBLE:** \$10,000.00 each **Claim or Related Claims**.

**Item 5. PREMIUM:** \$12,968.00

**Item 6. PROFESSIONAL SERVICES:**

Business and Market Research, Public Sector Consulting but excluding Legal Services

**Item 7. RETROACTIVE DATE:** Not Applicable, at 12:01 a.m. at the Address in ITEM 1.

## ***Miscellaneous Professional Liability***

IN WITNESS WHEREOF, CHUBB INSURANCE COMPANY OF CANADA has caused this policy to be signed by its President.

CHUBB INSURANCE COMPANY OF CANADA

*Ellen J. Moore*

\_\_\_\_\_  
President

*[Signature]*

\_\_\_\_\_  
Authorized Representative

08/17/16

\_\_\_\_\_  
Date

## MISCELLANEOUS PROFESSIONAL LIABILITY POLICY

**THIS IS A CLAIMS MADE POLICY WITH DEFENCE EXPENSES INCLUDED IN THE LIMITS OF LIABILITY. PLEASE READ THE ENTIRE POLICY CAREFULLY.**

**Subject to the applicable limits of liability and all of the terms and conditions of this Policy, Chubb Insurance Company of Canada (the Company) and the Insured agree as follows:**

### **I. INSURING AGREEMENT**

The Company will pay on behalf of the **Insured Damages** which the **Insured** shall become legally obligated to pay and **DEFENCE Expenses** as a result of any **Claim** first made against the **Insured** during the **Policy Period** and reported in writing to the Company during the **Policy Period** for a **Wrongful Act** first committed on or after the Retroactive Date stated in ITEM 7 of the Declarations. As part of and subject to the applicable limits of liability, the Company shall have the right and duty to defend any such **Claim**, even if the **Claim** is groundless.

### **II. DEFINITIONS**

- (A) **"Claim"** means any civil action, suit, proceeding or demand by any person or entity seeking to hold the **Insured** responsible for monetary damages as a result of a **Wrongful Act** actually or allegedly committed by the **Insured** or by any other person for whose **Wrongful Acts** the **Insured** is legally responsible.
- (B) **"Damages"** means judgments or settlements negotiated with the approval of the Company; however, **Damages** shall not include:
  - (1) fines, taxes, or penalties;
  - (2) fees or other charges of the **Insured**; or
  - (3) punitive or exemplary damages or the multiplied portion of any multiplied damage award, except where permitted by law **Damages** shall include, an amount not exceeding the sum of \$25,000, the multiplied portion of a multiplied damages award or an award of punitive or exemplary damages, which sum shall be part of and not in addition to the applicable limits of liability.
- (C) **"DEFENCE Expenses"** means reasonable expenses and legal fees incurred with the approval of the Company in the investigation, adjustment, DEFENCE or appeal of a **Claim** against the **Insured**; however, **DEFENCE Expenses** shall not include salaries, overhead or benefit expenses or other fees and charges of the **Insured**.
- (D) **"Insured"** means the person or entity stated in ITEM 1 of the Declarations, and any natural person who was, is or shall become a director, officer, employee or partner thereof, but only while such person was, is or shall be acting within the scope of his or her duties as such.



- (E) **"Policy Period"** means the period from the inception date to the expiration date stated in ITEM 2 of the Declarations, or to any earlier cancellation date.
- (F) **"Professional Services"** means only services performed for others for a fee and which are listed in ITEM 6 of the Declarations.
- (G) **"Related Claims"** means collectively all **Claims** involving the same **Wrongful Act** or **Wrongful Acts** which are logically or causally connected by reason of any common fact, circumstance, situation, transaction, event or decision.
- (H) **"Wrongful Act"** means any actual or alleged act, error or omission committed solely in the performance of, or failure to perform **Professional Services**.

### III. EXCLUSIONS

This Policy shall not apply to any **Claim**:

- (A) based on or directly or indirectly arising out of or resulting from any criminal, fraudulent, dishonest or discriminatory act or omission or any act, error or omission committed with knowledge of its wrongful nature or with intent to cause damage, or the gaining by the **Insured** of any personal profit, gain or advantage to which the **Insured** was not legally entitled; however, the Company shall reimburse the **Insured** for any **DEFENCE Expenses** that would have been payable under this Policy if, upon final disposition of such **Claim**, such allegations have not been proven;
- (B) for non-pecuniary relief;
- (C) based on or directly or indirectly arising out of or resulting from any actual or alleged bodily injury to or sickness, disease or death of any person, or damage to or destruction of any tangible property, including the loss of use thereof;
- (D) based on or directly or indirectly arising out of or resulting from:
  - (1) any actual, alleged or threatened exposure to, or generation, storage, transportation, discharge, emission, release, dispersal, escape, treatment, removal or disposal of any smoke, vapors, soot, fumes, acids, alkalis, toxic chemicals, liquids or gases, waste materials (including materials which are intended to be or have been recycled, reconditioned or reclaimed) or other irritants, pollutants or contaminants, or
  - (2) any regulation, order, direction or request to test for, monitor, clean up, remove, contain, treat, detoxify or neutralize any of the foregoing, or any action taken in contemplation or anticipation of any such regulation, order, direction or request;

- (E) by or on behalf of any person or entity included within the definition of **Insured** against any other person or entity included within the definition of **Insured**;
- (F) based on or directly or indirectly arising out of or resulting from the performance of or failure to perform **Professional Services** for:
  - (1) the **Insured**, or
  - (2) any entity owned or controlled by any person or entity included within the definition of **Insured**, or
  - (3) any person or entity which owns or controls any entity included within the definition of **Insured**, or
  - (4) any entity which is under common ownership or control with any entity included within the definition of **Insured**, or
  - (5) any entity of which any person included within the definition of **Insured** is a director, officer, partner or principal shareholder;
- (G) based on or directly or indirectly arising out of or resulting from any act, error, omission, fact, circumstance, situation, transaction, event or decision which is the subject of any notice or claim under any prior policy; or any other act, error, or omission, whenever occurring, which is logically or causally connected by reason of any common fact, circumstance, situation, transaction, event or decision, with any act, error or omission which is the subject of such notice or such claim.

#### IV. CONDITIONS

##### (A) Territory:

This Policy applies only to **Wrongful Acts** committed, and to **Claims** made against the **Insured**, in the United States of America, its territories or possessions, or Canada.

##### (B) Notice:

- (1) As a condition precedent to any right to coverage afforded by this Policy, the **Insured** must give written notice to the Company of any **Claim** as soon as practicable after such **Claim** is first made and, subject to Section IV (C) below, during the **Policy Period** or Extended Reporting Period, if applicable.
- (2) If the **Insured** becomes aware of any circumstances which may subsequently give rise to a **Claim** against the **Insured** and, during the **Policy Period** or, if applicable,

The Extended Reporting Period, gives the Company written notice of

- (1) the nature and date of the specific **Wrongful Act**, and
- (2) the names of potential claimants, and
- (3) the injury or consequences which have or might result therefrom, and
- (4) the manner in which the **Insured** first became aware of the potential for a **Claim** therefrom, then any **Claim** subsequently made against the **Insured** arising out of such **Wrongful Act** shall be deemed to have been made during the **Policy Period** or, if applicable, the Extended Reporting Period.

(C) **Additional Time to Report Certain Claims:**

If a **Claim** is first made against the **Insured** during the last fourteen (14) calendar days of the **Policy Period**, such **Claim** will be deemed to have been reported to the Company during the **Policy Period** if:

- (1) the **Insured** makes reasonable efforts to report such **Claim** immediately, and
- (2) the **Insured** gives the Company written notice of such **Claim** no later than fourteen (14) calendar days after the **Policy Period**.

(D) **Date of Related Claims:**

**Related Claims** shall be deemed to have been first made at the earlier of the following times:

- (1) at the time the earliest of the **Related Claims** was first made, or
- (2) at the earliest time at which notice was given under any policy of insurance of any act, error, omission, fact, circumstance, situation, transaction, event or decision underlying any of the **Related Claims**.

(E) **DEFENCE and Settlement of Claims:**

The **Insured** shall not admit any liability for or settle any **Claim** or incur any costs, charges or expenses without the written consent of the Company. The Company shall have the right to make investigations and conduct negotiations and, with the written consent of the **Insured**, enter into such settlement of any **Claim** that the Company deems appropriate. If the **Insured** shall refuse to consent to a settlement acceptable to the claimant in accordance with the Company's recommendation, the **Insured** shall thereafter negotiate or defend such **Claim** at the **Insured's** own expense independently of the Company, and, subject to the applicable limits of liability of this Policy, the Company's liability for such **Claim** shall not exceed the amount for which such **Claim** could have been settled plus **DEFENCE Expenses** incurred with the Company's consent up to the date the **Insured** refused to settle such **Claim**.

(F) **Limits of Liability and Deductibles:**

- (1) Regardless of the number of **Claims**, the number of persons or entities included with the definition of **Insured**, or the number of claimants who make **Claim** against the **Insured**:
  - (a) the amount stated in ITEM 3(a) of the Declarations shall be the maximum limit of liability of the Company for all **Damages** and **DEFENCE Expenses** resulting from each **Claim** or **Related Claims** made against the **Insured**, which amount shall be part of and not in addition to the amount stated in ITEM 3(b) of the Declarations, and
  - (b) the amount stated in ITEM 3(b) of the Declarations shall be the maximum aggregate limit of liability of the Company for all **Damages** and **DEFENCE Expenses** resulting from all **Claims** under this Policy, and
  - (c) the deductible stated in ITEM 4 of the Declarations shall apply separately to each **Claim** or **Related Claims** and shall also apply to either **Damages** or **DEFENCE Expenses** or both.
- (2) **DEFENCE Expenses** shall be part of and not in addition to the applicable limits of liability, and payment of **DEFENCE Expenses** by the Company shall reduce, and may exhaust, the applicable limits of liability.
- (3) The obligations of the Company to pay **Damages** and to defend any **Claim** seeking **Damages** from the **Insured** or pay **DEFENCE Expenses** shall only be in excess of the deductible, which amount shall be borne by the **Insured** at the **Insured's** own expense. The Company shall have no obligation whatsoever, either to the **Insured** or to any other person or entity, to pay all or any portion of the deductible amount on behalf of the **Insured**. The Company shall, however, at its sole discretion, have the right and option to do so, in which event the **Insured** will repay the Company any amounts so paid.
- (4) The Company shall not be obligated to pay any **Damages** or to defend or continue to defend any **Claim** after the applicable limit of liability has been exhausted by the payment of **Damages** or **DEFENCE Expenses** or both.

(G) **Extended Reporting Period:**

- (1) If this Policy is not renewed for any reason or is canceled for any reason other than for non-payment of premium, the **Insured** shall have the right to purchase an extension of the coverage granted by this Policy for a period of one (1) year after the effective date of such cancellation or non-renewal (an Extended Reporting Period), but only with respect to any **Wrongful Act** committed before the effective date of such cancellation or non-renewal and otherwise covered by this Policy. The premium for this Extended Reporting Period shall be seventy-five percent (75%) of the premium set forth in ITEM 5 of the Declarations, as the same may be amended from time to time, and must be paid within thirty (30) days after the effective date of cancellation or non-renewal. Such additional premium shall be

deemed fully earned immediately upon the inception of the Extended Reporting Period.

- (2) The Company's limits of liability during the Extended Reporting Period shall be part of, and not in addition to, the Company's limits of liability stated in ITEM 3 of the Declarations.

(H) **Other Insurance:**

This policy shall be specifically excess over, and shall not contribute with, any other valid and collectible insurance, whether such other insurance is stated to be primary, contributing, excess (except insurance specifically in excess of this Policy), contingent or otherwise.

(I) **Cooperation and Subrogation:**

- (1) In the event of a **Claim**, or after giving the Company notice of circumstances which may subsequently give rise to a **Claim**, the **Insured** will provide the Company with all information, assistance and cooperation as the Company may reasonably request. The **Insured** shall, upon the Company's request, assist in making settlements and the conduct of actions, suits or proceedings. The **Insured** shall attend hearings, trials and depositions and shall assist in securing and giving evidence and obtaining the attendance of witnesses.
- (2) The **Insured** will do nothing that may prejudice the Company's position or the Company's potential or actual rights of recovery in the event of a **Claim**.
- (3) In the event of payment under this policy, the Company shall be subrogated to, and entitled to an assignment of all of the rights of recovery therefor of the **Insured**. The **Insured** shall execute all papers and shall do everything that may be necessary to secure such rights, including the execution of such documents as may be necessary to enable the Company effectively to pursue and enforce such rights and to bring suit in the name of the **Insured**.

(J) **Cancellation:**

- (1) The Company may cancel this Policy by delivering or mailing to the **Insured** by registered, certified or other first class mail written notice stating when, not less than thirty (30) days thereafter, such cancellation shall be effective.
- (2) The **Insured** may cancel this Policy by surrendering this Policy or by mailing or delivering to the Company notice stating when thereafter such cancellation shall be effective.

- (3) The mailing of notice as aforesaid shall be sufficient proof of notice. If this Policy is canceled by the Company, the earned premium shall be computed pro rata. If this Policy is canceled by the **Insured**, the earned premium shall be computed in accordance with the customary short rate table and procedure. Premium adjustment may be made either at the time cancellation is effective or as soon as practicable after cancellation becomes effective, but payment or tender of unearned premium is not a condition of cancellation.

(K) **Entire Agreement:**

The **Insured** agrees that this Policy, including any endorsements and the application attached to and forming part of this Policy, and including any materials submitted in connection with such application, which are on file with the Company and are a part of this Policy, as if physically attached, constitutes the entire agreement existing between the **Insured** and the Company or any of its agents relating to this insurance.

(L) **Representations:**

The **Insured** represents that the particulars and statements contained in the application and all materials submitted in connection therewith are true, accurate and complete, and agrees that this Policy is issued in reliance on the truth of that representation, and that such particulars and statements, which are incorporated into and constitute a part of this Policy, are the basis of this Policy and are material to the Company's acceptance of this risk.

(M) **No Action Against Company; Bankruptcy of Insured:**

- (1) No action shall be taken against the Company unless, as conditions precedent thereto, there shall have been full compliance with all of the terms of this Policy and the amount of the obligation of the **Insured** to pay shall have been finally determined either by judgment against the **Insured** after adjudicatory proceedings, or by written agreement of the **Insured**, the claimant and the Company.
- (2) No person or entity shall have any right under this Policy to join the Company as a party to any **Claim** against the **Insured** to determine the liability of the **Insured**, nor shall the Company be impleaded by the **Insured** or the **Insured's** legal representative in any such **Claim**.
- (3) Bankruptcy or insolvency of the **Insured** or of the **Insured's** estate shall not relieve the Company of any of its obligations hereunder.

(N) **Authorization and Notices:**

The person or entity stated in ITEM 1 of the Declarations shall be the sole agent, and shall act on behalf of all **Insureds** with respect to all matters under this Policy, including but not limited to giving and receiving notices and other communications, effecting or accepting any endorsements to or cancelation of this Policy, the payment of premium and the receipt of any return premiums, and the purchase of any Extended Reporting Period.

(O) **Changes:**

Notice to any agent or knowledge possessed by any agent or other person acting on behalf of the Company shall not effect a waiver or change in any part of this Policy or estop the Company from asserting any right under its terms, conditions and limitations; nor shall the terms, conditions and limitations of this Policy be waived or changed except by written endorsement issued to form a part of this Policy.

(P) **No Transfer or Assignment of Insured's Interest:**

No transfer or assignment of interest under this Policy or any cause of action against the Company arising out of its performance of, or alleged failure to perform in accordance with the terms and conditions of this Policy shall be effective without the Company's written consent; however, in the event of the death, incapacity or bankruptcy of any person or entity within the definition of **Insured**, a **Claim** against the estate, heirs, legal representatives or assigns or the **Insured** for a **Wrongful Act** by such **Insured** shall be considered a **Claim** against the **Insured**.

(Q) **Headings:**

The descriptions in the headings and sub-headings of this Policy are solely for convenience, and form no part of the terms and conditions of the Policy.

***Miscellaneous Professional Liability Chubb***

**Schedule of Forms**

To be attached to and form part of  
Policy No. 8207-4107

Company: Chubb Insurance Company of Canada

Issued to: LML & S LIMITED PARTNERSHIP., MCMILLAN LLP

CE 14-02-16105 (4/10 ed.)

CE 14-02-16110 (9/14 ed.)

CE 14-02-16123 (12/10 ed.)

CE 14-02-5551 (2/02 ed.)

CE 14-02-5615 (5/11 ed.)

CE 14-02-5623 (10/12 ed.)

CE 17-02-9182 (3/13 ed.)

CE 17-02-9198 (1/14 ed.)



## ENDORSEMENT

Effective date of  
this endorsement: October 31, 2016

Company: Chubb Insurance Company of Canada

Endorsement No. 1

To be attached to and  
form a part of Policy No. 8207-4107

Issued to: LML & S LIMITED PARTNERSHIP., MCMILLAN LLP

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It is agreed that in the event of a **Claim** where an **Insured** incurs both **DEFENCE Expenses** and/or **Damages** that are covered by this Policy and also **DEFENCE Expenses** and/or **Damages** that are not covered by this Policy, either because such **Claim** includes both covered and uncovered matters or because such **Claim** is made against both covered and uncovered parties, then coverage shall apply as follows:

- (A) **DEFENCE Expenses:** one hundred percent (100%) of reasonable and necessary **DEFENCE Expenses** incurred by the **Insureds** from such **Claim** will be considered covered; and
- (B) **Damages:** all remaining loss incurred by an **Insured** from such **Claim** will be allocated between covered loss and uncovered loss based upon the relative legal exposures of the parties to such matters.

Regardless of any allocation in accordance with either paragraph (A) or (B) above, the Deductible shall be the amount stated in Item 4 of the Declarations.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



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Authorized Representative

## ENDORSEMENT

Effective date of  
this endorsement: October 31, 2016

Company: Chubb Insurance Company of Canada

Endorsement No. 2

To be attached to and  
form a part of Policy No. 8207-4107

Issued to: LML & S LIMITED PARTNERSHIP., MCMILLAN LLP

It is agreed that:

|                                   |
|-----------------------------------|
| 1. AMENDED SECTION II DEFINITIONS |
|-----------------------------------|

(i) The following Definitions are deleted in their entirety and replaced by the following:

(A) **"Claim"** means

(1) any of the following:

(a) a written demand for monetary damages or non-monetary relief;

(b) a civil proceeding commenced by the service of a complaint or a similar pleading; or

(c) an arbitration proceeding;

including any appeal therefrom that seeks to hold the **Insured** responsible for a **Wrongful Act**, actually or allegedly committed by the **Insured** or by any other person for whose **Wrongful Acts** the **Insured** is legally responsible; or

(2) a written request to toll or waive a statute of limitations relating to a potential **Claim** described in paragraph (1) above.

Except as may be provided in Section IV Condition (B) Notice, a **Claim** will be deemed to have been first made when such **Claim** is commenced as set forth in this definition (or, in the case of a written demand or request, including but not limited to a demand for arbitration, when such demand or request is first received by an **Insured**).

(D) **"Insured"** means:

(1) the person or entity stated in ITEM 1 of the Declarations, any **Subsidiary** of such entity; and any natural person who was, is or shall become a director, officer, employee or partner thereof, but only while such person was, is or shall be acting within the scope of his or her duties as such; and

(2) any independent contractor of the **Insured**, but only with respect to **Claims** arising out of **Professional Services** done for or at the direction of the **Insured**, and only if and to the extent that the **Insured**, after evaluating the merits of the **Claim**, has agreed in writing to include such independent contractor as an **Insured** under this Policy.

(H) **"Wrongful Act"** means

(1) any actual or alleged act, error or omission committed solely in the performance of, or failure to perform **Professional Services**; and/or

- (2) any actual or alleged libel, slander or other form of defamation, or invasion or infringement of the right of privacy, committed solely in the performance of, or failure to perform **Professional Services**; and/or
  - (3) any actual or alleged breach of duty, committed solely in the performance of, or failure to perform, **Professional Services**.
- (ii) The following Definitions are added to this Policy:
  - (I) "**Application**" means all signed applications, including attachments and other materials submitted therewith or incorporated therein, submitted by the **Insureds** to the Company for this Policy or for any policy of which this Policy is a direct or indirect renewal or replacement. **Application** shall also include all documents provided by the **Insureds** to the Company in connection with the underwriting or issuance of this Policy, including quarterly and annual reports, financial statements, proxy statements and other notices to shareholders, whether provided to the Company directly or indirectly through the use of public databases or similar sources.
  - (J) "**Common Law Partner**" means any natural person qualifying as a common law partner under the provisions of any applicable federal, provincial, territorial, state, or local law or under the provisions of any formal program established by the **Insured**.
  - (K) "**Subsidiary**" means any entity created or acquired on or before the Inception Date in Item 2(a) of the Declarations, during any time in which the **Insured** owns, directly or through one or more **Subsidiaries**, more than 50% of the outstanding securities representing the right to vote for the election of such entity's directors.

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| <b>2. AMENDED SECTION III EXCLUSIONS</b> |
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- (i) The following Exclusions are deleted in their entirety and replaced by the following:
  - (A) based upon, arising from, or in consequence of:
    - (1) any fraudulent act or omission or any wilful violation of any statute or regulation by such **Insured**, if a final and non-appealable judgment or adjudication adverse to such **Insured** establishes such a fraudulent act or omission or wilful violation; or
    - (2) an **Insured** having gained in fact any profit, remuneration or advantage to which such **Insured** was not legally entitled.

However, in respect of this Exclusion (A), no fact pertaining to or knowledge possessed by any **Insured** who is a natural person shall be imputed to any other **Insured** who is a natural person to determine if coverage is available. Furthermore, only facts pertaining to and knowledge possessed by any past, present or future managing partner, chief financial officer, in-house general counsel, risk manager, president, chief executive officer or chairperson of an **Insured** entity shall be imputed to such **Insured** entity to determine if coverage is available.
- (ii) The following Exclusions are added to this Policy:
  - (H) based on, arising from, or in consequence of any actual or alleged **Wrongful Act** first committed before October 2, 2006 if, on or before October 2, 2006, any person or entity included within the definition of **Insured** knew or could have reasonably foreseen that such **Wrongful Act** did or could result in a **Claim** against any **Insured**.
  - (I) based on, arising from, or in consequence of any fact, circumstance, situation, transaction, event or **Wrongful Act** underlying or alleged in any prior and/or pending litigation or administrative or regulatory proceeding as of October 2, 2006.

- (J) based on, arising from, or in consequence of any electronic dissemination of faxes, e-mail or other similar communication of any kind whatsoever, regardless of the nature or form of such communication or the medium by which such communication is communicated, to multiple actual or prospective customers or clients of any **Insured** or to any third party, in contravention of any law, including any statute, regulation or order.
- (K) based upon, arising from, or in consequence of any actual or alleged guarantee, promise or warranty, either express or implied, or verbal or written, relating to:
  - (1) costs or cost savings; and/or
  - (2) future value, return on investment, purchase, lease, assign or sale of any real or personal property; and/or
  - (3) the performance of any investment adviser, manager or custodian.

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|----------------------------------|
| 3. AMENDED SECTION IV CONDITIONS |
|----------------------------------|

- (i) The following Conditions are deleted in their entirety and replaced by the following:

- (A) **Territory:**

- Coverage shall extend anywhere in the world.

- (E) **DEFENCE and Settlement of Claims**

- The **Insured** shall not admit any liability for or settle any **Claim** or incur any costs, charges or expenses without the written consent of the Company. The Company shall have the right to make investigations and conduct negotiations and, with the written consent of the **Insured**, make any settlement of any **Claim** it deems appropriate.

- (L) **Representations:**

- In issuing this Policy the Company has relied upon the statements, representations and information in the **Application**. All of the **Insureds** acknowledge and agree that all such statements, representations and information (i) are true and accurate, (ii) were made or provided in order to induce the Company to issue this Policy, and (iii) are material to the Company's acceptance of the risk to which this Policy applies.

- In the event that any of the statements, representations or information in the **Application** are not true and accurate, this Policy shall be void with respect to any **Insured** who knew as of the effective date of the **Application** the facts that were not truthfully and accurately disclosed (whether or not the **Insured** knew of such untruthful disclosure in the **Application**) or to whom knowledge of such facts is imputed. For purposes of the preceding sentence:

- (1) the knowledge of any **Insured** who is a natural person and a past, present or future managing partner, chief financial officer, in-house general counsel, risk manager, president, chief executive officer or chairperson of an **Insured** entity shall be imputed to such **Insured** entity and its **Subsidiaries**;
    - (2) the knowledge of the natural person(s) who signed the **Application** for this Policy shall be imputed to all of the **Insureds**; and
    - (3) except as provided in (1) above, the knowledge of a natural person who is an **Insured** who did not sign the **Application** shall not be imputed to any other **Insured**.

(ii) The following Conditions are added to this Policy

(R) **Changes in Exposure**

(1) If any **Insured** which is an entity:

- (a) acquires securities or voting rights in another organization or creates another organization, which as a result of such acquisition or creation becomes a **Subsidiary**, or
- (b) acquires any organization by merger into or consolidation with an **Insured** which is an entity,

such organization and its duly elected directors, duly elected or appointed officers and employees shall be **Insureds** under this Policy but only with respect to **Wrongful Acts** committed, attempted, or allegedly committed or attempted, after such acquisition or creation unless the Company agrees, after presentation of a complete application and all appropriate information, along with payment of any additional premium required by the Company, to provide coverage by endorsement for **Wrongful Acts** committed, attempted, or allegedly committed or attempted, by such **Insured** prior to such acquisition or creation.

If the fair value of all cash, securities, assumed indebtedness and other consideration paid by the **Insured** which is an entity for any such acquisition or creation exceeds 10% of the total assets of that **Insured** as reflected in that **Insured's** most recent audited consolidated financial statements, that **Insured** shall give written notice of such acquisition or creation to the Company as soon as practicable together with such information as the Company may require and shall pay any reasonable additional premium required by the Company.

(2) If:

- (a) any **Insured** which is an entity merges into or consolidates with another organization, or
- (b) another organization or person or group of organizations and/or persons in concert acquire securities or voting rights which result in ownership or voting control by the other organization(s) or person(s) of more than 50% of the outstanding securities representing the present right to vote for election of directors of that **Insured**, coverage under this Policy shall continue until termination of this Policy, but only with respect to **Claims** for **Wrongful Acts** committed, attempted, or allegedly committed or attempted by the **Insureds** prior to such merger, consolidation or acquisition. The **Insured** shall give written notice of such merger, consolidation or acquisition as soon as practicable, together with such information as the Company may require.

(3) In the event an organization ceases to be a **Subsidiary** before or after the inception date of this Policy, coverage with respect to such **Subsidiary** and its **Insureds** who are natural persons shall continue until termination of this Policy but only with respect to **Claims** for **Wrongful Acts** committed, attempted, or allegedly committed or attempted, prior to the date such organization ceased to be a **Subsidiary**.

(S) **Spouses, Estates and Legal Representatives**

Coverage shall extend to **Claims** arising from the **Professional Services** of an **Insured** who is a natural person made against:

- (1) the lawful spouse or **Common Law Partner** of such **Insured**, if named as a co-defendant with such **Insured** solely by reason of such person's status as a spouse or **Common Law Partner**, or such spouse or **Common Law Partner's** ownership interest in property that is sought by a claimant as recovery for an alleged act, error or omission of such **Insured**; and
- (2) the estate, heirs, legal representatives or assigns of such **Insured** if such **Insured** is deceased or the legal representatives or assigns of such **Insured** if such **Insured** is incompetent, insolvent or bankrupt.

All terms and conditions of this Policy including, without limitation, the Deductible applicable to **Damages** or **DEFENCE Expenses** incurred by the **Insured**, shall also apply to **Damages** or **DEFENCE Expenses** incurred by the **Insured's** spouse, **Common Law Partner**, estate, heirs, legal representatives or assigns. The coverage provided by this extension shall not apply with respect to any loss arising from an act or omission by an **Insured's** estate, heirs, legal representatives, assigns, spouse or **Common Law Partner**.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



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Authorized Representative

## ENDORSEMENT

Effective date of  
this endorsement: October 31, 2016

Company: Chubb Insurance Company of Canada

Endorsement No. 3

To be attached to and  
form a part of Policy No. 8207-4107

Issued to: LML & S LIMITED PARTNERSHIP., MCMILLAN LLP

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It is agreed that Section IV CONDITIONS (E) **DEFENCE and Settlement of Claims** of the Policy is deleted in its entirety and replaced by the following:

(E) **DEFENCE and Settlement of Claims**

The **Insured** shall not admit any liability for or settle any **Claim** or incur any costs, charges or expenses without the written consent of the Company. The Company shall have the right to make investigations and conduct negotiations and, with the written consent of the **Insured**, make any settlement of any **Claim** it deems appropriate. If an **Insured** withholds consent to any settlement acceptable to the claimant in accordance with the Company's recommendation (a "Proposed Settlement"), the Company's liability for all **Loss**, including **DEFENCE Expenses**, from such **Claim** shall not exceed:

1. the amount of the Proposed Settlement plus **DEFENCE Expenses** incurred up to the date of the **Insured's** refusal to consent to the Proposed Settlement of such **Claim**; plus
2. fifty percent (50%) of any **Damages** and **DEFENCE Expenses**, in excess of the amount referenced in 1. above, incurred in connection with such **Claim** subject to the Deductible and the available Limits of Liability for such **Claim**. The remaining fifty percent (50%) of any **Damages** and **DEFENCE Expenses**, in excess of the amount referenced in 1. above shall be borne by the **Insured** uninsured and at their own risk.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



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Authorized Representative

## ENDORSEMENT

Effective date of  
this endorsement: October 31, 2016

Company: Chubb Insurance Company of Canada

Endorsement No. 4

To be attached to and  
form a part of Policy No. 8207-4107

Issued to: LML & S LIMITED PARTNERSHIP., MCMILLAN LLP

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It is agreed that:

- (1) No coverage will be available under this Policy for **Claims** based on or directly or indirectly arising out of or resulting from:
  - (a) any actual or alleged act, error or omission, misstatement, misleading statement, or breach of duty in connection with the rendering of, or actual or alleged failure to render, any **Legal Services**, as defined in Paragraph (2) below; or
  - (b) the commingling of, or inability or failure to pay, collect or safeguard, any money or funds.
- (2) The term "**Legal Services**" means:
  - (a) services performed for others which by law can only be performed by licensed attorneys; regardless of whether any such services were performed by a licensed attorney; and/or
  - (b) services performed for others by a licensed attorney as an administrator, conservator, executor, trustee, guardian or committee or in any similar fiduciary capacity incidental to the practice of law; and/or
  - (c) services performed for others by a licensed attorney as a notary public.



ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.

A handwritten signature in black ink, appearing to be "L. Smith", written above a horizontal line.

Authorized Representative

## ENDORSEMENT

Effective date of  
this endorsement: October 31, 2016

Company: Chubb Insurance Company of Canada

Endorsement No. 5

To be attached to and  
form a part of Policy No. 8207-4107

Issued to: LML & S LIMITED PARTNERSHIP., MCMILLAN LLP

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It is agreed that:

(1) The following are added to Section II DEFINITIONS of this Policy:

**"Accounting Services"** means financial accounting work, tax opinions, auditing, assurance, attestation or certification of financial statements that is performed either by an **Insured** while acting in their capacity as a public accountant or a public accountant sub-contracted by the **Insured** to provide such accounting services for or on behalf of others.

**"Architectural or Engineering Services"** means services performed by either an **Insured** while acting in their capacity as a consulting architect or engineer or a consulting architect or engineer sub-contracted by the **Insured** to provide any such architectural or engineering services for or on behalf of others.

(2) The following are added to Section III EXCLUSIONS of this Policy:

- (1) based on or directly or indirectly arising out of or resulting from any **Wrongful Act** where an **Insured** has intentionally exceeded or acted beyond their contractual or discretionary authority to make and/or implement decisions;
- (2) based on or directly or indirectly arising out of or resulting from any **Accounting Services** or **Architectural or Engineering Services**; or
- (3) based on or directly or indirectly arising out of or resulting from any solicitation, sourcing, structuring, due diligence, valuations or negotiating the purchase or sale of assets on behalf of third parties.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



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Authorized Representative

## ENDORSEMENT

Effective date of  
this endorsement: October 31, 2016

Company: Chubb Insurance Company of Canada

Endorsement No. 6

To be attached to and  
form a part of Policy No. 8207-4107

Issued to: LML & S LIMITED PARTNERSHIP., MCMILLAN LLP

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It is agreed that this Policy shall not apply to any **Claim** based on or directly or indirectly arising out of or resulting from any actual or alleged:

- (A) infringement of, or contribution to, or inducement of the infringement of any: copyright, patent, trademark, service mark, service name;
- (B) plagiarism or misappropriation of property rights, information, ideas or trade secrets; or
- (C) intentional false advertising or unfair trade practices with respect to the advertising or sales of the **Insured's** own products, publications or services.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



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Authorized Representative

## ENDORSEMENT

Effective date of  
this endorsement: October 31, 2016

Company: Chubb Insurance Company of Canada

Endorsement No. 7

To be attached to and  
form a part of Policy No. 8207-4107

Issued to: LML & S LIMITED PARTNERSHIP., MCMILLAN LLP

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This policy has been issued with this Statutory Conditions Endorsement that amends sections of this policy. These Statutory Conditions apply where an insured under this policy of insurance is domiciled or where any insured property in issue is located in Alberta or British Columbia.

In consideration of the premium charged and notwithstanding anything to the contrary in this policy, it is agreed that in the event of any conflict between the terms and conditions of this Endorsement (in part or in its entirety) and the terms and conditions of the other policy terms and conditions or endorsements (in part or in their entirety), the provisions that are more favourable to the insured shall govern. Nothing in this Endorsement is intended nor shall be construed to violate or vary from the requirements of the Insurance Acts of Alberta or British Columbia. Statutory Condition 1 and Statutory Conditions 6 to 13 apply only to contracts that include insurance against loss or damage to property, Statutory Conditions 2 to 5 and Statutory Condition 14 apply only to contracts that include liability insurance.

### STATUTORY CONDITIONS

#### MISREPRESENTATION

- 1 If a person applying for insurance falsely describes the property to the prejudice of the insurer, or misrepresents or fraudulently omits to communicate any circumstance that is material to be made known to the insurer in order to enable it to judge the risk to be undertaken, the contract is void as to any property in relation to which the misrepresentation or omission is material.

#### PROPERTY OF OTHERS

- 2 The insurer is not liable for loss or damage to property owned by a person other than the insured unless
  - (a) otherwise specifically stated in the contract, or
  - (b) the interest of the insured in that property is stated in the contract.

#### CHANGE OF INTEREST

- 3 The insurer is liable for loss or damage occurring after an authorized assignment under the Bankruptcy and Insolvency Act (Canada) or a change of title by succession, by operation of law or by death.

#### MATERIAL CHANGE IN RISK

- 4
  - (1) The insured must promptly give notice in writing to the insurer or its agent of a change that is
    - (a) material to the risk, and
    - (b) within the control and knowledge of the insured.
  - (2) If an insurer or its agent is not promptly notified of a change under subparagraph (1) of this condition, the contract is void as to the part affected by the change.

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- (3) If an insurer or its agent is notified of a change under subparagraph (1) of this condition, the insurer may
    - (a) terminate the contract in accordance with Statutory Condition 5, or
    - (b) notify the insured in writing that, if the insured desires the contract to continue in force, the insured must, within 15 days after receipt of the notice, pay to the insurer an additional premium specified in the notice.
  - (4) If the insured fails to pay an additional premium when required to do so under subparagraph (3)(b) of this condition, the contract is terminated at that time and Statutory Condition 5(2)(a) applies in respect of the unearned portion of the premium.

#### TERMINATION OF INSURANCE

- 5 (1) The contract may be terminated
  - (a) by the insurer giving to the insured 15 days' notice of termination by registered mail or 5 days' written notice of termination personally delivered, or
  - (b) by the insured at any time on request.
- (2) If the contract is terminated by the insurer,
  - (a) the insurer must refund the excess of premium actually paid by the insured over the prorated premium for the expired time, but in no event may the prorated premium for the expired time be less than any minimum retained premium specified in the contract, and
  - (b) the refund must accompany the notice unless the premium is subject to adjustment or determination as to amount, in which case the refund must be made as soon as practicable.
- (3) If the contract is terminated by the insured, the insurer must refund as soon as practicable the excess of premium actually paid by the insured over the short rate premium for the expired time specified in the contract, but in no event may the short rate premium for the expired time be less than any minimum retained premium specified in the contract.
- (4) The 15-day period referred to in subparagraph (1)(a) of this condition starts to run on the day the registered letter or notification of it is delivered to the insured's postal address.

#### REQUIREMENTS AFTER LOSS

- 6 (1) On the happening of any loss or damage to insured property, the insured must, if the loss or damage is covered by the contract, in addition to observing the requirements of Statutory Condition 9,
  - (a) immediately give notice in writing to the insurer,
  - (b) deliver as soon as practicable to the insurer a proof of loss in respect of the loss or damage to the insured property verified by statutory declaration
    - (i) giving a complete inventory of that property and showing in detail quantities and costs of that property and particulars of the amount of loss claimed,
    - (ii) stating when and how the loss occurred, and if caused by fire or explosion due to ignition, how the fire or explosion originated, so far as the insured knows or believes,
    - (iii) stating that the loss did not occur through any wilful act or neglect or the procurement, means or connivance of the insured,
    - (iv) stating the amount of other insurances and the names of other insurers,

- (v) stating the interest of the insured and of all others in that property with particulars of all liens, encumbrances and other charges on that property,
  - (vi) stating any changes in title, use, occupation, location, possession or exposure of the property since the contract was issued, and
  - (vii) stating the place where the insured property was at the time of loss,
- (c) if required by the insurer, give a complete inventory of undamaged property showing in detail quantities and cost of that property, and
- (d) if required by the insurer and if practicable,
  - (i) produce books of account and inventory lists,
  - (ii) furnish invoices and other vouchers verified by statutory declaration, and
  - (iii) furnish a copy of the written portion of any other relevant contract.
- (2) The evidence given, produced or furnished under subparagraph (1)(c) and (d) of this condition must not be considered proofs of loss within the meaning of Statutory Conditions 12 and 13.

#### FRAUD

- 7 Any fraud or wilfully false statement in a statutory declaration in relation to the particulars required under Statutory Condition 6 invalidates the claim of the person who made the declaration.

#### WHO MAY GIVE NOTICE AND PROOF

- 8 Notice of loss under Statutory Condition 6(1)(a) may be given and the proof of loss under Statutory Condition 6(1)(b) may be made
  - (a) by the agent of the insured if
    - (i) the insured is absent or unable to give the notice or make the proof, and
    - (ii) the absence or inability is satisfactorily accounted for, or
  - (b) by a person to whom any part of the insurance money is payable, if the insured refuses to do so, or in the circumstances described in clause (a) of this condition.

#### SALVAGE

- 9 (1) In the event of loss or damage to insured property, the insured must take all reasonable steps to prevent further loss or damage to that property and to prevent loss or damage to other property insured under the contract, including, if necessary, removing the property to prevent loss or damage or further loss or damage to the property.
- (2) The insurer must contribute on a prorated basis towards any reasonable and proper expenses in connection with steps taken by the insured under subparagraph (1) of this condition.

#### ENTRY, CONTROL, ABANDONMENT

- 10 After loss or damage to insured property, the insurer has
  - (a) an immediate right of access and entry by accredited representatives sufficient to enable them to survey and examine the property, and to make an estimate of the loss or damage, and
  - (b) after the insured has secured the property, a further right of access and entry by accredited representatives sufficient to enable them to appraise or estimate the loss or damage, but
    - (i) without the insured's consent, the insurer is not entitled to the control or possession of the insured property, and

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- (ii) without the insurer's consent, there can be no abandonment to it of the insured property.

#### IN CASE OF DISAGREEMENT

- 11 (1) In the event of disagreement as to the value of the insured property, the value of the property saved, the nature and extent of the repairs or replacements required or, if made, their adequacy, or the amount of the loss or damage, those questions must be determined using the applicable dispute resolution process set out in the Insurance Act whether or not the insured's right to recover under the contract is disputed, and independently of all other questions.
- (2) There is no right to a dispute resolution process under this condition until
- (a) a specific demand is made for it in writing, and
- (b) the proof of loss has been delivered to the insurer.

#### WHEN LOSS PAYABLE

- 12 Unless the contract provides for a shorter period, the loss is payable within 60 days after the proof of loss is completed in accordance with Statutory Condition 6 and delivered to the insurer.

#### REPAIR OR REPLACEMENT

- 13 (1) Unless a dispute resolution process has been initiated, the insurer, instead of making payment, may repair, rebuild or replace the insured property lost or damaged, on giving written notice of its intention to do so within 30 days after receiving the proof of loss.
- (2) If the insurer gives notice under subparagraph (1) of this condition, the insurer must begin to repair, rebuild or replace the property within 45 days after receiving the proof of loss and must proceed with all due diligence to complete the work within a reasonable time.

#### NOTICE

- 14 (1) Written notice to the insurer may be delivered at, or sent by registered mail to, the chief agency or head office of the insurer in the province.
- (2) Written notice to the insured may be personally delivered at, or sent by registered mail addressed to, the insured's last known address as provided to the insurer by the insured.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



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Authorized Representative

## ENDORSEMENT

Effective date of  
this endorsement: October 31, 2016

Company: Chubb Insurance Company of Canada

Endorsement No. 8

To be attached to and  
form a part of Policy No. 8207-4107

Issued to: LML & S LIMITED PARTNERSHIP., MCMILLAN LLP

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### COMPLIANCE WITH APPLICABLE SANCTION LAWS ENDORSEMENT

In consideration of the premium charged it is agreed that this insurance does not apply to the extent that trade or economic sanctions or other similar laws or regulations prohibit the coverage provided by this insurance.

The title and any headings in this endorsement/rider are solely for convenience and form no part of the terms and conditions of coverage, or the interpretation thereof.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



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Authorized Representative



## APPENDIX D

### "ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

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Name of Firm: McMillan LLP

- The Named Insureds under the CLLAS policies are McMillan LLP; McMillan S.E.N.C.R.L., s.r.l.; McMillan Binch Mendelsohn LLP; McMillan Binch LLP and Mendelsohn s.e.n.c. *\* see changes attached (additions)*
- The Firm has offices in Toronto, Ottawa, Vancouver, Calgary, Montreal and Hong Kong.
- On May 1, 2005, McMillan Binch LLP merged with Mendelsohn s.e.n.c. to form McMillan Binch Mendelsohn LLP.
- On May 28, 2008, McMillan Binch Mendelsohn LLP changed its registered business name to McMillan LLP and McMillan S.E.N.C.R.L., s.r.l. in Quebec.
- On May 1, 2009, McMillan LLP completed a transaction with Thackray Burgess Professional Corporation of Calgary where the Firm employed certain former Thackray Burgess lawyers and certain other lawyers from that firm became partners at the Firm. While the two firms were not formally merging, for the purpose of insurance, CLLAS considers this as a merger and recognizes Thackray Burgess as a predecessor firm.
- On January 1, 2011, McMillan LLP and Lang Michener LLP merged and operates under the name McMillan LLP.
- McMillan Binch LLP had formed a national association with two other law firms, Bull, Housser & Tupper of Vancouver and Surrey and Byers Casgrain of Montreal. The national association brought the three firms together for the purpose of providing enhanced levels of service to clients in Canada.
- McMillan Binch LLP also formed an international partnership with the same two law firms in 1990. This international partnership was known as McMillan Bull Casgrain and was formed for the purpose of practising Canadian law outside Canada.
- In 1993, McMillan Bull Casgrain entered into an association with the Hong Kong firm of Robert W.H. Wang & Co. The association was later terminated.
- The McMillan Bull Casgrain association ended on January 31, 2000.
- There is an endorsement on the policy with respect to McMillan Bull Casgrain to cover possible claims relating to the period during which the partnership was in operation.
- Coverage is provided to Thackray Burgess Professional Corporation and its predecessor firms, namely Blanie & Company and Evans Higa Burgess.
- Coverage is also provided to Lang Michener LLP and its predecessor firms, namely Lang Michener; Lang Michener Lawrence & Shaw; Lang Michener Honeywell Wotherspoon; Lang Michener Lash Johnston; Smith, Shaver; Honeywell, Wotherspoon; Lawrence & Shaw; Lash, Johnston; Lang Michener Cranston Farquharson & Wright and Robertson Ward Suderman.

APPENDIX D  
"ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

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Name of Firm: McMillan LLP

Please add to the named Insureds under the CLLAS Policies the following entities:

McMillan Vantage Policy Group

Prefix Legal LLP

## APPENDIX E

### PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: McMillan LLP

#### 1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

| Name of Lawyer  | Location of Office | % of Docketed Time Relating to Non-Canadian Law |
|-----------------|--------------------|---|
| Michael Taylor  | Vancouver          | 50%   |
| Herbert Ono     | Vancouver          | 90%   |
| Michael Shannon | Vancouver          | 60%   |
|                 |                    |   |

#### 2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

| Name of Lawyer | Location of Office | % of Docketed Time in the U.S. Office |
|----------------|--------------------|---------------------------------------|
|                |                    |                                       |
|                |                    |                                       |
|                |                    |                                       |
|                |                    |                                       |

#### 3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

| Location of Office | # of Lawyers Practise 100% Canadian Law | # of Lawyers Practise 100% Non-Canadian Law | # of Lawyers Practise both Canadian & Non-Canadian Law |
|--------------------|---|---|--|
| Hong Kong          | 2                                       |   |  |
|                    |   |   |  |
|                    |   |   |  |
|                    |   |   |  |

#### 4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: #1 Professional Liability  
Insurance Carrier: Lloyds of London (through Cooper Gay)  
Policy Number: B1353DR1601113000  
Period of Insurance: July 1, 2016 - July 1, 2017  
Retroactive Date:  
Limits: \$ 450,000 per claim, \$ 450,000 annual aggregate

Type of Exposure: #3 Professional Liability  
Insurance Carrier: Lloyds though Beazley Limited  
Policy Number: W0550516DNPS  
Period of Insurance: February 19, 2016 - February 18, 2017  
Retroactive Date:  
Limits: \$ 20,000,000 HKD per claim, \$ 20,000,000 HKD annual aggregate

Type of Exposure:  
Insurance Carrier:  
Policy Number:  
Period of Insurance:  
Retroactive Date:  
Limits: \$ per claim, \$ annual aggregate

Type of Exposure:  
Insurance Carrier:  
Policy Number:  
Period of Insurance:  
Retroactive Date:  
Limits: \$ per claim, \$ annual aggregate

|   |                           |
|---|---------------------------|
| Policy No<br><b>B1353DR1601113000</b>       | <b>1353</b><br><b>LNP</b> |
| <h2>Market Reform Contract</h2>             |                           |
| Insured/Reinsured<br><b>McMillan LLP</b>    |                           |
| Period<br><b>1 July 2016 to 1 July 2017</b> |                           |
| For LPSO use                                |                           |
| For IUA use                                 |                           |
| For LIRMA use                               |                           |

GR9/20 July 2016/DR1601113CLS



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## RISK DETAILS

**Unique Market Reference:**

B1353DR1601113000

**Type:**

Professional Liability Insurance

**Insured:**

McMillan LLP U.S. Securities Law and International Law Practice Group

**Insured's Address:**

1500 Royal Centre – 1055 West Georgia Street  
P.O. Box 11117  
Vancouver  
British Columbia V6E 4N7  
Canada

**Period:**

From: 01 July, 2016  
To: 01 July, 2017  
both days at 00:01 a.m. Local Standard Time at the mailing address of the Insured

**Interest:**

Professional Liability Insurance, as more fully described in the policy wording attached

**Sum Insured:**

CAD450,000 each and every claim and in the aggregate, including costs, charges and expenses

**Retention:**

CAD 50,000 each and every claim, including costs, charges and expenses

**Conditions:**

As per policy wording and endorsements attached, including but not limited to:

- (1) Predecessors in Business: All predecessor firms
- (2) Excluding claims made by associated or subsidiary companies unless emanating from an independent third party, as attached
- (3) NMA 2852 – Contracts (Rights of Third Parties) Act 1999, as attached
- (4) LMA 5028 – Service of Suit Clause (Canada), as attached
- (5) LMA 3100 (amended for use in Canada) – Sanction Limitation and Exclusion Clause, as attached
- (6) Foreign Law Practices Endorsement, as attached
- (7) Additional Insured Endorsement (Reema Kapoor, J.D./MBA), as attached

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**Notices:** LSW1542F - Lloyd's Underwriters' Policyholders' Complaint Protocol, as attached.  
LSW1543C - Notice Concerning Personal Information, as attached.  
LSW1565C - Code of Consumer Rights and Responsibilities, as attached.

**Choice of Law and Jurisdiction:**

This insurance will be governed by and interpreted pursuant to the laws of the Province of British Columbia and the laws of Canada applicable therein, and any dispute arising hereunder shall be submitted to the exclusive jurisdiction of the Courts of the Province of British Columbia

**Premium:** CAD28,250

**Payment Terms:** As per Premium Payment Clause (LSW 3001 amended) attached – 60 days

**Taxes Payable by Insured and administered by Insurers:**

None

**Recording, Transmitting & Storing Information:**

Where Lloyd & Partners maintains risk and claim data / information / documents Lloyd & Partners may hold data / information / documents electronically

**Insurer Contract Documentation:**

This document details the contractual terms between the contracting parties, and constitutes the contractual document.

LMA5180 – Intention for AIF to Bind Clause, as attached.

This Contract has been arranged by Lloyd & Partners (a trading name of JLT Specialty Limited).

**Notice of Cancellation:**

Where (Re)insurers have the right to give notice of cancellation in accordance with the provisions of the Contract, then:

- To the extent provided by the Contract, the Contract Leader is authorised to issue such notice on behalf of all participating (Re)insurers; and (optionally)

- Any (Re)insurer may issue such notice in respect of its own participation

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**PREMIUM PAYMENT CLAUSE**

Notwithstanding any provision to the contrary within this contract or any endorsement hereto, the following clause will apply.

The (Re)Insured undertakes that premium will be paid in full to (Re)Insurers within 60 days of inception of this contract (or, in respect of instalment premiums, when due).

If the premium due under this contract has not been so paid to (Re)Insurers by the 60th day from the inception of this contract (and, in respect of instalment premiums, by the date they are due) (Re)Insurers shall have the right to cancel this contract by notifying the (Re)Insured via the broker in writing. In the event of cancellation, premium is due to (Re)Insurers on a pro rata basis for the period that (Re)Insurers are on risk but the full contract premium shall be payable to (Re)Insurers in the event of a loss or occurrence prior to the date of termination which gives rise to a valid claim under this contract.

It is agreed that (Re)Insurers shall give not less than 15 days prior notice of cancellation to the (Re)Insured via the broker. If premium due is paid in full to (Re)Insurers before the notice period expires, notice of cancellation shall automatically be revoked. If not, the contract shall automatically terminate at the end of the notice period.

If any provision of this clause is found by any court or administrative body of competent jurisdiction to be invalid or unenforceable, such invalidity or unenforceability will not affect the other provisions of this clause which will remain in full force and effect.

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LSW3001 (amended)

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## INFORMATION

Information made available to and seen by all subscribing Insurers hereon includes the following:

- (1) E-mail dated 16 June, 2016 (17:00) from Kim Cowieson of Hub International Ontario Limited (2 pages) and Lawyers Professional Liability Insurance Application dated 15 June, 2016 attached thereto (35 pages in all)
- (2) E-mail dated 22 June, 2016 (20:59) from Robert Wilson of Hub International Ontario Limited (2 pages)

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**SECURITY DETAILS****(Re)insurer's Liability:****(Re)Insurer's liability several not joint**

The liability of a (re)insurer under this contract is several and not joint with other (re)insurers party to this contract. A (re)insurer is liable only for the proportion of liability it has underwritten. A (re)insurer is not jointly liable for the proportion of liability underwritten by any other (re)insurer. Nor is a (re)insurer otherwise responsible for any liability of any other (re)insurer that may underwrite this contract.

The proportion of liability under this contract underwritten by a (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together) is shown next to its stamp. This is subject always to the provision concerning "signing" below.

In the case of a Lloyd's syndicate, each member of the syndicate (rather than the syndicate itself) is a (re)insurer. Each member has underwritten a proportion of the total shown for the syndicate (that total itself being the total of the proportions underwritten by all the members of the syndicate taken together). The liability of each member of the syndicate is several and not joint with other members. A member is liable only for that member's proportion. A member is not jointly liable for any other member's proportion. Nor is any member otherwise responsible for any liability of any other (re)insurer that may underwrite this contract. The business address of each member is Lloyd's, One Lime Street, London EC3M 7HA. The identity of each member of a Lloyd's syndicate and their respective proportion may be obtained by writing to Market Services, Lloyd's, at the above address.

**Proportion of liability**

Unless there is "signing" (see below), the proportion of liability under this contract underwritten by each (re)insurer (or in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together) is shown next to its stamp and is referred to as its "written line".

Where this contract permits, written lines, or certain written lines, may be adjusted ("signed"). In that case a schedule is to be appended to this contract to show the definitive proportion of liability under this contract underwritten by each (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together). A definitive proportion (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of a Lloyd's syndicate taken together) is referred to as a "signed line". The signed lines shown in the schedule will prevail over the written lines unless a proven error in calculation has occurred.

Although reference is made at various points in this clause to "this contract" in the singular, where the circumstances so require this should read as a reference to contracts in the plural.

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**Order Hereon:**

100% of 100%

**Basis of Written Lines:**

Percentage of Whole

**Signing Provisions:**

In the event that the written lines hereon exceed 100% of the order, any lines written "to stand" will be allocated in full and all other lines will be signed down in equal proportions so that the aggregate signed lines are equal to 100% of the order without further agreement of any of the Insurers.

However:

- a) in the event that the placement of the order is not completed by the commencement date of the period of insurance then all lines written by that date will be signed in full;
- b) the signed lines resulting from the application of the above provisions can be varied, before or after the commencement date of the period of insurance, by the documented agreement of the Insured and all Insurers whose lines are to be varied. The variation to the contracts will take effect only when all such Insurers have agreed, with the resulting variation in signed lines commencing from the date set out in that agreement.

**Written Lines:**

In a co-insurance placement, following insurers may, but are not obliged to, follow the premium charged by the Contract Leader.

Insurers may not seek to guarantee for themselves terms as favourable as those which others subsequently achieve during the placement.

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SECURITY DETAILS

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4000**

100%.

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|---|---|---|---|---|---|---|---|---|---|
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|---|---|---|---|---|---|---|---|---|---|

*AS* **T A. B. H. GLOVER & OTHERS** *6:1F*

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**This Insurance contains a clause which may limit the amount payable.**

**SCHEDULE**

**Item 1 POLICY NUMBER**

DR1601113

**Item 2 FIRM**

McMillan LLP U.S. Securities Law and International Law Practice Group

**Item 3 PREDECESSORS IN BUSINESS**

All predecessor firms

**Item 4 ADDRESS OF THE FIRM**

1500 Royal Centre – 1055 West Georgia Street  
P.O. Box 11117  
Vancouver  
British Columbia V6E 4N7  
Canada

**Item 5 PERIOD OF INSURANCE**

From: 01 July, 2016  
To: 01 July, 2017  
both days at 00:01 a.m. Local Standard Time at the mailing address of the Insured

**Item 6 EXPIRY DATE**

01 July, 2017

**Item 7 PREMIUM**

CAD28,250

**Item 8 SUM INSURED**

CAD450,000

each and every claim and in the aggregate,  
including costs, charges and expenses

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**Item 9    RETENTION**

CAD 50,000            each and every claim, including costs charges and expenses

**Item 10    DATE OF PROPOSAL**

15 June, 2016

**Item 11    INSURER**

Lloyd's Syndicate 4000

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THIS POLICY SUBJECT TO ITS TERMS, CONDITIONS, EXCLUSIONS AND LIMITATIONS IS APPLICABLE ONLY TO CLAIMS MADE AGAINST INSURED AS DESCRIBED HEREIN DURING THE PERIOD OF INSURANCE OF THE SAID POLICY.

### PROFESSIONAL LIABILITY POLICY

Whereas the FIRM (as defined in Clause II (1)) hereof has made to Us who have hereunto subscribed our names as Insurer a written proposal bearing the date stated in the Schedule and containing particulars and statements which it is hereby agreed are the basis of this contract and are to be considered as incorporated herein and have paid or have agreed to pay the sum stated in the said Schedule as consideration to Us:

#### I. COVERAGE:

The Insurer agrees to pay on behalf of the INSURED those sums that the INSURED becomes legally obligated to pay as the result of any claim made against the INSURED during the PERIOD OF INSURANCE by reason of any ACT (as hereinafter defined) whenever or wherever the same was or may have been committed or alleged to have been committed.

- A. By the INSURED or any other person or entity in or about the conduct of any business conducted by or on behalf of the FIRM in the FIRM'S professional capacity as Attorneys, Barristers, Solicitors, Counsellors at Law or Notaries, or however designated.
- B. By any INSURED acting in his/her professional capacity as Attorney, Barrister, Solicitor, Counsellor at Law or Notary or however designated (whether or not in the name of the FIRM) provided always that a portion of the fee for legal services (if a fee is charged) accruing from such work shall inure to the benefit of the FIRM. In extension and not in limitation of the foregoing, such work shall be deemed to include work as administrator, executor, trustee, guardian, arbitrator, committee for incompetent, agent to title insurance company and/or designated issuing attorney to title insurance company or other fiduciary, or similar agent or advisor provided always that in cases where no portion of the fee for legal services associated with such work inures to the benefit of the FIRM, a portion of the fee for non legal services (if a fee is charged) associated with such work shall inure to the benefit of the FIRM.

#### II. DEFINITIONS:

- 1. The term "the FIRM" shall mean the persons carrying on business under the name as stated in Item 2 of the Schedule herein and shall also include their predecessors in business as stated in Item 3 of the Schedule.
- 2. The term "INSURED" shall mean each of the following:
  - (a) The FIRM
  - (b) The partners of the FIRM and any other person or persons who may at any time and from time to time be a partner in the FIRM;

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- (c) Partners no longer in the FIRM and/or the estates of deceased partners who were partners in the FIRM at the time of the ACT;
  - (d) The FIRM'S EMPLOYEES as hereinafter defined (and/or estates of deceased EMPLOYEES) or former EMPLOYEES (and/or estates of deceased former EMPLOYEES), but only in respect of any ACT committed in the course of their employment by the FIRM in the conduct of the FIRM'S business, or as provided in Insurance Clause I (B);
  - (e) Persons designated "counsel" (and/or estates of deceased counsel) to the FIRM but only in their capacities as such, or as provided in Insuring Clause I (B);
  - (f) Former partners and EMPLOYEES in respect of services performed on behalf of the FIRM subsequent to retirement or other withdrawal from the FIRM.
- 3. The term "ACT" shall mean any act, error, or omission whether of acts, facts, law or otherwise or breach of contract or duty or libel or slander or any allegation thereof.
  - 4. The term "EXCLUDED ACT" shall mean any ACT committed by an individual INSURED for the consequences of which coverage does not extend to that INSURED under the terms, conditions, limitations and exclusions of this policy.
  - 5. The term "EMPLOYEE" shall include any person whom the FIRM wishes to be regarded as an employee for the purpose of this Policy, even if such person is not actually an employee.

### III. EXCLUSIONS:

This Policy excludes:

- 1. Any claim or circumstances in respect of which the INSURED, before the commencement of this Policy, have given written notice to the insurers on any other policy in force previous hereto;
- 2. Any claim other than those excluded by EXCLUSION 1 above, for which the INSUREDS are entitled to collect hereunder which is insured by any other policy or policies, except in respect of any excess beyond the amount or amounts of payments under such other policy or policies;
- 3. Any claim arising out of any INSURED acting in his/her capacity as director and/or officer;
- 4. Any claim alleging the fraud or dishonesty of any INSURED if a final judgment or other final adjudication thereof shall establish that active and deliberate fraud or dishonesty was committed by such INSURED with actual fraudulent or dishonest purpose and intent, and was material to the claim made. However, nothing contained in the foregoing shall exclude coverage to the FIRM, or to any other INSURED who was not so adjudged to have committed such EXCLUDED ACT as described above;

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5. Any claim for bodily injury to, or sickness, disease or death of any person, or injury to or destruction of any tangible property, arising out of ACTS of the INSUREDS.
6.
  - i. Any claim for fines, penalties, punitive or exemplary damages, imposed by a judgment or any other final adjudication. However, this exclusion shall not apply to costs, charges and expenses incurred in the defence of any claim otherwise covered by this Policy which also demands such fines, penalties, punitive or exemplary damages;
  - ii. Any award of treble or other multiple damages pursuant to any statute or law, except that the compensatory amount of such award, prior to being multiplied, shall be deemed covered if the ACTS giving rise to claim upon which such compensatory award is based are otherwise covered by this Policy. However, this exclusion shall not apply to costs, charges and expenses incurred in the defence of any claim otherwise covered by this policy solely by reason of the fact such claim demands treble or other multiple damages.
7. Any liability arising out of professional services rendered or which should have been rendered:
  - (a) in whole or in part by, in the name of or on behalf of an Association or
  - (b) in whole or in part by one or more of the member law firms of an Association other than the Insured

For the purposes of this Exclusion, an Association shall mean:

- (i) any association whose name or business style is held out to the public
- (ii) any international partnership, and
- (iii) any joint partnership

constituted by the Insured with one or more other law firms which are not insured under the policy for the purposes of rendering professional services, marketing professional services, client referrals and/or staff development and education.

8. NUCLEAR INCIDENT EXCLUSION CLAUSE-LIABILITY-DIRECT  
(BROAD)-CANADA

(For use with all Public Liability Policies except Personal, Farmers' and Storekeepers')

It is agreed that this Policy does not apply:

- (a) to liability imposed by or arising from any nuclear liability act, law or statute, or any law amendatory thereof; nor

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- (b) to bodily injury or property damage with respect to which an Insured under this policy is also insured under a contract of nuclear energy liability insurance (whether the Insured is unnamed in such contract and whether or not it is legally enforceable by the Insured) issued by the Nuclear Insurance Association of Canada or any other insurer or group or pool of insurers or would be an Insured under any such policy but for its termination upon exhaustion of its limit of liability; nor
- (c) to bodily injury or property damage resulting directly or indirectly from the nuclear energy hazard arising from:
  - (i) the ownership, maintenance, operation or use of a nuclear facility by or on behalf of an Insured;
  - (ii) the furnishing by an Insured of services, materials, parts or equipment in connection with the planning, construction, maintenance, operation or use of any nuclear facility; and
  - (iii) the possession, consumption, use, handling, disposal or transportation of fissionable substances, or of other radioactive material (except radioactive isotopes, away from a nuclear facility, which have reached the final stage of fabrication so as to be usable for any scientific, medical, agricultural, commercial or industrial purpose) used, distributed, handled or sold by an Insured.

As used in this policy:

1. The term "nuclear energy hazard" means the radioactive, toxic, explosive, or other hazardous properties of radioactive material;
2. The term "radioactive material" means uranium, thorium, plutonium, neptunium, their respective derivatives and compounds, radioactive isotopes of other elements and any other substances which may be designated by or pursuant to any law, act or statute, or law amendatory thereof as being prescribed substances capable of releasing atomic energy, or as being requisite for the production, use or application of atomic energy;
3. The term "nuclear facility" means:
  - (a) any apparatus designed or used to sustain nuclear fission in a self-supporting chain reaction or to contain a critical mass of plutonium, thorium and uranium or any one or more of them;
  - (b) any equipment or device designed or used for (i) separating the isotopes of plutonium, thorium and uranium or any one or more of them, (ii) processing or utilising spent fuel, or (iii) handling, processing or packaging waste;

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(c) any equipment or device used for the processing, fabricating or alloying of plutonium, thorium or uranium enriched in the isotope uranium 233 or in the isotope uranium 235, or any one or more of them if at any time the total amount of such material in the custody of the Insured at the premises where such equipment or device is located consists of or contains more than 25 grams of plutonium or uranium 233 or any combination thereof, or more than 250 grams of uranium 235;

(d) any structure, basin, excavation, premises or place prepared or used for the storage or disposal of waste radioactive material;

and includes the site on which any of the foregoing is located, together with all operations conducted thereon and all premises used for such operations.

4. The term "fissionable substance" means any prescribed substance that is, or from which can be obtained, a substance capable of releasing atomic energy by nuclear fission.
5. With respect to property, loss of use of such property shall be deemed to be property damage.

It is understood and agreed that, except as specifically provided in the foregoing to the contrary, this Clause is subject to the terms, exclusions, conditions and limitations of the Policy to which it is attached.

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#### 9 WAR AND TERRORISM EXCLUSION ENDORSEMENT

Notwithstanding any provision to the contrary within this insurance or any endorsement thereto it is agreed that this insurance excludes loss, damage, cost or expense of whatsoever nature directly or indirectly caused by, resulting from or in connection with any of the following regardless of any other cause or event contributing concurrently or in any other sequence to the loss;

1. war, invasion, acts of foreign enemies, hostilities or warlike operations (whether war be declared or not), civil war, rebellion, revolution, insurrection, civil commotion assuming the proportions of or amounting to an uprising, military or usurped power; or
2. any act of terrorism.

For the purpose of this endorsement an act of terrorism means an act, including but not limited to the use of force or violence and/or the threat thereof, of any person or group(s) of persons, whether acting alone or on behalf of or in connection with any organisation(s) or government(s), committed for political, religious, ideological or similar purposes including the intention to influence any government and/or to put the public, or any section of the public, in fear.

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This endorsement also excludes loss, damage, cost or expense of whatsoever nature directly or indirectly caused by, resulting from or in connection with any action taken in controlling, preventing, suppressing or in any way relating to 1 and/or 2 above.

If the Insurers allege that by reason of this exclusion, any loss, damage, cost or expense is not covered by this insurance the burden of proving the contrary shall be upon the Insured.

In the event any portion of this endorsement is found to be invalid or unenforceable, the remainder shall remain in full force and effect.

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#### IV. CONDITIONS:

1. Limits:

The limit of liability of the Insurer shall not exceed the sum stated in the Schedule (herein referred to as the SUM INSURED) for all claims made against all INSUREDS during each period of insurance, including costs, charges and expenses incurred in connection with any claim, subject to the terms, conditions, exclusions and limitations of this Policy.

All claims arising out of the same ACT or related ACTS covered hereunder shall be considered a single claim.

2. Retention:

In respect of any claims covered hereunder, this Policy is only to pay the excess of the RETENTION stated in Item 9 of the Schedule, in respect of each and every claim including costs, charges and expenses. It is understood and agreed that if the INSUREDS are required by law or regulation to purchase separate insurance under a Compulsory Bar Program, this Policy, subject to its terms, conditions and limitations, shall pay excess of either:

1. The RETENTION stated in the Schedule

Or

2. The amounts of any recoveries under such separate insurance and/or any other applicable Law Society Program purchased either on a mandatory basis or at the Insured's discretion.

#### WHICHEVER IS GREATER

The amount of any recoveries under such separate insurance shall apply as though borne by the INSUREDS.

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3. Cancellation Clause and Extended Reporting Period:

This policy is non-cancelable during the Period of Insurance as stated in the Schedule except:

1. By mutual consent.
2. By the Insurer if:
  - (a) The Canadian Lawyers Liability Assurance Society (CLLAS) is dissolved; or
  - (b) The Insured Firm is dissolved or merges with a firm outside of the CLLAS program and discontinues the CLLAS underlying protection; or
  - (c) The INSURED has failed to pay a premium when due or has failed, after demand, to reimburse the Insurer such amounts as the Insurer had paid in settlement or satisfaction of claims or judgment in excess of the applicable limit of the Insurers' liability.

In the event of the above, this Policy may be cancelled by the Insurer by mailing a written notice of cancellation to the FIRM at the address shown in this Policy stating when not less than 30 (thirty) days thereafter such cancellation shall be effective. The mailing of notices as aforesaid shall be sufficient proof of notice. The effective date and hour of cancellation stated in the notice shall become the end of the Period of Insurance. Delivery of such written notice by the Insurer shall be equivalent to mailing.

3. If the Insurer cancels, the computed pro rata cancellation of the annual premium will be charged to the INSURED. If the Insurer shall refuse to renew this Policy, the INSURED shall have the right, in consideration of an additional premium equal to 150% of the annual premium for this policy to any extension of the cover granted by this Policy to apply, subject to its terms, conditions, exclusions and limitations, to Claims first made against the INSURED during the period of 12 calendar months after the expiry date but only when such Claim arises out of Professional Services rendered prior to the expiry date. To exercise this right the INSURED must give notice in writing (together with payment of the additional premium) not later than 30 days after the expiry date. In the event of failure by the INSURED to give such notice, the INSURED shall not at a later date be entitled to give such notice. The mailing of notice by the INSURED by registered mail to the Insurer shall be sufficient proof of notice. For the purpose of establishing the Limit of Liability under this extended reporting period, the period of 12 months referred to herein shall not in any way increase the Sum Insured of this Policy as stated in Item 8 of the Declarations, which limit shall apply to the Last Period of Insurance and the extended reporting period taken together.

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4. Partnership Dissolution Extension:

In the event of the dissolution of the FIRM hereunder during the Policy Period, the Insurer hereby agrees in consideration of the payment of an additional premium of 150% of the last annual premium paid for this Policy, to extend coverage granted by this Policy, subject to its terms, conditions, exclusions and limitations to any Claim first made against the FIRM during the period of 12 calendar months after the date of dissolution but only when such Claim arises out of Professional Services rendered prior to the date of dissolution. This right is conditional upon the FIRM giving notice in writing not later than 30 days after such date of dissolution (together with payment of the additional premium).

In the event of failure by the FIRM to give such notice prior to such date, the FIRM shall not at a later date be entitled to invoke this extension. The mailing by the FIRM by registered mail of notice to the Insurer shall be sufficient proof of notice. For the purposes of establishing the Insurer's Limit of Liability under this extended reporting period, the period of 12 months referred to herein shall not in any way increase the Limit of Liability of this Policy, which limit shall apply to the last applicable Period of Insurance and the extended reporting period taken together.

5. Arbitration:

In the event of any dispute between the INSURED and the Insurer respecting any matter arising from or in relation to this Policy, such dispute shall be referred to arbitration before a single arbitrator as mutually agreed upon by the INSURED and the Insurer. The INSURED and the Insurer further agree that the procedure to be followed in every arbitration under this condition shall be set and determined with the arbitrator appointed by the INSURED and the Insurer in accordance with the *Arbitration Act, 1991* (Ontario).

6. Claims Procedures:

- A. The INSURED, as a condition precedent to their right to indemnity under this Policy, shall give the Insurer written notice of any claim made against any INSURED as soon as practicable, but in no event later than the end of the Period of Insurance.
- B. If during the Period of Insurance, the INSURED becomes aware of a specific act, error or omission which is reasonably expected to be likely to give rise to a claim and the INSURED seek indemnity for such claim, then, as a condition precedent to their right to indemnity under this Policy, the INSURED must during the Period of Insurance give written notice to the Insurer of:
  - 1. the specific act, error or omission of the relevant INSURED;
  - 2. the reasons for anticipating the likelihood of a claim;
  - 3. the identity of the potential claimant;
  - 4. the amount of actual or potential damages; and

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5. how and when the INSURED first became aware of such specific act, error or omission.

If such details are provided in full then any claim subsequently made against the INSURED arising out of such specific act, error or omission, shall be deemed to have been made at the time such notice was received by the Insurer.

- C. The INSURED shall give notice under this clause to the Insurer (via the INSURED'S broker or other agent only) at the address specified in the Schedule. Notice shall be deemed reported on the date and at the time of receipt by the Insurer.
- D. The INSURED, as a condition precedent to their right to indemnity under this Policy, shall, at their own cost, co-operate with the Insurer and provide such assistance and information as the Insurer may reasonably request.
- E. The INSURED shall assist in making settlements, in the conduct of suits and in enforcing any right of contribution or indemnity against any person or organization who may be liable to the INSURED because of acts, errors or omissions with respect to which insurance is afforded under this Policy; and the INSURED shall attend hearings and trials and assist in securing and giving evidence and obtaining the attendance of witnesses.

7. Costs:

Insurers agree that they will pay costs, charges and expenses incurred in connection with the defense of any claims covered hereunder, subject to the following conditions:

- i. If the claim made against the INSUREDS is disposed of without payments, Insurers will pay all costs, charges and expenses in excess of the RETENTION but not exceeding the SUM INSURED;
- ii. The cost of any appeal, attachment or similar bonds required to be furnished in connection with the contest of any claims covered hereunder is included in the term "costs, charges and expenses", and the INSUREDS shall not be required to give security for such bonds.

8. Other Conditions:

- a. If the INSUREDS shall make any claim knowing the same to be false or fraudulent, as regards amount or otherwise, this Policy shall become void and all claims hereunder shall be forfeited.
- b. Payments by the Insurer, if any, shall be made in Canadian Dollars.

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9. Subrogation:

Any individual INSURED who commits an EXCLUDED ACT shall cease to be an INSURED under this Policy for all purposes relating to the loss caused or alleged to have been caused thereby, and Insurers shall be entitled to have and to exercise all rights of subrogation against such individual as a third party. In the event that such individual is nonetheless deemed by law to remain an INSURED for these purposes, then coverage shall only extend under this policy in respect of the loss caused by the EXCLUDED ACT to the extent that such loss exceeds the value of the individual INSURED'S assets in the FIRM.

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1601113

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

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**ENDORSEMENT NUMBER: ONE**

**ADDITIONAL EXCLUSION**

Clause III. EXCLUSIONS is hereby amended by the addition of the following:

10. Any claim made by an associated or subsidiary company of the FIRM, unless such claim emanates from an independent third party.

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1601113

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

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**ENDORSEMENT NUMBER: TWO**

**CONTRACTS (RIGHTS OF THIRD PARTIES) ACT 1999 CLARIFICATION  
CLAUSE**

A person who is not a party to this contract has no right under the Contracts (Rights of Third Parties) Act 1999 to enforce any term of this contract but this does not affect any right or remedy of a third party which exists or is available apart from that Act

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1601113

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

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**ENDORSEMENT NUMBER: THREE**

**SERVICE OF SUIT CLAUSE (CANADA)  
(Action against Insurer)**

In any action to enforce the obligations of the Underwriters they can be designated or named as "Lloyd's Underwriters" and such designation shall be binding on the Underwriters as if they had each been individually named as defendant. Service of such proceedings may validly be made upon the Attorney In Fact in Canada for Lloyd's Underwriters, whose address for such service is 1155, rue Metcalfe, Suite 1540, Montreal, Quebec, H3B 2V6.

**LMA5028**

**10/08/06**

Form approved by Lloyd's Market Association

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1601113

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

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**ENDORSEMENT NUMBER: FOUR**

**SANCTION LIMITATION AND EXCLUSION CLAUSE**

No (re)insurer shall be deemed to provide cover and no (re)insurer shall be liable to pay any claim or provide any benefit hereunder to the extent that the provision of such cover, payment of such claim or provision of such benefit would expose that (re)insurer to any sanction, prohibition or restriction under United Nations resolutions or the trade or economic sanctions, laws or regulations of the European Union, United Kingdom or United States of America. This clause will be effective except where it contravenes Canadian laws.

LMA3100 (amended)

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1601113

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

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**ENDORSEMENT NUMBER: FIVE**

**FOREIGN LAW PRACTICES ENDORSEMENT**

In accordance with II. DEFINITIONS 2. this insurance is extended to cover the following individuals for their practice of foreign law, whilst performing these services solely for the Insured, as shown below:

**Former Foreign Law Practitioners**

Yongliang Zhang for the practice of Chinese Law  
Retroactive Date: 01 July, 2009

Claudia Quinzio for the practice of Chilean Law  
Retroactive Date: 14 February, 2013

Katherine Xiulan (Katherine Hua) for the practice of Chinese law  
Retroactive Date: 25 February, 2013

Raquel Quaresma as a Foreign Advisor  
Retroactive Date: 01 July, 2013

Juan Pablo for the practice of Chilean Law  
Retroactive Date: 14 April, 2011

Anastasia Mamay for the practice of Russian Law  
Retroactive Date: 07 February, 2012

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1601113

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

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**ENDORSEMENT NUMBER: SIX**

**ADDITIONAL INSURED ENDORSEMENT  
(Reema Kapoor, J.D./MBA)**

It is hereby noted and agreed that Reema Kapoor, J.D./MBA ("Reema Kapoor") is added to this insurance Policy as an additional INSURED and:

(i) 'I. COVERAGE:' of the wording is amended by the addition of:

"C By Reema Kapoor solely in respect of claims arising from ACTS committed or alleged to have been committed by her in the course of providing legal services for or on behalf of McMillan LLP."

(ii) 'III. EXCLUSIONS:' of the wording is amended by the addition of:

"10. Any claim arising out of the ACTS of McMillan LLP or any derivative or vicarious liability incurred by Reema Kapoor by reason of the ACTS of any other attorney associated with McMillan LLP. For clarity this exclusion does not apply to the coverage given under I.C."

Notwithstanding the foregoing, the limits of liability of the Insurer shall not be increased and shall not exceed the limit for each and every claim nor for all claims in the aggregate, as set forth in **Item 8 SUM INSURED** of the Schedule, or any additions or endorsements thereto.

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1601113

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

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**ENDORSEMENT NUMBER: SEVEN**

**CHOICE OF LAW AND JURISDICTION**

This insurance will be governed by and interpreted pursuant to the laws of the Province of British Columbia and the laws of Canada applicable therein, and any dispute arising hereunder shall be submitted to the exclusive jurisdiction of the Courts of the Province of British Columbia.

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1601113

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

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**ENDORSEMENT NUMBER: EIGHT**

**PREMIUM PAYMENT CLAUSE**

Notwithstanding any provision to the contrary within this contract or any endorsement hereto, the following clause will apply.

The (Re)Insured undertakes that premium will be paid in full to (Re)Insurers within 60 days of inception of this contract (or, in respect of instalment premiums, when due).

If the premium due under this contract has not been so paid to (Re)Insurers by the 60th day from the inception of this contract (and, in respect of instalment premiums, by the date they are due) (Re)Insurers shall have the right to cancel this contract by notifying the (Re)Insured via the broker in writing. In the event of cancellation, premium is due to (Re)Insurers on a pro rata basis for the period that (Re)Insurers are on risk but the full contract premium shall be payable to (Re)Insurers in the event of a loss or occurrence prior to the date of termination which gives rise to a valid claim under this contract.

It is agreed that (Re)Insurers shall give not less than 15 days prior notice of cancellation to the (Re)Insured via the broker. If premium due is paid in full to (Re)Insurers before the notice period expires, notice of cancellation shall automatically be revoked. If not, the contract shall automatically terminate at the end of the notice period.

If any provision of this clause is found by any court or administrative body of competent jurisdiction to be invalid or unenforceable, such invalidity or unenforceability will not affect the other provisions of this clause which will remain in full force and effect.

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LSW3001 (amended)

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1601113

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

**ENDORSEMENT NUMBER: NINE**

**(RE)INSURERS LIABILITY CLAUSE**

**(Re)insurer's liability several not joint**

The liability of a (re)insurer under this contract is several and not joint with other (re)insurers party to this contract. A (re)insurer is liable only for the proportion of liability it has underwritten. A (re)insurer is not jointly liable for the proportion of liability underwritten by any other (re)insurer. Nor is a (re)insurer otherwise responsible for any liability of any other (re)insurer that may underwrite this contract.

The proportion of liability under this contract underwritten by a (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together) is shown next to its stamp. This is subject always to the provision concerning "signing" below.

In the case of a Lloyd's syndicate, each member of the syndicate (rather than the syndicate itself) is a (re)insurer. Each member has underwritten a proportion of the total shown for the syndicate (that total itself being the total of the proportions underwritten by all the members of the syndicate taken together). The liability of each member of the syndicate is several and not joint with other members. A member is liable only for that member's proportion. A member is not jointly liable for any other member's proportion. Nor is any member otherwise responsible for any liability of any other (re)insurer that may underwrite this contract. The business address of each member is Lloyd's, One Lime Street, London EC3M 7HA. The identity of each member of a Lloyd's syndicate and their respective proportion may be obtained by writing to Market Services, Lloyd's, at the above address.

**Proportion of liability**

Unless there is "signing" (see below), the proportion of liability under this contract underwritten by each (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together) is shown next to its stamp and is referred to as its "written line".

Where this contract permits, written lines, or certain written lines, may be adjusted ("signed"). In that case a schedule is to be appended to this contract to show the definitive proportion of liability under this contract underwritten by each (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together). A definitive proportion (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of a Lloyd's syndicate taken together) is referred to as a "signed line". The signed lines shown in the schedule will prevail over the written lines unless a proven error in calculation has occurred.

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Although reference is made at various points in this clause to "this contract" in the singular, where the circumstances so require this should be read as a reference to contracts in the plural.

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**LLOYD'S UNDERWRITERS' POLICYHOLDERS' COMPLAINT PROTOCOL**

Lloyd's strives to enhance your customer experience with us through superior service and innovative insurance products.

We have developed a formal complaint handling protocol in accordance with the Insurance Companies Act of Canada to ensure your concerns as our valued customer are addressed expeditiously by our representatives. This protocol will assist you in understanding the steps we will undertake to help resolve any dispute which may arise with our product or service. All complaints will be handled in a professional manner. All complaints will be investigated, acted upon, and responded to in writing or by telephone by a Lloyd's representative promptly after the receipt of the complaint. If you are not satisfied with our products or services, you can take the following steps to address the issue:

- Firstly, please contact the broker who arranged the insurance on your behalf about your concerns so that he or she may have the opportunity to help resolve the situation.
- If your broker is unable to help resolve your concerns, we ask that you provide us in writing an outline of your complaint along with the name of your broker and your policy number.

Please forward your complaint to:

**Lloyd's Underwriters**

Attention: Complaints Officer:

1155 rue Metcalfe, Suite 2220, Montréal (Québec) H3B 2V6

Tel: 1-877-455-6937 - Fax: (514) 861-0470

E-mail: [info@lloyds.ca](mailto:info@lloyds.ca)

Your complaint will be directed to the appropriate business contact for handling. They will write to you within two business days to acknowledge receipt of your complaint and to let you know when you can expect a full response. If need be, we will also engage internal staff in Lloyd's Policyholder and Market Assistance Department in London, England, who will respond directly to you, and in the last stages, they will issue a final letter of position on your complaint.

In the event that your concerns are still not addressed to your satisfaction, you have the right to continue your pursuit to have your complaint reviewed by the following organizations:

**General Insurance OmbudService (GIO):** assists in the resolution of conflicts between insurance customers and their insurance companies. The GIO can be reached at:

Toll free number: 1-877-225-0446

[www.giocanada.org](http://www.giocanada.org)

**For Quebec clients:**

**Autorité des marchés financiers (AMF):** The regulation of insurance companies in Quebec is administered by the AMF. If you remain dissatisfied with the manner in which your complaint has been handled, or with the results of the complaint protocol, you may send your complaint to the AMF who will study your file and who may recommend mediation, if it deems this action appropriate and if both parties agree to it. The AMF can be reached at

Toll Free: 1-877-525-0337

Québec: (418) 525-0337

Montréal: (514) 395-0311

[www.lautorite.qc.ca](http://www.lautorite.qc.ca)

If you have a complaint specifically about Lloyd's Underwriters' complaints handling procedures you may contact the FCAC.

**Financial Consumer Agency of Canada (FCAC)** provides consumers with accurate and objective information about financial products and services, and informs Canadians of their rights and responsibilities when dealing with financial institutions. FCAC also ensures compliance with the federal consumer protection laws that apply to banks and federally incorporated trust, loan and insurance companies. The FCAC does not get involved in individual disputes. The FCAC can be reached at:

427 Laurier Avenue West, 6th Floor, Ottawa ON K1R 1B9

Services in English: 1-866-461-FCAC (3222)

Services in French: 1-866-461-ACFC (2232)

[www.fcac-acfc.gc.ca](http://www.fcac-acfc.gc.ca)

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**NOTICE CONCERNING PERSONAL INFORMATION****How we use your information**

By purchasing insurance from certain Underwriters at Lloyd's, London ("Lloyd's"), a customer provides Lloyd's with his or her consent to the collection, use and disclosure of personal information. Consent is subject to the customer's understanding of the nature, purpose and consequences of the collection, use or disclosure of their personal information.

Information is collected and stored for the following purposes:

- the communication with Lloyd's policyholders
- the underwriting of policies
- the evaluation of claims
- the analysis of business results
- purposes required or authorized by law

**What personal information we collect about you**

We collect, process and store the following personal information about you:

- Name
- Address including postal code and country
- Policy number
- Claim number
- Credit card details
- Bank account details

We also collect information about you when you visit [www.lloyds.com](http://www.lloyds.com). Further details can be found on our online Privacy & Cookies policy at <http://www.lloyds.com/common/privacy-and-cookies-statement>.

We will not use your personal information for marketing purposes and we will not sell your personal information to other parties.

**Who we disclose your information to**

For the purposes identified, personal information may be disclosed to Lloyd's related or affiliated organisations or companies, their agents/mandataires, and to certain non-related or unaffiliated organisations or companies, including service providers. These entities may be located outside Canada therefore a customer's information may be processed in a foreign jurisdiction (the United Kingdom and the European Union) and their information may be accessible to law enforcement and national security authorities of that jurisdiction.

**Disclosure without consent**

The following are reasonable grounds to permit the disclosure of personal information without the knowledge or consent of a customer:

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- Detecting or suppressing fraud
- Investigating or preventing financial abuse
- For communication with the next to kin or authorized representative of an injured, ill or deceased individual
- Investigating a breach of an agreement or a contravention of the laws of Canada or a foreign jurisdiction
- Witness statement necessary to assess, process or settle insurance claims
- Information produced in the course of employment and the disclosure is consistent with the purpose it was produced for

#### **How to access your information and/or contact us**

To access and request correction or deletion of your information, or to obtain written information about Lloyd's policies and practices in respect of service providers located outside Canada, please contact the Ombudsman at [info@lloyds.ca](mailto:info@lloyds.ca). The Ombudsman will also answer customer's questions about the collection, use, disclosure or storage of their personal information by such Lloyd's service providers.

Further information about Lloyd's personal information protection policy may be obtained from the customer's broker or by contacting Lloyd's on: 514 861 8361, 1 877 455 6937, or through [info@lloyds.ca](mailto:info@lloyds.ca).

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## **CODE OF CONSUMER RIGHTS AND RESPONSIBILITIES**

Insurers (including Lloyd's Underwriters), along with the brokers and agents who sell home, auto and business insurance are committed to safeguarding your rights both when you shop for insurance and when you submit a claim following a loss. Your rights include the right to be informed fully, to be treated fairly, to timely complaint resolution, and to privacy. These rights are grounded in the contract between you and your insurer and the insurance laws of your province. With rights, however, come responsibilities including, for example, the expectation that you will provide complete and accurate information to your insurer. Your policy outlines other important responsibilities. Insurers and their distribution networks, and governments also have important roles to play in ensuring that your rights are protected.

### **Right to Be Informed**

You can expect to access clear information about your policy, your coverage, and the claims settlement process. You have the right to an easy-to-understand explanation of how insurance works and how it will meet your needs. You also have a right to know how insurers calculate price based on relevant facts. Under normal circumstances, insurers will advise an insurance customer or the customer's intermediary of changes to, or the cancellation of a policy within a reasonable prescribed period prior to the expiration of the policy, if the customer provides information required for determining renewal terms of the policy within the time prescribed, which could vary by province, but is usually 45 days prior to expiry of the policy.

You have the right to ask who is providing compensation to your broker or agent for the sale of your insurance. Your broker or agent will provide information detailing for you how he or she is paid, by whom, and in what ways.

You have a right to be told about insurers' compensation arrangements with their distribution networks. You have a right to ask the broker or agent with whom you deal for details of how and by whom it is being paid. Brokers and agents are committed to providing information relating to ownership, financing, and other relevant facts.

### **Responsibility to Ask Questions and Share Information**

To safeguard your right to purchase appropriate coverage at a competitive price, you should ask questions about your policy so that you understand what it covers and what your obligations are under it. You can access information through one-on-one meetings with your broker or agent. You have the option to shop the marketplace for the combination of coverages and service levels that best suits your insurance needs. To maintain your protection against loss, you must promptly inform your broker or agent of any change in your circumstances.

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### **Right to Complaint Resolution**

Insurers, their brokers and agents are committed to high standards of customer service. If you have a complaint about the service you have received, you have a right to access Lloyd's Underwriters' complaint resolution process for Canada. Your agent or broker can provide you with information about how you can ensure that your complaint is heard and promptly handled. Consumers may also contact their respective provincial insurance regulator for information. Lloyd's is a member of an independent complaint resolution office, the General Insurance OmbudService.

### **Responsibility to Resolve Disputes**

You should always enter into the dispute resolution process in good faith, provide required information in a timely manner, and remain open to recommendations made by independent observers as part of that process.

### **Right to Professional Service**

You have the right to deal with insurance professionals who exhibit a high ethical standard, which includes acting with honesty, integrity, fairness and skill. Brokers and agents must exhibit extensive knowledge of the product, its coverages and its limitations in order to best serve you.

### **Right to Privacy**

Because it is important for you to disclose any and all information required by an insurer to provide the insurance coverage that best suits you, you have the right to know that your information will be used for the purpose set out in the privacy statement made available to you by your broker, agent or insurance representative. This information will not be disclosed to anyone except as permitted by law. You should know that Lloyd's Underwriters are subject to Canada's privacy laws - with respect to their business in Canada.

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### INTENTION FOR AIF TO BIND CLAUSE

Whereas Lloyd's Underwriters have been granted an order to insure in Canada risks under the Insurance companies Act (Canada) and are registered in all provinces and territories in Canada to carry on insurance business under the laws of these jurisdictions or to transact insurance in these jurisdictions.

And whereas applicants for insurance coverage in respect of risks located in Canada and Canadian Cedants wish that Lloyd's insurance and reinsurance coverage be provided in a manner that requires Lloyd's Underwriters to vest assets in trust in respect of their risks pursuant to the Insurance Companies Act (Canada);

- a) This contract shall be in force and shall be the governing contract pending the decision by Lloyd's Underwriters' attorney and chief agent in Canada (the "AIF") to confirm coverage in accordance with both the terms and conditions set out in this contract and applicable Canadian law;
- b) The AIF shall confirm Lloyd's Underwriters' coverage by signing in Canada a policy that will contain the terms and conditions set out in this contract (the "Canadian Policy"), and by communicating from Canada the issuance of that policy to the policyholder or his broker;
- c) This contract shall cease to have effect upon the communication by the AIF from Canada of the Canadian Policy to the policyholder or his broker, and the Canadian Policy will replace and supersede this contract.

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# Lloyd's Insurance

Effected through

Beazley Pte Limited

138 Market Street, #03-04

Capita Green

Singapore 048946

T +(65) 6576 6288

F +(65) 6636 1216

[www.beazley.com](http://www.beazley.com)

**This is to Certify** that in accordance with the authorisation granted under the Contract (the number of which is specified in the Schedule) to the undersigned by certain Underwriters at Lloyd's, whose definitive numbers and the proportions underwritten by them, which will be supplied on application, can be ascertained by reference to the said Contract which bears the Seal of Lloyd's Policy Signing Office and in consideration of the payment of the premium specified herein, the said Underwriters are hereby bound, severally and not jointly, their Executors and Administrators, to insure in accordance with the terms and conditions contained herein or endorsed hereon.

If the Assured shall make any claim knowing the same to be false or fraudulent, as regards amount or otherwise, this Certificate shall become void and all claim hereunder shall be forfeited.

**In Witness** whereof this Certificate has been signed at the place stated and on the date specified in the Schedule by



Policy for  
McMillan LLP

Issued by Beazley Pte Limited acting on behalf of:

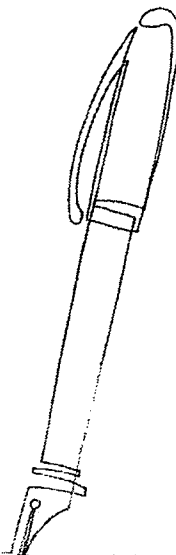
Beazley Syndicate 2623/623 at Lloyd's

138 Market Street  
#03-04, CapitaGreen  
Singapore 048946

Phone: +(65) 6576 6288  
Fax: +(65) 6636 1216

[www.beazley.com](http://www.beazley.com)

beazley



## Policy schedule

BINDER AGREEMENT REFERENCE: B6012SINGEO17

POLICY NUMBER: W0550417PNPS

TYPE: Professional Indemnity

ASSURED: McMillan LLP

ADDRESS: 3502, Tower 2, Lippo Centre, 89 Queensway, Hong Kong

PERIOD OF INSURANCE: Effective from: 19 February 2017  
To: 18 February 2018  
Both days inclusive local standard time

TERMS AND CONDITIONS: Beazley Standard APUA PI Form and corresponding endorsement attached  
Date of Proposal: 19.01.2017

PROFESSION: Registered Foreign Law Firm/Lawyers in Hong Kong

TERRITORIES: Worldwide excluding USA and Canada

LIMIT OF INDEMNITY: HKD 20,000,000.00 any one claim

EXCESS: HKD 250,000.00 each and every claim (costs inclusive)

RETROACTIVE DATE: 19 February 2009 excluding any known claims and circumstances

PREMIUM: HKD 210,000.00

Signature:



Dated in Singapore:

03/02/2017

Beazley Pte Limited is a service company that is part of the Beazley group of companies. Beazley Pte Limited is regulated by the Monetary Authority of Singapore in its capacity as a Lloyd's coverholder under the Lloyd's Asia regulations. Beazley Pte Limited has authority to enter into contracts of insurance on behalf of the Lloyd's underwriting members of Lloyd's syndicate 623 and 2623 which are managed by Beazley Furlonge Limited which is authorized by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority in the UK (ref 204896) in its capacity as an insurer.

Beazley Pte Limited is a member of Beazley Group.  
Registered in Singapore No: 200611993M



Underwritten by Beazley syndicates at Lloyd's\*

## PROFESSIONAL INDEMNITY POLICY

### PREAMBLE

In consideration of the Assured having made a Proposal to Beazley Pte Limited (hereinafter referred to as the Underwriters) containing particulars and statements which are to be considered as incorporated in this Policy, and having paid the premium stated in the Schedule, Underwriters will indemnify the Assured in accordance with and subject to the limitations, terms and conditions and endorsements of this Policy.

### INDEMNITY CLAUSE

Underwriters will, subject to the following limitations, terms and conditions and endorsements:

indemnify the Assured against any Claim which may be made against the Assured and notified to Underwriters during the Period of Insurance for actual or alleged breach of professional duty in the profession stated in the Schedule by reason of any negligent act, error or omission committed or allegedly committed by or on behalf of the Assured after the retroactive date stated in the Schedule.

### COSTS AND EXPENSES

Underwriters also agree to pay all costs and expenses incurred in the investigation, defence or settlement of any Claim which falls to be dealt with under this Policy.

### LIMIT OF UNDERWRITERS' LIABILITY

Provided always that Underwriters' total aggregate liability under this Policy shall not exceed the Limit of Indemnity specified in the Schedule in respect of all Claims (which for the purpose of this clause shall be deemed to include all costs and expenses incurred in the investigation, defence or settlement of all Claims) made against the Assured during any one Period of Insurance.

### EXCESS CLAUSE

Provided further that Underwriters shall only be liable for that part of each and every Claim (which for the purpose of this clause shall be deemed to include all costs and expenses incurred in the investigation, defence or settlement of any Claim) which exceeds the amount of the Excess stated in the Schedule.

For the purpose of this clause "Claim" shall also mean all Claims attributable to or arising out of the same cause or event.

If any expenditure is incurred by Underwriters which by virtue of this clause is the responsibility of the Assured then such amount shall be reimbursed to Underwriters by the Assured forthwith.

\* Beazley Pte Limited is a service company that is part of the Beazley group of companies. Beazley Pte Limited is regulated by the Monetary Authority of Singapore in its capacity as a Lloyd's coverholder under the Lloyd's Asia regulations. Beazley Pte Limited has authority to enter into contracts of insurance on behalf of the Lloyd's underwriting members of Lloyd's syndicate 623 and 2623 which are managed by Beazley Furlonge Limited which is authorized by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority in the UK (ref 204896) in its capacity as an insurer.

Beazley Pte Limited is a member of Beazley Group.  
Registered in Singapore No: 200611993M



## **CLAIMS**

All Claims made against the Assured which are attributable to or arise out of the same cause or event shall be regarded as one aggregated Claim and the Underwriters' total liability under this Policy for the aggregated Claim shall not exceed the Limit of Indemnity.

## **LEGAL PERSONAL REPRESENTATIVES**

In the event of the death of any Assured Underwriters will, in respect of the liability incurred by the Assured, indemnify the Assured's legal personal representatives in the terms of this Policy provided that such legal personal representatives shall, as though they were the Assured, observe, fulfil and be subject to the terms, conditions and exclusions of this Policy insofar as they can apply.

## **EXCLUSIONS**

This Policy will not indemnify the Assured against any Claim:

### **1. CONTRACTUAL LIABILITY**

in respect of liability imposed upon the Assured pursuant to any contract if such liability would not have been imposed upon the Assured in the absence of any such contract; or for fines, penalties or exemplary damages of any description, or

### **2. LEGAL JURISDICTION**

where action for damages is brought in a court of law outside the territories specified in the Schedule, or where action is brought in a court of law within those territories to enforce a foreign judgement whether by way of Reciprocal Agreement or otherwise, or

### **3. EMPLOYERS LIABILITY**

arising directly or indirectly from bodily injury, sickness, disease or death sustained by any person arising out of and in the course of his/her employment by the Assured under any contract of service or apprenticeship, or for any breach of any obligation owed by the Assured as an employer to any employee, or

### **4. PRIOR CIRCUMSTANCES**

made against the Assured prior to the commencement of the Period of Insurance nor in respect of any claim(s) or circumstance(s) notified under any previous policy nor in respect of any claim(s) or circumstance(s) which might give rise to a Claim which was known to the Assured prior to the commencement of the Period of Insurance or which is stated on the proposal form, declaration or underwriting information being the basis of this insurance.

(This exclusion relates to the negligent act, error or omission from which the claim(s) or circumstance(s) known to the Assured arises, irrespective of how, or whether, that claim(s) or circumstance(s) is declared to Underwriters.), or

**5. WAR AND TERRORISM**

for loss, damage or liability directly or indirectly occasioned by or, in connection with any of the following regardless of any other cause or event contributing concurrently or in any other sequence to the loss;

- (i) war, invasion, acts of foreign enemies, hostilities or warlike operations (whether war be declared or not), civil war, rebellion, revolution, insurrection, civil commotion assuming the proportions of or amounting to an uprising, military or usurped power; or
- (ii) any act of terrorism.

For the purpose of this exclusion an act of terrorism means an act, including but not limited to the use of force or violence and/or the threat thereof, of any person or group(s) of persons, whether acting alone or on behalf of or in connection with any organisation(s) or government(s), committed for political, religious, ideological or similar purposes including the intention to influence any government and/or to put the public, or any section of the public, in fear.

This exclusion includes loss, damage, cost or expense of whatsoever nature directly or indirectly caused by, resulting from or in connection with any action taken in controlling, preventing, suppressing or in any way relating to (i) and/or (ii) above.

If the Underwriters allege that by reason of this exclusion, any loss, damage, cost or expense is not covered by this Insurance the burden of proving the contrary shall be upon the Assured.

In the event any portion of this exclusion is found to be invalid or unenforceable, the remainder shall remain in full force and effect, or

**6. NUCLEAR ASSEMBLIES**

for loss, damage or liability directly or indirectly occasioned by or caused by or arising from or in consequence of or contributed to by nuclear weapons materials, or arising from or in consequence of or contributed to by ionising radiation or contamination by radioactivity from any nuclear fuel or from any nuclear waste from the combustion of nuclear fuel. Combustion shall include any self sustaining process of nuclear fission, or

**7. ASSURED DUTIES**

made against them which relates to any duty or obligation assumed by the Assured which is not assumed in the normal conduct of the Assured's profession as stated in the Schedule, or

**8. DISHONESTY**

arising directly or indirectly from any dishonest, fraudulent, malicious or illegal act or omission of the Assured, or

**9. DEFAMATION**

alleging libel or slander, or

**10. INFRINGEMENT**

alleging infringement of copyright, patents, registered designs, trade marks or passing-off, or

**11. PRODUCTS**

arising out of or relating to goods or products, sold, supplied, repaired, altered, manufactured, installed or maintained by the Assured or any related company or by sub-contractors of the Assured, or



**12. INSOLVENCY/BANKRUPTCY OF ASSURED**

arising out of or relating directly or indirectly from the insolvency or bankruptcy of the Assured, or

**13. SEEPAGE AND POLLUTION**

based upon, arising out of or relating directly or indirectly from or in consequence of or in any way involving, seepage, pollution or contamination of any kind, or

**14. MOULD AND ASBESTOS**

arising directly or indirectly out of or resulting from or in consequence of, or in any way involving:

- (i) asbestos, or any materials containing asbestos in whatever form or quantity, or
- (ii) the actual, potential, alleged or threatened formation, growth, presence, release or dispersal of any fungi, molds, spores or mycotoxins of any kind, or
- (iii) any action taken by any party in response to the actual, potential, alleged or threatened formation, growth, presence, release or dispersal of fungi, molds, spores or mycotoxins of any kind, such action to include investigating, testing for, detection of, monitoring of, treating, remediating or removing such fungi, molds, spores or mycotoxins, or
- (iv) any governmental or regulatory order, requirement, directive, mandate or decree that any party take action in response to the actual, potential, alleged or threatened formation, growth, presence, release or dispersal of fungi, molds, spores or mycotoxins of any kind, such action to include investigating, testing for, detection of, monitoring of, treating, remediating or removing such fungi, molds, spores or mycotoxins, or

**15. FINES/PENALTIES**

for fines, penalties, punitive, multiple or exemplary damages, or

**16. RETROACTIVE DATE**

first made against the Assured by reason of any negligent act, error or omission committed or alleged to have been committed prior to the retroactive date stated in the Schedule.

**DEFENCE AND SETTLEMENT**

- 1. Underwriters will be entitled to take over and conduct, in the name of the Assured, the defence or settlement of any Claim.
- 2. The Assured will, when instructed by Underwriters pay promptly (or within the terms of any proposed settlement) any Excess amount payable by them under this Policy. Any failure or refusal by the Assured to make such payment will entitle Underwriters to deduct such amount from any amount(s) required to settle any Claim, judgement, order or any other payment to be made by Underwriters under this Policy.
- 3. The Assured will not be required to contest any legal proceedings unless a Queen's Counsel or Senior Counsel (to be mutually agreed upon by the Assured and Underwriters) advises that such proceedings should be contested.
- 4. In the event that Underwriters elect to settle any Claim, Underwriters may discharge its total liability under this Policy by paying the then available Limit of Indemnity to the Assured.

5. In the event that the Assured wishes to continue to contest any Claim which, in the opinion of Underwriters should be settled, then, with the consent of Underwriters the Assured may so elect, provided that Underwriter's liability in respect of any such Claim shall not exceed the amount for which, but for such election, the Claim could have been settled, together with costs and expenses payable in accordance with this Policy and incurred up to the date of such election.
6. Underwriters may, if it believes that any Claim will not exceed the Excess, instruct the Assured to conduct the defence of the Claim, keeping Underwriters advised of developments as they occur. In these circumstances Underwriters will reimburse the Assured for all reasonable defence costs in the event that any payment made to dispose of the Claim by way of damages exceeds the Excess.

## CONDITIONS

1. The Assured will not admit liability for or settle any Claim or incur any costs or expenses in connection with any Claim without the written consent of Underwriters.
2. The Assured will, as a condition precedent to their right to be indemnified under this Policy, give to Underwriters immediate notice in writing of any Claim whether oral or in writing and will, on request, give to Underwriters any information they may reasonably require to investigate the matter notified.

Such notice having been given as required above, any subsequent Claim against the Assured shall be deemed to have been made during the Period of Insurance.

3. Underwriters will be entitled to claim indemnity or contribution at any time in the name of the Assured from any party against whom the Assured may have such rights.
4. The construction, interpretation and meaning of this Policy shall be determined according to the law(s) of Hong Kong and in accordance with the English text as it appears in this Policy.
5. If there are any material changes to the Proposal during the Period of Insurance then the Assured shall promptly inform the Underwriters.
6. This Policy shall terminate thirty days after receipt by the Assured of notice in writing from the Underwriters of their decision to terminate this Policy. Such notice shall be deemed to be duly received in the course of post if sent by prepaid registered post properly addressed to the Head Office of the Assured.
7. The subscribing underwriters' obligations under contracts of insurance to which they subscribe are several and not joint and are limited solely to the extent of their individual subscriptions. The subscribing underwriters are not responsible for the subscription of any co-subscribing underwriter who for any reason does not satisfy all or part of its obligations.

## DEFINITIONS

1. "Claim" or "Claims" means:
  - (a) any writ, statement of claim, summons, application or other originating legal or arbitral process, cross claim, counter-claim or third or similar party notice issued against or served on the Assured; or
  - (b) the receipt by the Assured of any written or verbal notice of demand for compensation made against the Assured; or
  - (c) circumstances which are or should, after enquiry, be known to the Assured, and which might give rise to a Claim.

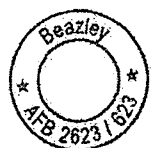


2. **"Limit of Indemnity"** means the sum stated as the limit of indemnity in the Schedule.
3. **"Assured"** means:
  - (a) the company, corporation, statutory authority, association, legal entity, members of the partnership, or person(s) specified in the proposal form, declaration or underwriting information being the basis of this contract; and
  - (b) any person who is, becomes, or ceases to be a principal, partner, director, controlling officer or employee of any entity specified above, but in each case solely in respect of work carried out for and on behalf of that entity; and
  - (c) any predecessor of any entity specified above, but in each case solely in respect of work carried out for and on behalf of that entity.
4. **"Period of Insurance"** means the period stated in the Schedule.
5. **"Excess"** means the excess applicable stated in the Schedule.
6. **"Proposal"** means all information, whether oral or in writing, supplied by the Assured or on the Assured's behalf.

### COMPLAINTS PROCEDURE

Notice to the Proposer/Assured. Any enquiry or complaint should be addressed in the first instance to your Broker/Beazley Pte Limited. If you are not satisfied with the way a complaint has been dealt with you may ask the Policyholder & Market Assistance at Lloyd's to review your case without prejudice to your rights in law. The address is:

Policyholder & Market Assistance  
Lloyd's Market Services  
One Lime Street  
London EC3M 7HA  
Telephone: +44 (0)207 327 5693  
Facsimile: +44 (0)207 327 5225  
E-mail: complaints@lloyds.com



**ENDORSEMENTS ATTACHING TO AND FORMING PART OF POLICY NO. W0550417PNPS**

1. It is hereby noted that this Policy will not indemnify the Assured against any Claim arising out of any services performed &/or advice given pertaining to Hong Kong law.
2. It is hereby noted that this Policy will not indemnify the Assured against any Claim arising out of any work undertaken outside Hong Kong.

**3. KNOWN CLAIMS / CIRCUMSTANCES EXCLUSION**

It is understood and agreed that this Policy excludes coverage in respect of Claims and/or circumstances mentioned below:

Claimants:

- 1) Robert Standerwick
- 2) Canadian Renewable Bioenergy Corp

manuend/knownclaims

4. Policy Exclusion 8 is deleted and this Policy is extended to include Dishonesty of Employees as defined herein.

**DISHONESTY OF EMPLOYEES EXTENSION**

Underwriters agree to indemnify the Assured against all sums which the Assured shall become legally liable to pay as a result of any claims/circumstances made against the Assured during the Period of Insurance brought about or contributed to by any dishonest, fraudulent, criminal or malicious act or omission of any employees of the Assured.

(The term Employee shall not be deemed to include any Director of the Assured)

(03/94)  
LSW432

5. Policy Exclusion 9 is deleted and this Policy is extended to include Libel and Slander as defined herein.

**LIBEL AND SLANDER EXTENSION**

Underwriters agree to indemnify the Assured against all sums which the Assured shall become legally liable to pay as damages and claimants' costs and expenses as a result of any Claim or Claims made against the Assured during the Period of Insurance for Libel or Slander by reason of words written or spoken by:-

- (a) the Assured, or
- (b) any employee of the Assured, or
- (c) any director of the Assured

in or about the conduct of the Assured's business as specified in the Schedule.

(03/94)  
LSW433

6. Policy Exclusion 10 is deleted and this Policy is extended to include Infringement of Copyright, Patent or Registered Design as defined herein.

**INFRINGEMENT OF COPYRIGHT, PATENT OR REGISTERED DESIGN EXTENSION (REB)**

Underwriters agree subject otherwise to the terms, conditions and exclusions of this insurance to indemnify the Assured against all sums which the Assured shall become legally liable to pay as damages and claimants' costs and expenses as a result of any claim or claims made against the Assured during the Period of Insurance alleging infringement of copyright, patent or registered design committed in good faith by:-

- (a) the Assured, or
- (b) any employee of the Assured, or
- (c) any director of the Assured

in or about the conduct of the Assured's business as specified in the Schedule.

(03/94)  
LSW427

7. It is hereby noted and agreed that Exclusions 17 and 18 are added to this Policy.

This Policy will not indemnify the Assured against any Claim:

**17. APPOINTMENTS**

arising from the Assured or any partner or former partner of the Assured or any Employee holding individual appointments as director company secretary executor administrator liquidator receiver or trustee unless such appointments are held in the course of the Business at the Assured's request and provided that all fees or other income derived from such appointments have been included in the fee income declared by the Assured to the Underwriters, or

**18. PERFORMANCE EXCLUSION**

arising out of the failure of an investment to perform:

- (i) to the advantage of the client, or
- (ii) to the advantage of the client to the degree suggested or assumed in any advice or forecast given by the Assured or in the making of any discretionary fund decision by the Assured.

**8. LOSS OF DOCUMENTS EXTENSION**

It is hereby understood and agreed that if during the Period of Insurance the Assured shall discover that any Documents (as hereinafter defined), the property of or entrusted to the Assured, which may now or hereafter be, or be supposed or believed to be, in the custody of the Assured or in the custody of any other person to or with whom such Documents have been entrusted, lodged or deposited by the Assured in the ordinary course of business, have been destroyed or damaged or lost or mislaid and after diligent search cannot be found, the Underwriters will indemnify the Assured against

- (a) legal liability which the Assured may incur to any other person in consequence of such Documents being destroyed, damaged, lost or mislaid,
- (b) costs and expenses incurred with the written consent of the Underwriters in the defence or settlement of any Claim to establish liability as described in (a) above.

**Definition**

In this Extension "Documents" means deeds, wills, agreements, maps, plans, records, books, letters, certificates, forms, computer programmes or information stored, written or punched into card or tape or magnetic discs or taped or any other data media, and documents of any nature whatsoever, whether written, printed or reproduced by any other method (other than bearer bonds, coupons, bank notes, currency notes and negotiable instruments).

**Exclusions**

This Extension shall not indemnify the Assured against any liability, costs or expenses

- (a) for which the Assured are entitled to an indemnity under this Policy apart from this Extension,
- (b) for which the Assured are entitled to an indemnity under any other policy or certificate of insurance,

- (c) directly or indirectly occasioned by, happening through or in consequence of war, invasions, acts of foreign enemies, hostilities (whether war be declared or not), civil war, rebellion, revolution, insurrection, military or usurped power or confiscation or nationalisation or destruction of or damage to property by or under the order of any government or public or local authority,
- (d) directly or indirectly caused by or contributed to by or arising from
  - (i) ionising radiations or contamination by radioactivity from any nuclear fuel or from any nuclear waste from the combustion of nuclear fuel,
  - (ii) the radioactive, toxic, explosive or other hazardous properties of any explosive nuclear assembly or nuclear component thereof,
- (e) (i) which arises directly or indirectly by reason of or in connection with fire or explosion occasioned by or happening through or in consequence directly or indirectly of terrorism,
- (ii) loss or destruction of or damage to any property in Northern Ireland or loss resulting therefrom caused by or happening through or in consequence of:
  - (a) civil commotion
  - (b) any unlawful, wanton or malicious act committed maliciously by a person or persons acting on behalf of or in connection with any unlawful association.

#### Conditions

1. The Assured shall not admit liability for or settle any Claim or incur any costs or expenses in connection therewith without the written consent of the Underwriters, who shall be entitled at any time to take over and conduct in the name of the Assured the defence or settlement of any Claim.
2. The Assured shall, as a condition precedent to their right to be indemnified under this Extension, give to the Underwriters immediate notice in writing of any circumstance which is likely to give rise to a Claim hereunder.
3. Any Claim for costs and expenses incurred by the Assured in replacing or restoring Documents shall be supported by bills or accounts which shall be subject to approval by a competent person to be nominated by the Underwriters with the approval of the Assured.
4. The Underwriters' total liability under this Policy shall not be increased by reason of this Extension.
5. The Assured shall, as a condition precedent to their right to be indemnified under this Extension, keep all Documents in a suitable secure fire proof safe or cabinet outside of normal business hours and shall maintain duplicates of all computer related records off site.

Subject otherwise to the Policy terms and conditions.

c: Loss of Documents (foreign lawyer version)

**9. REINSTATEMENT CLAUSE**

It is agreed that the amount of the reduction in the Limit of Indemnity on account of any Claim or Claims paid or payable hereunder shall be automatically reinstated but such reinstatement sums shall only apply:

- (a) in excess of the total Limit of Indemnity available under this and any insurance coverage in excess of this Policy;
- (b) in respect of any subsequent Claim or Claims which are totally unrelated to the Claim or Claims by which the original Limit of Indemnity was reduced.

The amount available in respect of any one Claim or series of Claims arising from one cause shall not exceed the Limit of Indemnity.

For the avoidance of doubt, the expressions Limit of Indemnity, Period of Insurance and Claim shall bear the meanings assigned to them in this Policy.

Subject otherwise to the Policy terms and conditions.

reinstat (foreign lawyer version)

**10. SANCTION LIMITATION AND EXCLUSION CLAUSE**

No (re)insurer shall be deemed to provide cover and no (re)insurer shall be liable to pay any Claim or provide any benefit hereunder to the extent that the provision of such cover, payment of such Claim or provision of such benefit would expose that (re)insurer to any sanction, prohibition or restriction under United Nations resolutions or the trade or economic sanctions, laws or regulations of the European Union, United Kingdom or United States of America.

LMA 3100

**END**

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2016

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Name of Firm: McMillan LLP

See additions attached.

**Appendix F**

**Schedule of Claims and Notices as of December 31, 2016**

| <b>CLLAS Claim No.</b> | <b>Lawyer Name</b>                 | <b>Claimant</b>                               | <b>Report Date</b> | <b>Error Date</b>                  |
|------------------------|------------------------------------|---|--------------------|------------------------------------|
| 2017-113               | David Slan<br><br>Bruce McWilliam  | Sunrise Valley Holdings Corp. et al           | March 22, 2017     | Between October 2014 and June 2015 |
|                        | Paul Boshyk<br><br>Robbie Grossman | Equiis Technologies Inc. Lincoln Tyler Nelson | March 24, 2017     | November 16, 2016                  |
|                        | Francis Archibald                  | Executors of the Estate of Edward Beth        | April 11, 2017     |                                    |
|                        | Michael John Hanlon                | Bank of Montreal                              | April 18, 2017     | February 5, 2016                   |



**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
**Open and Closed Claims Bordereau**  
**As At December 31, 2016**  
**All Provinces**

McMillan LLP

| CLLAS Claim Number | Lawyer Last Name | Claimant                  | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|---------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 88-005             | BOWMAN           | TANENBAUM                 | 01-Jul-87   | 01-Nov-85  | 01-Mar-89   | 6          | \$0               | \$1,192       | \$0                | \$1,192               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 88-006             | FARQUHARSON      | CRESO INVESTMENT          | 01-Jul-87   | 01-Oct-86  | 02-Nov-87   | 7          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 88-010             | MCDERMOTT        | LLOYDS BANK CANADA        | 01-Sep-87   | 01-Sep-87  | 01-Nov-87   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 88-016             | ALLPORT          | ROY PAPE ET AL            | 01-Dec-87   | 01-Sep-78  | 05-Apr-90   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 88-021             | GRIFFIN          | GORDON HILL               | 01-Jan-88   | 01-Jul-86  | 01-Jun-89   | 8          | \$0               | \$2,505       | \$0                | \$2,505               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 88-022             | BUTLER           | TIMOTHY & PETERSON        | 01-Oct-87   | 01-May-87  | 01-Jul-88   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 88-024             | ROSS             | TERRY PHOENIX             | 01-Mar-88   | 01-Jan-88  | 06-Apr-90   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 88-026             | SIMPSON          | CHEMICAL BANK             | 01-Mar-88   | 01-Apr-87  | 01-May-88   | 6          | \$0               | \$300         | \$0                | \$300                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 88-046             | OSBORNE          | SHELL TANKERS (U K)       | 01-Jun-88   | 01-Nov-87  | 01-May-89   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 88-047             | BURKETT          | FREDERICK A. GARDNER      | 01-Jun-88   | 01-Apr-86  | 01-Feb-93   | 6          | \$0               | \$7,299       | \$0                | \$7,299               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 89-010             | MacDONALD        | ONTARIO LTD (797698 & 796 | 31-Dec-88   | 31-Oct-88  | 05-May-95   | 6          | \$0               | \$38,757      | \$0                | \$38,757              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 89-011             | SASSO            | DONALD McKINNON           | 01-Jan-89   | 01-Oct-88  | 06-Apr-90   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 89-021             | WENTZELL         | PENGUIN BOOKS CANADA      | 05-Mar-89   | 01-Jul-88  | 01-Mar-91   | 6          | \$0               | \$7,086       | \$0                | \$7,086               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 89-030             | SKAPINKER        | KLAUS ROHRICH             | 01-May-89   | 01-Feb-87  | 01-Feb-92   | 2          | \$0               | \$878         | \$0                | \$878                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 89-049             | NIXON            | THE SOVEREIGN LIFE INSU   | 16-Jun-89   | 07-May-86  | 01-Feb-91   | 6          | \$0               | \$874         | \$0                | \$874                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 89-069             | CLARKE           | EXTENDER PRD./GRAHM       | 01-Jun-89   | 01-Nov-88  | 20-Jul-92   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 89-078             | PAYNE/MURPHY     | 783420 ONTARIO LTD/THE E  | 01-Jun-89   | 01-Feb-84  | 15-Feb-90   | 6          | \$0               | \$2,015       | \$0                | \$2,015               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 89-079             | POON             | SARAH YAU/ M. O'CONNOR    | 30-Jun-89   |            | 05-Apr-90   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 89-080             | CARNWATH         | ELLIOT ROSENBERG          | 28-Jun-89   | 07-Apr-89  | 06-Apr-90   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 89-092             | ARCHIBALD        | PARKVIEW PROPERTIES       | 29-Jun-89   | 01-Dec-85  | 15-May-90   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 89-096             | ROSS             | BORG-WARNER ACCEPTAN      | 29-Jun-89   | 01-Apr-87  | 06-Apr-90   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-002             | FARQUHARSON      | ULTRAMAR CANADA INC.      | 22-Aug-89   | 01-Aug-81  | 06-Apr-90   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-018             | PAYNE            | ANNE W. CHURCH            | 05-Dec-89   | 28-Mar-89  | 01-Dec-92   | 6          | \$0               | \$21,295      | \$0                | \$21,295              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-030             | ROSENBAUM        | EMIX HOLDINGS LTD, EMIX   | 01-Jan-90   | 24-Nov-88  | 01-Feb-91   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |

**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
**Open and Closed Claims Bordereau**  
**As At December 31, 2016**  
**All Provinces**

McMillan LLP

| CLLAS Claim Number | Lawyer Last Name | Claimant               | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 90-031             | SUTHERLAND       | ALLEN DUKE             | 16-Jan-90   | 01-Dec-89  | 31-Dec-93   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-032             | FARQUHARSON      | CRESO INVESTMENTS      | 26-Jan-90   | 15-Jan-84  | 01-Oct-91   | 6          | \$0               | \$7,727       | \$0                | \$7,727               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-061             | BUDD             | DON YOUNG              | 15-May-90   | 10-Nov-88  | 12-Nov-92   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-063             | JAMESON          | ESTATE OF ANGUS MCINTO | 27-Apr-90   | 15-Jun-86  | 01-Jun-90   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-064             | WENTZELL         | TRAFALGAR CAPITAL INFO | 27-Apr-90   | 07-May-87  | 01-Aug-92   | 6          | \$0               | \$14,505      | \$0                | \$14,505              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-065             | KENT             | ROYAL BANK OF CANADA   | 25-May-90   | 01-Jan-89  | 01-Jun-91   | 6          | \$0               | \$1,362       | \$0                | \$1,362               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-066             | KRONBY           | PATRICIA C. HOUSTON    | 15-Apr-90   | 01-Jan-89  | 01-Jan-91   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-067             | MURPHY           | ROYAL BANK OF CANADA   | 01-May-90   | 01-Dec-88  | 01-Jun-92   | 6          | \$0               | \$2,958       | \$0                | \$2,958               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-068             | FARQUHARSON      | CRESO/ SHEROBBE WEST   | 30-Apr-90   | 31-Mar-83  | 27-May-99   | 5          | \$460,270         | \$44,200      | \$0                | \$521,372             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-084             | KENT             | ROYAL BANK OF CANADA/U | 15-May-90   | 15-Mar-89  | 01-Oct-90   | 6          | \$0               | \$320         | \$0                | \$320                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-093             | TUNLEY           | ARMCORP 4-7 LTD        | 31-May-90   | 31-Oct-88  | 30-Jun-94   | 6          | \$0               | \$1,220       | \$0                | \$2,309               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-094             | KAZANJIAN        | ARGRISTOR CREDIT CORP  | 02-Jun-90   | 15-Jan-86  | 01-Nov-91   | 6          | \$0               | \$1,284       | \$0                | \$1,284               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 90-095             | ANDERSON         | ROYAL BANK OF CANADA/C | 28-Jun-90   | 01-May-87  | 01-Nov-91   | 6          | \$0               | \$1,262       | \$0                | \$1,262               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 91-001             | KRONBY           | ANNE CLAIRE BREWER     | 04-Jul-90   | 01-Feb-90  | 01-Mar-91   | 6          | \$0               | \$518         | \$0                | \$518                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 91-021             | TRINAISTICH      | MERCEDES-BENZ CANADA I | 01-Sep-90   | 01-Aug-90  | 01-Apr-92   | 6          | \$0               | \$650         | \$0                | \$650                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 91-037             | WENTZELL         | MARGARET SINCLAIR      | 01-Nov-90   | 01-Jun-90  | 01-Sep-91   | 6          | \$0               | \$519         | \$0                | \$519                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 91-043             | THERIAULT        | SUNQUEST VACATIONS LIM | 01-Nov-90   | 01-Mar-90  | 01-Jun-91   | 4          | \$0               | \$3,326       | \$0                | \$3,326               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 91-071             | DUNLOP           | ZENITH RADIO CANADA    | 01-Feb-91   | 18-Dec-90  | 01-Aug-91   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 91-072             | ROSENBAUM        | CENTARA CORPORATION (s | 26-Feb-91   | 01-Dec-90  | 01-Oct-91   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 91-132             | ROSS             | MAPROP INVESTMENTS     | 28-Jun-91   | 29-Sep-88  | 07-Mar-94   | 6          | \$0               | \$1,651       | \$0                | \$1,651               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 91-133             | McDONNELL        | CRESO INVESTMENTS      | 30-Jun-91   | 30-Jun-85  | 30-Sep-96   | 6          | \$0               | \$9,166       | \$0                | \$9,166               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 91-134             | McLOUGHLIN       | CANADA TRUST CO./CANAD | 18-May-91   | 30-Apr-88  | 01-Dec-91   | 5          | \$7,500           | \$1,025       | \$0                | \$8,525               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 91-148             | SUTHERLAND       | JOHN AND DIANE BROOKS  | 31-May-91   | 30-Nov-89  | 30-May-95   | 6          | \$31,000          | \$22,036      | \$0                | \$54,872              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-022             | KOEHNEN          | CROTHERS LIMITED       | 24-Sep-91   | 07-Aug-90  | 01-Dec-92   | 6          | \$0               | \$1,827       | \$0                | \$1,827               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |

**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
**Open and Closed Claims Bordereau**  
**As At December 31, 2016**  
**All Provinces**

McMillan LLP

| CLLAS Claim Number | Lawyer Last Name | Claimant                     | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 92-023             | GERMANAKOS       | DAVID RALPH/ROBERT SAN       | 01-Oct-91   | 17-May-91  | 01-Dec-92   | 6          | \$0               | \$2,446       | \$0                | \$2,446               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-029             | GRAHAM           | DENON CANADA INC.            | 25-Nov-91   | 03-Oct-91  | 01-May-92   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-030             | HORTON           | CROWN TRUST ET AL            | 21-Nov-91   | 01-Sep-86  | 21-Jan-92   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-045             | MURPHY           | ROYAL BANK                   | 30-Nov-91   | 31-Aug-88  | 01-Oct-94   | 6          | \$0               | \$9,662       | \$0                | \$9,662               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-055             | T.B.A.           | TRITEN CORPORATION           | 01-Dec-91   | 01-Sep-90  | 01-Jun-92   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-059             | JACOBS           | PIERREPONT BANCORP           | 01-Aug-91   | 01-Jul-90  | 01-Jul-92   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-077             | YAKSICH          | CIBC- 847668 ONTARIO LIMI    | 01-Oct-91   | 09-Sep-91  | 01-Dec-92   | 6          | \$0               | \$2,912       | \$0                | \$2,912               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-126             | HIRSH            | GRAFTON GROUP LIMITED/       | 10-Apr-92   | 20-Mar-91  | 01-Jan-93   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-138             | MACCHIONE        | NANCY ANN SCOTT-ANSLE        | 13-May-92   | 17-Jun-91  | 11-Feb-92   | 6          | \$0               | \$1,293       | \$0                | \$1,293               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-144             | NIXON            | CRESON DEVELOPMENTS L        | 14-May-92   | 30-May-89  | 01-Aug-92   | 4          | \$0               | \$2,048       | \$0                | \$2,048               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-155             | KENT             | BRUCE ROBERTSON & ASS        | 05-May-92   | 01-Oct-89  | 01-Dec-92   | 6          | \$0               | \$336         | \$0                | \$336                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-167             | LOUGHRIN         | BAYVIEW MIC LIMITED DIR      | 30-Jun-92   | 31-Oct-91  | 29-Jul-94   | 6          | \$0               | \$0           | \$0                | \$1,743               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-168             | JACOBS           | JAMES A. CREIGHTON           | 29-Apr-92   | 10-Mar-92  | 01-Feb-93   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-169             | NIXON            | THOMAS G. MCCARTHY           | 25-Jun-92   | 11-Jun-92  | 01-Aug-92   | 6          | \$0               | \$856         | \$0                | \$856                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-170             | ROSS             | TONG'S STRATEGICS INT'L I    | 26-Jun-92   | 01-Oct-90  | 30-Jun-93   | 6          | \$0               | \$3,098       | \$0                | \$3,098               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-171             | KRONBY           | IAN ROSS PELMAN              | 30-Jun-92   | 31-Jan-90  | 04-Apr-95   | 6          | \$0               | \$1,013       | \$0                | \$1,013               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-178             | MURPHY           | ROYAL BANK                   | 30-Nov-91   | 31-Aug-88  | 01-Nov-94   | 6          | \$0               | \$9,662       | \$0                | \$9,662               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 92-182             | IADELUCA         | MARGARET DeBARROS            | 26-Feb-92   | 24-Jul-90  | 25-Sep-92   | 5          | \$4,500           | \$0           | \$0                | \$4,500               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 93-008             | BOWMAN           | MEEL, MUTUAL, MUTUAL M       | 21-Aug-92   | 24-Mar-92  | 27-Sep-93   | 6          | \$0               | \$844         | \$0                | \$844                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 93-024             | GIBSON           | MAG DRYWALL LTD./ROYAL       | 14-Oct-92   | 21-Sep-92  | 30-Jun-93   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 93-037             | WONG             | HONDA CANADA FINANCE I       | 30-Nov-92   | 31-Oct-91  | 30-Jun-94   | 6          | \$0               | \$4,881       | \$0                | \$4,881               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 93-073             | HORTON           | Desarollos del Futuro/Milhou | 31-Mar-93   | 28-Feb-93  | 30-Jun-94   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 93-079             | PETERSON         | ERNST & YOUNG INC.           | 05-Mar-93   | 23-Dec-92  | 31-May-93   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 93-129             | PAYNE            | Royal Bk of Canada           | 30-Jun-93   | 30-Aug-85  | 08-Aug-94   | 7          | \$9,168           | \$14,315      | \$0                | \$23,483              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |

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**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
**Open and Closed Claims Bordereau**  
**As At December 31, 2016**  
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McMillan LLP

| CLLAS Claim Number | Lawyer Last Name | Claimant                       | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|--------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 93-130             | HIRSH            | HongKong Bk of Canada          | 30-Jun-93   | 01-Aug-88  | 31-Dec-94   | 6          | \$0               | \$1,023       | \$0                | \$1,023               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 93-131             | MILLIGAN         | Hilco Trading Company Inc.     | 30-Jun-93   | 31-Mar-93  | 20-Sep-93   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 94-024             | ELENBAAS         | Labelmasters Canada Inc.       | 21-Sep-93   | 01-Mar-93  | 20-Jan-95   | 6          | \$0               | \$0           | \$0                | \$1,065               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 94-082             | ROSENBAUM        | KEMP FAMILY/KEMP HOLDI         | 07-Jan-94   | 13-Feb-90  | 11-Nov-98   | 2          | \$0               | \$176,659     | \$0                | \$176,659             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 94-089             | Craig            | Ekco Canada                    | 09-Mar-94   | 01-Dec-87  | 12-Sep-94   | 6          | \$0               | \$0           | \$0                | \$742                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 94-091             | CRAIG            | ESTATE OF MARGARET E.          | 09-Mar-94   | 01-Jan-94  | 22-Feb-95   | 6          | \$0               | \$444         | \$0                | \$444                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 94-095             | MCNEE            | MICHELIN TIRES (CANADA)        | 28-Mar-94   | 01-Dec-93  | 21-Feb-95   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 94-096             | MEZEI            | TOSHIBA MACHINE CO. CD         | 31-Mar-94   | 01-Sep-93  | 26-Jan-95   | 6          | \$0               | \$0           | \$0                | \$1,383               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 94-132             | McDonnell        | Corp Planagex (Cross et al)    | 09-Feb-94   | 01-Oct-85  | 31-Dec-06   | 5          | \$0               | \$486,358     | \$0                | \$486,358             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 94-132a            | McDonnell        | Corp Planagex                  | 09-Feb-94   | 01-Jan-86  | 19-Jan-99   | 6          | \$0               | \$0           | \$0                | \$802                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 94-140             | Halpern          | Libby Geller & Ontario Special | 30-Jun-94   | 01-Feb-91  | 03-Feb-99   | 6          | (\$119)           | \$0           | \$0                | (\$119)               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 94-141             | Jacobs           | Dr. D. Wilansky and Family Tr  | 04-Jun-94   | 01-Dec-89  | 08-Mar-95   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 95-005             | Elenbaas         | The Clorox Company             | 05-Jul-94   | 03-May-94  | 27-Sep-94   | 6          | \$0               | \$0           | \$0                | \$768                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 95-045             | Maidment         | Mason Homes Limited et al      | 19-Oct-94   | 01-Jan-94  | 31-Dec-94   | 6          | \$0               | \$2,968       | \$0                | \$2,968               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 95-062             | Macdonald        | Roland Home Improvement Lt     | 12-Dec-94   | 01-Nov-94  | 01-Feb-99   | 6          | \$0               | \$0           | \$0                | \$886                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 95-068             | Trinaistich      | Longboat Corporation and/or T  | 12-Dec-94   | 25-May-94  | 13-Jul-95   | 6          | \$0               | \$2,673       | \$0                | \$4,030               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 95-073             | Farquharson      | Royal Bank of Canada (Bertan   | 02-Dec-94   | 01-Feb-92  | 08-Aug-96   | 5          | \$2,779           | \$17,250      | \$0                | \$21,190              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 95-098             | Skapinker        | John A. Harrison               | 31-Mar-95   | 28-Mar-89  | 25-Nov-10   | 6          | \$5,152           | \$110,568     | \$0                | \$118,579             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 95-115             | Hanna            | Holtec International Co.       | 04-Apr-95   | 01-Aug-94  | 21-Jul-97   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 96-037             | EVANS            | STANDARD LIFE ASSURAN          | 22-Nov-95   | 01-Nov-95  | 09-Feb-99   | 3          | \$75,000          | \$0           | \$0                | \$75,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 96-048             | Kronby           | Patricia Butler/Chris Baker    | 27-Jan-95   | 01-Nov-89  | 30-Sep-07   | 4          | \$0               | \$332,033     | \$0                | \$332,033             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 96-049             | Willis           | General Electric Capital Cana  | 02-Oct-95   | 29-Sep-94  | 02-Apr-96   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 96-053             | Archibald        | Kohler Co. and Kohler Ltd.     | 12-Jan-96   | 01-Jan-96  | 04-Oct-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 96-080             | Rowley, Q.C.     | Andrew Janover, Nancy Victor   | 04-Mar-96   | 11-Aug-95  | 20-Aug-01   | 6          | \$0               | \$5,246       | \$0                | \$5,246               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |



**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
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McMillan LLP

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|--------------------|------------------|----------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 96-084             | Archibald        | Kohler Co.                       | 12-Jan-96   | 21-Dec-95  | 04-Oct-99   | 6          | \$0               | \$1,617       | \$0                | \$1,617               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 96-109             | Graham           | Honda Canada Finance Inc.        | 12-Jun-96   | 01-Apr-90  | 12-Mar-98   | 6          | \$0               | \$31,142      | \$0                | \$31,142              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-005             | Moore            | Kit Lin Rebecca Wong             | 30-Jun-97   | 18-Jan-94  | 04-Sep-98   | 6          | \$0               | \$0           | \$0                | \$797                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-006             | Green            | Dana Corporation                 | 13-Aug-97   | 09-Oct-96  | 26-Jan-98   | 5          | \$52,500          | \$9,358       | \$0                | \$61,858              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-007             | Scott            | Rosalind Douthwaite              | 13-Aug-97   | 27-Feb-93  | 29-Oct-97   | 6          | \$0               | \$4,440       | \$0                | \$4,440               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-023             | Kronby           | Barbara Gaic                     | 28-Aug-97   | 03-Mar-97  | 01-Mar-99   | 5          | \$26,500          | \$0           | \$0                | \$26,500              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-024             | Stuart           | Gary Kiziak                      | 25-Sep-97   | 08-Dec-93  | 26-Feb-98   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-025             | Archibald        | Hong Kong Bank of Canada         | 27-Jun-97   | 14-Mar-89  | 20-Apr-00   | 6          | \$0               | \$0           | \$0                | \$2,234               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-026             | Payne            | Juanita Elliott (C & K Mortgag   | 25-Aug-97   | 01-Mar-91  | 30-Oct-01   | 6          | \$0               | \$24,869      | \$0                | \$24,869              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-031             | Jameson          | Estate of Maryan Maxwell         | 24-Sep-97   | 01-Aug-89  | 27-Mar-00   | 6          | \$0               | \$3,289       | \$0                | \$3,289               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-034             | Moore            | Mr. & Mrs. Charles T.W. Chen     | 20-Oct-97   | 31-Dec-92  | 17-Aug-98   | 2          | \$54,604          | \$0           | \$0                | \$56,049              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-044             | Moore            | Mr. Lee(Robert Yu-Chung) an      | 02-Dec-97   | 01-Dec-93  | 11-Dec-98   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-055             | Haney            | Joseph Tumino & Tinder Holdi     | 24-Dec-97   |            | 06-Feb-98   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-076             | Green            | Parisco Cafes & Restaurants      | 20-Feb-98   | 10-Oct-97  | 10-Aug-98   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-082             | Kronby           | Sandra Zinta Nicholl             | 27-Mar-98   | 01-Jan-98  | 15-Jul-98   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-129             | Maidment         | Pacific Air Freighters Qld. Pty. | 22-Jun-98   | 01-Feb-98  | 28-Sep-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-130             | Higa             | GE Capital Equipment Financ      | 30-Jun-98   | 31-Dec-96  | 21-Apr-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-131             | Kronby           | Shirley Schwartz                 | 22-May-98   | 05-Sep-89  | 05-Oct-98   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-132             | Hanna            | Walter Schepanow                 | 30-Jun-98   | 01-Jan-97  | 09-Sep-99   | 6          | \$0               | \$2,880       | \$0                | \$2,880               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-133             | Maidment         | Anthony Foley                    | 21-May-98   | 01-Apr-98  | 16-Nov-98   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 98-134             | McNee            | PT Indonesian Satellite Corp. (  | 30-Jun-98   | 12-Sep-97  | 19-Jan-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-009             | Binch            | Estate of Isabela Beare et al    | 05-Aug-98   | 08-Nov-95  | 26-Nov-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-039             | Vaillancourt     | Macros Engineering & Technol     | 17-Nov-98   | 04-Sep-98  | 18-Jun-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-044             | Nixon            | Daniel Casey/570443 Ontario      | 01-Oct-98   | 27-Jun-90  | 07-Jul-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |

**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
**Open and Closed Claims Bordereau**  
**As At December 31, 2016**  
**All Provinces**

McMillan LLP

| CLLAS Claim Number | Lawyer Last Name | Claimant                       | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|--------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 99-045             | Moore            | Chan, Iat Wai and (THE HIS T   | 30-Oct-98   |            | 17-Dec-01   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-046             | Moore            | Tong, Kui Kwong Tom/Paulin T   | 02-Nov-98   | 13-May-94  | 05-Oct-99   | 2          | \$26,671          | \$0           | \$0                | \$26,671              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-047             | Moore            | Gillanders, Norman John/Hong   | 30-Oct-98   |            | 17-Dec-01   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-048             | Moore            | Wang, Jan Chich/S T Wang Fa    | 30-Oct-98   |            | 17-Dec-01   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-049             | Moore            | Tsoi, Lau Sun Chia/Mei Ah Tru  | 30-Oct-98   |            | 17-Dec-01   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-050             | Moore            | Wong, Pank Yen/Wong and La     | 30-Oct-98   |            | 17-Dec-01   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-051             | Moore            | Lee, Y.C. Robert/Meefo Trust a | 30-Oct-98   |            | 31-Mar-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-076             | Michelle         | Gissing Company Ltd            | 09-Nov-98   | 31-Dec-97  | 14-Mar-00   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-083             | Templeton        | Raytheon Aircraft Credit Corp. | 29-Jan-99   | 09-Jul-96  | 13-May-02   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-089             | Osborne          | Continuum Australia Ltd/Conti  | 18-Feb-99   | 23-Oct-96  | 12-Apr-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-145             | Archibald        | Anthony Guidoccio              | 02-Jun-99   | 11-Sep-95  | 14-Dec-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-146             | Templeton        | Anna Broos                     | 21-May-99   | 26-Aug-99  | 26-Aug-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-147             | Yaksich          | 713484 Ontario Ltd et al       | 12-May-99   | 10-Nov-86  | 31-Dec-04   | 6          | \$0               | \$95,870      | \$0                | \$95,870              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 99-159             | Archibald        | Interhealth Canada Limited     | 30-Jun-99   | 01-Dec-97  | 16-Aug-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2000-006           | Jacobs           | Leonard Hayward                | 30-Jul-99   |            | 29-Sep-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2000-012           | Richardson       | Diane McKeown                  | 15-Jul-99   | 22-Apr-96  | 18-Oct-99   |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2000-043           | Chester          | Canadian Institute of Chartere | 30-Sep-99   | 01-Aug-99  | 23-Dec-99   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2000-044           | Koehnen          | Dr. James Houston              | 22-Nov-99   | 12-Aug-99  | 30-Jun-00   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2000-045           | Scarlett         | Seven Seas Petroleum Inc.      | 20-Oct-99   | 06-Aug-98  | 30-Sep-03   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2000-085           | Macdonald        | Peter Shishkov                 | 01-Feb-00   | 01-Jan-93  | 16-Feb-01   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2000-091           | Kronby           | Julie Couture                  | 31-Jan-00   | 23-Feb-98  | 30-Jun-00   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2000-126           | Milligan         | TD Trust Company               | 17-May-00   | 17-Aug-99  | 16-Feb-01   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2000-129           | Sasso            | Robert J. Toole                | 31-May-00   | 03-Nov-99  | 16-Feb-01   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2000-131           | McCarthy         | Ama Sloan                      | 25-May-00   | 23-Jul-98  | 29-Jun-01   | 6          | \$0               | \$2,675       | \$0                | \$2,675               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |

**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
**Open and Closed Claims Bordereau**  
**As At December 31, 2016**  
**All Provinces**

McMillan LLP

| CLLAS Claim Number | Lawyer Last Name | Claimant                        | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|---------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2000-132           | Kronby           | Thomas P. Callon                | 26-May-00   | 01-Oct-97  | 13-Feb-01   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2000-135           | McCordie         | Jasmac Canada/The Long-Ter      | 15-Jun-00   | 19-Jun-98  | 15-Apr-04   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2001-098           | Macdonald        | Daily Bread Food Bank/Gerald    | 26-Mar-01   | 05-Oct-98  | 23-Nov-01   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2001-106           | Sasso            | Michael O'Sullivan/Humane S     | 19-May-00   | 15-Jan-01  | 04-Oct-01   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2001-118           | Clarke           | Sunquest Vacations Limited      | 02-May-01   | 01-Mar-00  | 08-Feb-02   |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2002-130           | Murphy           | Robert E. Martin                | 30-Nov-01   | 25-Oct-89  | 25-Mar-02   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2002-132           | Milligan         | General Electric Capital Cana   | 01-Mar-02   | 19-Sep-01  | 03-Sep-02   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2002-145           | Low              | KoSa BV and Troy Stanley        | 21-Mar-02   | 17-Dec-01  | 30-Sep-02   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2002-213           | Wisner           | Spill Tech Industries Inc. and  | 26-Jun-02   | 20-Jan-02  | 31-Oct-02   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2002-217           | Germanakos       | ING Wellington Insurance Co     | 27-Jun-02   | 01-Aug-99  | 30-Sep-03   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2003-022           | Roberts          | Estate of George K. Howland     | 04-Sep-02   | 01-Mar-00  | 30-Oct-02   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2003-047           | Macdonald        | Leslie Wittlin and Gowlings (la | 21-Oct-02   | 31-Mar-02  | 31-Dec-08   |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2003-065           | Milligan         | Cantrex Group Inc.              | 08-Nov-02   | 11-Jan-02  | 22-Nov-02   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2003-134           | Templeton        | National Bank of Canada         | 07-Mar-03   | 08-Apr-01  | 08-Apr-03   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2004-040           | Crummey          | Stephen Donald, Joe Canavan     | 31-Oct-03   | 01-Jul-99  | 15-Jun-07   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2004-041           | Jameson          | Estate of F.H.M. Jones          | 05-Nov-02   | 30-Apr-84  | 30-Mar-04   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2004-055           | Dent             | Canon Canada Inc. and Image     | 20-Nov-03   | 25-Apr-03  | 25-Feb-05   | 6          | \$0               | \$681         | \$0                | \$681                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2004-064           | Slan             | Toronto Children's Safety Villa | 15-Dec-03   | 30-Dec-01  | 06-Feb-04   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2004-142           | Maidment         | KPMG Inc.                       | 16-Mar-04   | 01-Mar-01  | 18-May-07   |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2005-039           | Ridout           | Estate of Nina and Herbert Br   | 04-Oct-04   |            | 17-Nov-05   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2005-043           | Clarke           | General Electric Capital Cana   | 27-Oct-04   | 13-Feb-04  | 17-Oct-05   |            | \$0               | \$766         | \$0                | \$766                 | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2005-044           | Iadeluca         | Kostas Solomos                  | 23-Dec-04   | 04-Oct-99  | 29-Aug-05   | 2          | \$2,140           | \$2,355       | \$0                | \$4,495               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2005-150           | Heam             | Polish National Church of Can   | 21-Mar-05   | 01-Jan-05  | 27-Apr-06   |            | \$0               | \$10,297      | \$0                | \$10,297              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2006-059           | Gray             | Frank D'Addario                 | 10-Nov-05   |            | 10-May-06   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |

Date Printed February 1, 2017

**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
**Open and Closed Claims Bordereau**  
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**All Provinces**

McMillan LLP

| CLLAS Claim Number | Lawyer Last Name | Claimant                       | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|--------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2006-133           | Roberts          | Estate of Paul Penna           | 25-Apr-06   | 01-Jun-04  | 17-Mar-10   | 6          | \$0               | \$45,020      | \$0                | \$45,020              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2006-152           | Crummey          | Salvatore Trozzo and Antonia   | 16-May-06   | 12-May-06  | 06-Nov-06   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2006-156           | Cohen            | Societe en Commandite Douz     | 17-May-06   |            | 31-Dec-08   |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2006-160           | Poster           | Mapleridge Capital Corporatio  | 25-May-06   | 15-Oct-06  | 30-Sep-06   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2006-167           | Borgatti         | Candace Ryan                   | 13-Jun-06   | 08-May-06  | 31-Dec-08   |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2006-198           | MacDonald        | RMS Richter Inc                | 22-Jun-06   | 16-Aug-05  | 05-Dec-06   | 6          | \$91,766          | \$11,210      | \$0                | \$102,976             | \$0                  | \$2,833          | \$0             | \$0                 | \$2,833                  |
| 2007-009           | Zylerberg        | 9145-4041 Quebec Inc. et al    | 07-Jul-06   |            | 11-Mar-15   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2007-027           | Ostoich          | MSIL (Garry Hurst - Class Acti | 04-Oct-06   | 01-Mar-05  | 16-Sep-10   | 6          | \$0               | \$38,876      | \$0                | \$38,876              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2007-029           | Williams / Fei   | Eric Chemama                   | 11-Oct-06   |            | 30-Nov-06   |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2007-047           | Archibald        | The Skor Food Group (Globe     | 15-Nov-06   | 24-Aug-06  | 12-Apr-07   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2007-146           | Senecal          | Various firm clients           | 28-Jun-07   |            | 11-Mar-15   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2008-004           | Clarke           | Kohler Canada Co.              | 11-Jul-07   |            | 05-Sep-07   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2008-044           | Poster           | Timbercreek Asset Manageme     | 15-Nov-07   |            | 17-Dec-07   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2008-051           | Opashinov        | John Bitove Sr.                | 31-Aug-07   |            | 22-Oct-08   | 15         | \$0               | \$1,762       | \$0                | \$1,762               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2008-054           | Campbell         | Michael Green & Eric Stron     | 27-Nov-07   | 25-Jul-06  | 31-Dec-08   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2008-104           | Koehnen          | Public Sector Pension Investm  | 05-Feb-08   | 19-Oct-07  | 29-Jul-08   |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2008-125           | Saucier          | Kevin Allwood et al            | 10-Mar-08   |            | 27-Aug-09   | 15         | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2009-021           | Campbell         | Stephan Freeman AmeraCan       | 05-Sep-08   |            | 12-Nov-10   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2009-097           | Archibald        | Bedford Resource Holdings Li   | 19-Dec-08   | 02-Jan-07  | 02-Nov-09   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2009-182           | Chapple          | STRM Inc.                      | 07-Jul-09   | 01-Jun-07  | 07-Aug-09   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2010-070           | Friedman         | Melvin Schneider (representati | 16-Dec-09   | 05-Dec-05  |             |            | \$0               | \$1,000,000   | \$0                | \$1,000,000           | \$0                  | \$695,825        | \$0             | \$804,175           | \$1,500,000              |
| 2010-190           | Whitcombe        | Technicolor Creative Services  | 28-Jun-10   | 28-Aug-06  | 14-Feb-11   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2010-191           | Stead            | Patrick Rye                    | 15-Jun-10   |            | 23-Sep-13   | 15         | \$7,500           | \$2,853       | \$0                | \$10,353              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-026           | Flynn-Guglietti  | Betty and Roy James, Jaroc M   | 07-Oct-10   | 25-Jan-07  | 24-Dec-12   | 6          | \$0               | \$3,164       | \$0                | \$3,164               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |

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**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
**Open and Closed Claims Bordereau**  
**As At December 31, 2016**  
**All Provinces**

McMillan LLP

| CLLAS Claim Number | Lawyer Last Name                       | Claimant                      | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|--|-------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2011-082           | Pudupakkam                             | OE Business Imaging Solution  | 07-Jan-11   |            | 21-Jan-11   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-086           | Matthews                               | 369 Terminal Holdings Ltd.    | 24-Dec-10   | 29-Jan-10  | 31-Dec-14   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-087           | Goldberg/Cockbill                      | Ivanco Keremelevski           | 03-Dec-10   |            | 27-Jul-16   | 4          | \$0               | \$13,746      | \$0                | \$13,746              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-089           | Kent                                   | THEMAC Resources Group Li     | 06-Jan-11   | 13-Dec-10  | 30-Nov-12   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-094           | Matthews                               | ATT Stoneworks Holdings Ltd.  | 14-Jan-11   | 01-Jan-10  | 27-Jun-13   | 2          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-108           | Burns                                  | SFCS Captial (Canada) Corp.   | 04-Jan-11   | 08-Oct-08  | 16-Feb-11   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-109           | Borgatti                               | Monarch Corporation and Mon   | 10-Feb-11   | 09-Nov-07  | 10-Mar-11   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-137           | Klassen                                | Kerry Scott                   | 23-Feb-11   |            | 15-Aug-14   | 10         | \$0               | \$14,916      | \$0                | \$14,916              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-139           | Tilley (no longer w/Lisec America Inc. |                               | 22-Mar-11   | 18-Mar-11  | 17-Apr-12   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-146           | Wang                                   | Conex Energy - Canada, LLC    | 17-Mar-11   | 01-Oct-10  | 30-Apr-12   | 12         | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-178           | Kelly                                  | Gregory P. King               | 10-May-11   | 23-Nov-09  |             |            | \$0               | \$134,568     | \$77,494           | \$212,062             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-183           | Aguilar                                | Gaile Guevara                 | 10-May-11   | 01-May-10  | 31-Dec-12   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-192           | Shaw                                   | Geoff Higgs                   | 02-Jun-11   |            | 24-Jul-13   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-199           | Borgatti                               | Richard Crawford              | 30-Jun-11   | 18-Dec-06  | 20-Dec-11   | 6          | \$0               | \$1,363       | \$0                | \$1,363               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2011-205           | Jokinen                                | Vannad Trading Inc., Kenneth  | 29-Jun-11   |            | 31-Jul-12   | 5          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2012-030           | Elcovitch                              | Jeffrey Mandel                | 21-Sep-11   |            |             |            | \$0               | \$0           | \$500,000          | \$500,000             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2012-037           | McFarlane                              | P.S. Production Services Ltd. | 14-Oct-11   | 30-Jun-11  | 24-Jul-12   | 6          | \$0               | \$3,390       | \$0                | \$3,390               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2012-041           | Morrison                               | Fisgard Capital Corporation   | 17-Oct-11   | 13-Oct-11  | 30-Dec-13   | 12         | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2012-044           | Thompson                               | 7031963 Canada Inc. ("Jo Pea  | 17-Oct-11   | 16-Aug-11  | 19-Feb-14   | 6          | \$0               | \$23,994      | \$0                | \$23,994              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2012-047           | Archibald                              | Elizabeth Beth and Aleksandr  | 14-Nov-11   | 01-Dec-09  | 21-Nov-11   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2012-055           | Chevrette                              | Tonus Select Funds and Stev   | 22-Nov-11   |            | 11-Mar-15   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2012-094           | Peterson                               | Laura Secord Apartmens Ltd.   | 10-Jan-12   |            | 28-Mar-12   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2012-097           | Rosentzveig                            | Evelyn Jerassy (non-client)   | 17-Jan-12   |            | 04-May-15   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2012-099           | Wilks                                  | Town Centre Group Inc.        | 27-Jan-12   | 01-Sep-11  | 16-Apr-14   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |

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**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
**Open and Closed Claims Bordereau**  
**As At December 31, 2016**  
**All Provinces**

McMillan LLP

| CLLAS Claim Number | Lawyer Last Name | Claimant                        | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|---------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2012-100           | Ash              | MMCAP Management Inc. et        | 10-Feb-12   | 01-Apr-08  | 27-Aug-12   | 6          | \$0               | \$1,333       | \$0                | \$1,333               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2012-116           | Urbanek          | VISA International Service As   | 05-Mar-12   | 23-Feb-13  | 20-Jun-16   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2012-130           | Whitehead        | Andre Lavoie                    | 13-Mar-12   |            | 30-Oct-13   | 2          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2012-138           | Moysa            | A.O. Smith Enterprise Ltd.      | 20-Mar-12   | 31-Jan-12  | 17-Apr-12   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2012-144           | O'Neill          | Canadian Renewable Bioener      | 19-Apr-12   |            | 29-Nov-13   | 2          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-002           | Clarke           | Look Communications Inc.        | 16-Jul-12   | 01-Jun-10  |             |            | \$250,000         | \$97,041      | \$17,411           | \$364,452             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-005           | Knight           | Spire Real Estate Limited Part  | 20-Jul-12   |            | 30-Nov-12   | 12         | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-009           | McKenna          | Bank of Montreal                | 09-Aug-12   | 27-Jul-12  | 31-Dec-13   | 6          | \$59,550          | \$0           | \$0                | \$59,550              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-010           | Shouldice        | Cory Black                      | 27-Jul-12   | 06-Oct-11  | 29-Dec-14   | 12         | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-040           | Slan             | 310 Richmond Street West Li     | 01-Nov-12   | 03-Nov-11  | 10-Mar-14   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-041           | Morrison         | North Point Mezzanine Capital   | 30-Oct-12   | 06-Sep-07  | 31-Oct-14   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-061           | Cockbill         | Aronis Investment Ltd.          | 20-Dec-12   | 19-Dec-12  | 30-Sep-15   | 5          | \$33,000          | \$0           | \$0                | \$33,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-063           | Reardon          | Bank of Montreal                | 29-Nov-12   |            |             |            | \$37,205          | \$3,376       | \$6,624            | \$47,205              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-064           | Rowlands         | Terracap Management Inc. et     | 27-Dec-12   | 18-Aug-10  |             |            | \$0               | \$48,706      | \$178,291          | \$226,997             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-072           | Archibald        | Chair-man Mills Inc. and Geor   | 06-Mar-13   | 08-Jan-13  | 10-Jul-13   | 5          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-079           | Slan             | Monarch                         | 14-Feb-13   | 01-Jun-12  | 21-Aug-14   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-110           | Pereira          | Maxam Opportunities Fund Lt     | 03-May-13   | 31-Dec-10  | 30-Apr-14   | 5          | \$900,000         | \$61,058      | \$0                | \$961,058             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-116           | Urbanek          | Easter Currents Distributing Lt | 13-May-13   | 01-Apr-13  |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-124           | Etcovitch        | Canadian Forest Navigation C    | 17-May-13   |            |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-125           | Kirkham          | GJS Capital Corp and Gregg      | 29-Apr-13   |            |             |            | \$0               | \$9,534       | \$40,466           | \$50,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-130           | Kronby           | Mirek Planeta                   | 26-Jun-13   | 20-May-97  | 15-Oct-13   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-131           | Ross             | Michael Elder                   | 25-Jun-13   | 15-Apr-13  | 17-Sep-13   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-132           | Roberts          | Karine Lando                    | 26-Jun-13   |            | 11-Dec-13   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-133           | Antenore         | Potentia (001093 - 34 Kern) Li  | 01-May-13   |            | 18-Sep-13   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |

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**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
**Open and Closed Claims Bordereau**  
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McMillan LLP

| CLLAS Claim Number | Lawyer Last Name | Claimant                      | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|-------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2013-134           | Bennett          | EllisDon Corporation; Fengate | 26-Jun-13   |            | 26-May-14   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2013-135           | Templeton        | Canada Trust Company          | 22-Jun-13   |            | 21-Aug-14   | 6          | \$0               | \$11,708      | \$0                | \$11,708              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-009           | Whitcombe        | Howard Leventhal              | 30-Jul-13   |            | 17-Mar-14   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-010           | Balakrishnan     | Erin Winczura                 | 16-Aug-13   | 10-Aug-13  |             |            | \$0               | \$11,795      | \$38,205           | \$50,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-013           | Hanna            | Richard Chmura                | 29-Aug-13   | 21-Aug-13  | 13-Jun-09   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-017           | Hyman            | Dennis Jamie Ostegaard        | 15-Aug-13   |            | 29-Jul-16   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-023           | Morrison         | Great Canadian Gaming Corp    | 27-Aug-13   | 01-Jul-12  |             |            | \$382,254         | \$13,607      | \$54,139           | \$450,000             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-024           | Knight           | Van-City Cultured Marble Prod | 14-Aug-13   |            |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-026           | Wong             | Parian Capital Inc and 087544 | 10-Jul-13   |            | 28-Dec-16   | 5          | \$600,000         | \$57,605      | \$0                | \$657,605             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-027           | Reardon          | Michael Grenier               | 06-Aug-13   | 19-Jan-12  | 29-Aug-14   | 5          | \$96,521          | \$0           | \$0                | \$96,521              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-028           | Reardon          | Lanyard Enterprises Inc.      | 12-Aug-13   | 17-Mar-12  | 30-Jun-14   | 5          | \$0               | \$84,000      | \$0                | \$84,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-039           | Crummey          | Joan Browne                   | 04-Nov-13   |            | 28-Jan-14   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-051           | Petersen         | Anna Toscano                  | 28-Nov-13   | 01-Jan-13  | 21-May-15   | 6          | \$0               | \$2,854       | \$0                | \$2,854               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-066           | Urbanek          | Absolute Software Corporation | 17-Dec-13   | 20-Nov-13  | 17-Aug-15   | 2          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-073           | Reardon          | Bank of Montreal              | 30-Dec-13   | 15-Mar-13  | 12-Nov-14   |            | \$23,287          | \$0           | \$0                | \$23,287              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-080           | Laing            | James and Teresa Gelowitz     | 06-Feb-14   | 18-Nov-13  | 09-Sep-14   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-092           | Boshyk           | Frank Stanisci                | 06-Mar-14   | 31-Jan-14  | 06-Feb-15   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-094           | Hanert (Beeman)  | Gayle Strikes With A Gun (Ker | 12-Mar-14   |            | 24-Dec-14   | 12         | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-096           | Dunlop           | Sean Davoren                  | 13-Mar-14   | 19-May-10  | 21-May-15   | 6          | \$0               | \$9,019       | \$0                | \$9,019               | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-100           | Boshyk           | Byong-Kwon Kim                | 13-Mar-14   | 05-Mar-14  | 18-Apr-16   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-110           | Wallace          | INVISTA Company & Koch Fe     | 03-Apr-14   | 13-Oct-13  |             |            | \$0               | \$8,850       | \$30,010           | \$38,860              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-112           | Flynn-Guglietti  | 1060054 Ontario Limited       | 17-Apr-14   | 03-Sep-13  | 21-May-15   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-114           | Deutsch          | Mercer Gold Corporation       | 07-Apr-14   | 15-Oct-10  |             |            | \$0               | \$0           | \$50,000           | \$50,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-117           | Elbaz            | Négotium Technologies Inc.    | 01-May-14   | 16-Apr-14  | 11-Jun-14   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |

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**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
**Open and Closed Claims Bordereau**  
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|--------------------|------------------|--------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2014-123           | Parliament/Boudr | Saule Y. Altaibayeva           | 12-May-14   |            | 23-May-14   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-126           | Gray             | Andy Penafior                  | 15-May-14   | 11-May-14  | 23-Sep-14   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-135           | Cowan            | Newport Exploration            | 20-May-14   | 01-Mar-13  |             |            | \$0               | \$0           | \$200,000          | \$200,000             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-142           | Opashinov        | Crosslink Bridge Corp et al.   | 18-Jun-14   | 20-Jan-07  |             |            | \$0               | \$57,051      | \$112,949          | \$170,000             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2014-147           | Giddens          | Empresario Inc.                | 26-Jun-14   | 31-Jul-14  | 21-May-15   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2015-046           | Seigel           | Wells Fargo                    | 19-Dec-14   |            | 16-Mar-15   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2015-047           | Seigel           | Alcatel Lucent                 | 19-Dec-14   |            | 16-Mar-15   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2015-048           | Seigel           | Oracle Canada ULC              | 19-Dec-14   |            | 16-Mar-15   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2015-063           | Kirkham          | Valhalla Resources Ltd.        | 24-Nov-14   |            | 30-Apr-15   | 12         | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2015-066           | Young            | Uniserve Communications Cor    | 29-Dec-14   |            | 29-Jul-16   | 12         | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2015-083           | Debenham         | 6432581 Canada Inc.            | 21-Jan-15   | 16-Dec-14  | 27-May-16   |            | \$0               | \$28,845      | \$0                | \$28,845              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2015-094           | Botz/Bence       | Sierra Mortgage Fund Inc.      | 09-Mar-15   | 05-Jan-00  | 27-Jul-16   | 2          | \$119,250         | \$0           | \$0                | \$119,250             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2015-097           | Davies           | Don Thorpe                     | 25-Feb-15   | 28-Feb-86  | 30-Jun-16   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2015-100           | Hee              | Janda Group Holdings W.L. Lt   | 02-Feb-15   |            |             |            | \$0               | \$36,798      | \$98,202           | \$135,000             | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2015-115           | Reid             | Neighbours of former clients,  | 01-May-15   | 24-Feb-93  | 25-Oct-16   | 2          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2015-131           | Stirling         | St. George's Trust Company L   | 19-Jun-15   | 07-Apr-15  | 21-Jan-16   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2015-140           | Chisholm         | Pembridge Insurance Compan     | 26-Jun-15   | 26-Aug-09  |             |            | \$0               | \$7,096       | \$12,904           | \$20,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2015-141           | Hochhauser       | Mary Lou Davis                 | 02-Jun-15   |            |             |            | \$0               | \$13,091      | \$1,909            | \$15,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-014           | Wentzell         | EquiGenesis Corporation et al. | 19-Aug-15   | 15-Jul-09  |             |            | \$0               | \$0           | \$80,000           | \$80,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-020           | Balakrishnan     | Evolving Gold Corp             | 27-Aug-15   |            | 15-Dec-16   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-054           | Mendelsohn / Etc | Alissa Rappaport               | 02-Dec-15   |            |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-070           | Van Nostrand     | Gener8 Digital Media Services  | 11-Dec-15   |            | 30-Jun-16   | 8          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-079           | Slan             | 2179548 Ontario Inc. et al.    | 15-Jan-16   |            | 26-May-16   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-082           | McDonald         | Carcross Tagish First Nation L | 26-Jan-16   | 30-Oct-14  |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |

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**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
**Open and Closed Claims Bordereau**  
**As At December 31, 2016**  
**All Provinces**

McMillan LLP

| CLLAS Claim Number | Lawyer Last Name    | Claimant                       | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|---------------------|--------------------------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2016-083           | Knight              | Hugh Archibald and Sherri Lee  | 21-Jan-16   | 16-Jun-14  |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-090           | Shouldice           | Daulat Halani                  | 26-Jan-16   |            | 30-Sep-16   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-104           | Duhra / Chisholm    | 0850502 B.C. Ltd.              | 09-Mar-16   |            |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-105           | Duhra               | Champion Development Grou      | 12-Feb-16   |            |             |            | \$0               | \$0           | \$20,000           | \$20,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-115           | Black               | Bit Stew Systems Inc.          | 30-Mar-16   |            | 28-Dec-16   | 2          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-118           | Goldberg            | Michael William Fountain       | 18-Mar-16   |            |             |            | \$0               | \$0           | \$15,000           | \$15,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-122           | Black               | Shareholders of Bit Stew       | 30-Mar-16   | 01-May-15  | 28-Dec-16   |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-145           | Chapple             | Alignvest Private Debt Holding | 25-May-16   | 13-May-16  |             |            | \$0               | \$0           | \$10,000           | \$10,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-157           | Friedman            | Toronto Port Authority         | 22-Jun-16   | 16-Jul-14  |             |            | \$0               | \$0           | \$10,000           | \$10,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-168           | Major               | Split Brook Farm               | 30-Jun-16   |            | 25-Jan-17   | 6          | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-169           | Saga                | Integrated Team Solutions W    | 29-Jun-16   |            |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2016-170           | Cowan               | Bellhaven Copper & Gold        | 29-Jun-16   | 08-Mar-16  |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2017-013           | Banfai              | Black & McDonald Ltd / Black   | 29-Jul-16   |            |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2017-015           | Phelan              | Heritage Education Funds Inc.  | 03-Aug-16   |            |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2017-016           | Maidment            | Michael Louis Voisin           | 09-Aug-16   | 01-Dec-15  |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2017-017           | Friedman            | Estate of Calderone / Margare  | 11-Aug-16   |            |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2017-022           | Onn                 | First National Financial       | 29-Aug-16   | 13-Jul-16  |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2017-023           | Thompson            | Ihor Birka                     | 26-Aug-16   | 24-Jun-16  |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2017-030           | Macchione           | Kilmer van Nostrand Co. Limit  | 09-Sep-16   | 15-Mar-16  |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2017-044           | Annibale            | Far East Aluminum Works        | 28-Sep-16   | 09-Nov-15  |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2017-063           | Grossman            | TerraNova Partners / Inspira F | 05-Dec-16   | 31-May-16  |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2017-082           | Deutsch             | Good Life Networks Inc.        | 19-Dec-16   |            |             |            | \$0               | \$0           | \$40,000           | \$40,000              | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2017-083           | Whitehead           | Kimberley Anne Cameron         | 13-Dec-16   |            |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2017-090           | Jalette / Vallieres | Rejean Aucoin et al.           | 18-Jan-17   |            |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |

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McMillan LLP

**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
**Open and Closed Claims Bordereau**  
**As At December 31, 2016**  
**All Provinces**

| CLLAS Claim Number | Lawyer Last Name | Claimant      | Report Date | Error Date | Date Closed | Disp. Code | LS Paid Indemnity | LS Paid Legal | LS Current Reserve | LS Incurred Liability | CLLAS Paid Indemnity | CLLAS Paid Legal | CLLAS Indemnity | CLLAS Legal Reserve | CLLAS Incurred Liability |
|--------------------|------------------|---------------|-------------|------------|-------------|------------|-------------------|---------------|--------------------|-----------------------|----------------------|------------------|-----------------|---------------------|--------------------------|
| 2017-093           | Kadds            | Herbert Black | 20-Jan-17   |            |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| 2017-094           | Debenham         | Don McGregor  | 13-Jan-17   |            |             |            | \$0               | \$0           | \$0                | \$0                   | \$0                  | \$0              | \$0             | \$0                 | \$0                      |
| <b>TOTALS:</b>     |                  |               |             |            |             |            | \$3,357,998       | \$3,434,813   | \$1,593,604        | \$8,423,484           | \$0                  | \$698,658        | \$0             | \$804,175           | \$1,502,833              |

*See addition in separate Appendix F attachment.*

## APPENDIX G

### RISK MANAGEMENT POLICIES AND PROCEDURES

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Name of Firm: McMillan LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

1. A list of risk management policies was set forth in the 2012/2013 application. Last year the Firm put in place an Anti-Corruption Policy
2. This year the Firm put in place a new Mobile Device Policy to address the protection and maintenance of firm information assets, networks and systems.
3. This year the Firm will also be putting in place the following 3 Policies:

Data Classification & Retention Policy  
Information Security Policy  
Acceptable Use Policy

## 2016 Junior Associate Advocacy Training (in-house)

| Dates   | Program  |
|---|--|
| Wednesday January 13<br>12:00 – 1:15 pm           | <b>Associate Advocacy Training: Reasoned Opinions</b> with Charlotte Conlin  |
| Monday January 25<br>12:00 – 1:15 pm              | <b>Associate Advocacy Training: Civility as a Tactic in Litigation</b> with Scott Maidment (1.0 hour Professionalism / Practice Management / Ethics) |
| Tuesday March 1<br>12:00 – 1:15 pm                | <b>Associate Advocacy Training: How to Talk to Clients</b> with Brad Hanna (1 Professionalism Hour ON/1 Ethics Hour - BC)                            |
| Tuesday April 12<br>12:00 – 1:15 pm               | <b>Associate Advocacy Training: So You've Got a File</b> with Jason Annibale and Geoff Moysa (1 hour Professionalism)                                |
| Tuesday October 4<br>11:00 am – 2:15 pm<br>Ottawa | <b>e-Discovery Workshop</b> led by Chris Purdon, Laura Brazil, Samantha Gordon, Jane Murphy and Ron Petersen (2.0 Substantive, 1.0 Professionalism)  |
| Tuesday November 15<br>11:00 am – 2:15 pm         | <b>e-Discovery Workshop</b> led by Chris Purdon, Laura Brazil, Samantha Gordon, Jane Murphy and Ron Petersen (2.0 Substantive, 1.0 Professionalism)  |
| Friday November 18<br>11:00 am – 2:15 pm          | <b>e-Discovery Workshop</b> led by Chris Purdon, Laura Brazil, Samantha Gordon, Jane Murphy and Ron Petersen (2.0 Substantive, 1.0 Professionalism)  |



## 2016 Business Law Associate Training (in-house)

| Date   | Program   |
|--|---|
| Tuesday February 2<br>12:00 – 1:15 pm Vancouver only                     | <b>Business Law Associate Training: Technology Issues in Contracts</b> with Ryan Black and Michael Reid               |
| Wednesday March 9<br>12:00 – 1:15 pm                                     | <b>Business Law Associate Training: IP – Part 1</b> with Keith Bird, Peter Giddens and Sharon Groom                   |
| Monday April 4<br>12:00 – 1:15 pm  | <b>Business Law Associate Training: IP – Part 2</b> with Keith Bird, Peter Giddens and Sharon Groom                   |
| Wednesday May 18<br>12:00 – 1:30 pm                                      | <b>Transaction Opinions - Part 1</b> with Rob Scavone   |
| Wednesday June 1<br>12:00 – 1:30 pm                                      | <b>Business Law Associate Training: Unanimous Shareholder Agreements – Part 1</b> with Bruce Chapple and Marlene Kane |
| Tuesday June 14<br>12:00 – 1:30 pm                                       | <b>Transaction Opinions - Part 2</b> with Rob Scavone   |
| Monday June 27<br>12:00 – 1:30 pm  | <b>Business Law Associate Training: Unanimous Shareholder Agreements – Part 2</b> with Bruce Chapple and Marlene Kane |
| Wednesday September 21<br>12:00 – 1:15 pm                                | <b>Business Law Associate Training: Acquisitions: Overview of Fact Pattern</b> with Jean-Francois Pelland             |
| Wednesday October 5<br>12:00 – 1:15 pm Calgary<br>2:00 – 3:15 pm Toronto | <b>Business Law Associate Training: Acquisitions: Purchase Price and Financials</b> with Greg McIlwain                |
| Wednesday October 19<br>12:00 – 1:15 pm                                  | <b>Business Law Associate Training: Acquisitions: Representations</b> with JR Beaudrie                                |
| Wednesday November 16<br>12:00 – 1:15 pm                                 | <b>Business Law Associate Training: Acquisitions: Covenants</b> with Sandra Sbrocchi                                  |

**2016 CALGARY SUMMER STUDENT ORIENTATION**

| <b>Date</b>              | <b>Time</b>  | <b>Topic/Event</b>  |
|--------------------------|--|---|
| <b>Monday,<br/>May 2</b> | 8:30 a.m. –<br>9:00 a.m.<br>[Lang Michener]                  | <b>Coffee Mixer</b> with principals, Ilana Schrager (30 min.)   |
|                          | 9:00 a.m. –<br>9:45 a.m.<br>[Lang Michener]                  | <b>How to Have a Successful Summer: What to Expect from your Summer at the Firm</b> with Ilana Schrager and Judith Macfarlane (45 min.)   |
|                          | 9:45 a.m. – 10:00<br>a.m.<br>[Lang Michener]                 | <b>Break</b>  |
|                          | 10:00 a.m. – 10:45<br>a.m.<br>[Training room]                | <b>Library Orientation and Introduction to Firm Research Sources and KM</b> with Eve Leung and Suzanne McBeath (45 min.)  |
|                          | 10:45 a.m.– 11:30<br>a.m.<br>[Lang Michener]                 | <b>How to Get Things Done at the Firm: HR Matters and Firm Tour</b> with Stephen Hinds (45min.)   |
|                          | 11:30 a.m. – 1:30<br>p.m.<br>[off site: Coast<br>Restaurant] | <b>Group Welcome Lunch</b> with principals and Ilana Schrager (120 min.)  |
|                          | 1:30 p.m. – 2:45<br>p.m.<br>[Training room]                  | <b>IT Training: How to Get Things Done Using McMillan Technology</b> with Tina Fiorella (75 min.)   |
|                          | 2:45 p.m. – 3:00<br>p.m.<br>[Lang Michener]                  | <b>Break</b>  |
|                          | 3:00 p.m. –<br>3:45 p.m.<br>[Lang Michener]                  | <b>What Every Student Needs to Know About Professionalism</b> with Ilana Schrager and Judith Macfarlane (45 min.)   |
|                          | 3:45 p.m. - 5:15<br>p.m.<br>[Lang Michener]                  | <b>How to Have a Successful Summer: What the Firm Expects from You and Tips from Those Who Have Gone Before You</b> with Ilana Schrager, Judith Macfarlane, Sasa Pudar, Scott Kuehn, and Becky Rock (90 min.) |

| <b>Date</b>             | <b>Time</b>  | <b>Topic/Event</b>   |
|-------------------------|--|--|
|                         | 5:15 p.m. - 6:15 p.m.<br><b>[off-site: Royal Dinette Restaurant]</b> | <b>Team Building with Lawyers</b> with Sasa Pudar, Scott Kuehn, Becky Rock (60 min.)                                       |
| <b>Tuesday, May 3</b>   | 8:30 a.m. - 10:00 a.m.<br><b>[Training room]</b>                     | <b>Library Training: Secondary Sources Part 1</b> with Eve Leung and Suzanne McBeath (90 min.)                             |
|                         | 10:00 a.m. - 10:15 a.m.  | <b>Break</b>   |
|                         | 10:15 a.m. - 11:45 a.m.<br><b>[Lang room]</b>                        | <b>Library Training: Research Process and Methodology</b> with Charlotte Conlin and Eve Leung by videoconference (90 min.) |
|                         | 11:45 a.m. - 12:45 p.m.<br><b>[Lang room]</b>                        | <b>The Business of Law: Why and How to Docket (with lunch)</b> with Ilana Schrager and Judith Macfarlane (60 min.)         |
|                         | 12:45 p.m.- 1:00 p.m.<br><b>[Lang room]</b>                          | <b>Break</b>   |
|                         | 1:00 p.m. - 2:00 p.m.<br><b>[Training room]</b>                      | <b>IT Training: Time KM – The Mechanics of Docketing</b> with Tina Fiorella (60 min.)                                      |
|                         | 2:00 p.m. - 2:15 p.m.<br><b>[Lang room]</b>                          | <b>Break</b>   |
|                         | 2:15 p.m. - 3:15 p.m.<br><b>[Lang room]</b>                          | <b>Research and Writing: How to Think Strategically</b> with Melanie Harmer (60 min.)                                      |
|                         | 3:15 p.m. - 3:30 p.m.<br><b>[Lang room]</b>                          | <b>Break</b>   |
|                         | 3:30 p.m. - 5:00 p.m.<br><b>[Lang room]</b>                          | <b>What Every Student Needs to Know About Deals: Part 1</b> with Ryan Black and Mike Reid (90 min.)                        |
| <b>Wednesday, May 4</b> | 9:00 a.m. - 10:30 a.m.<br><b>[Training room]</b>                     | <b>Library Training: Secondary Sources Part 2</b> with Eve Leung and Suzanne McBeath (90 min.)                             |
|                         | 10:30 a.m. - 10:45 a.m.<br><b>[Training room]</b>                    | <b>Break</b>   |

| <b>Date</b>                | <b>Time</b>   | <b>Topic/Event</b>   |
|----------------------------|---|--|
|                            | 10:45 a.m. – 11:45 a.m.<br>[Training room]            | <b>IT Training: Email Management Tool/Desksite</b> with Tina Fiorella (60 min.)                              |
|                            | 11:45 a.m. – 1:00 p.m.<br>[Lang room]                 | <b>How to Manage Your Practice/New Commissioner Powers (with lunch)</b> with Jamie Virgin (75 min.)          |
|                            | 1:00 p.m. – 2:00 p.m.<br>[Training room]              | <b>Library Training: Primary Sources Part 1</b> with Eve Leung and Suzanne McBeath (60 min.)                 |
|                            | 2:00 p.m. – 2:15 p.m.<br>[Lang room]                  | <b>Break</b>   |
|                            | 2:15 p.m. – 2:45 p.m.<br>[Lang room]                  | <b>Legal Research and Writing: How to Get Good Instructions</b> with Mike Reid and Ilana Schrager (30 min.)  |
|                            | 2:45 p.m. – 3:00 p.m.<br>[Lang Michener]              | <b>Break</b>   |
|                            | 3:00 p.m. – 4:45 p.m.<br>[Lang Michener]              | <b>How To Make a Great First Impression: Schmoozing 101</b> with Andrea Verwey of Green Valentine (105 min.) |
|                            | 4:45 p.m. – 5:00 p.m.<br>[Lang Michener]              | <b>Break</b>   |
|                            | 5:00 p.m. – 6:00 p.m.<br>[Sea to Sky Lounge]          | <b>New Student Welcome Reception</b> (60 min.)   |
|                            | 6:30 p.m.<br>[off site:<br>L'Abbattoir<br>Restaurant] | <b>Dinner</b> with Principals and Ilana Schrager   |
| <b>Thursday,<br/>May 5</b> | 9:00 a.m. – 10:00 a.m.<br>[Training room]             | <b>Library Training: Primary Sources Part 2</b> Eve Leung and Suzanne McBeath (60 min.)                      |
|                            | 10:00 a.m. – 10:15 a.m.<br>[Training room]            | <b>Break</b>   |

| <b>Date</b>          | <b>Time</b>                                | <b>Topic/Event</b>   |
|----------------------|--|--|
|                      | 10:15 a.m. – 11:15 a.m.<br>[Training room] | <b>Library Training: Wrap Up and Game Show</b> with Eve Leung and Suzanne McBeath (60 min.)  |
|                      | 11:15 a.m. – 11:30 a.m.                    | <b>Break</b>   |
|                      | 11:30 a.m. – 1:00 p.m.<br>[Lang room]      | <b>Legal Research and Writing: Anatomy of a Research Memo/How to Analyze Facts and Law (with lunch)</b> with Jamie Virgin and Ilana Schrager (90 min.) |
|                      | 1:00 p.m. – 1:15 p.m.<br>[Lang room]       | <b>Break</b>   |
|                      | 1:15 p.m. – 2:45 p.m.<br>[Training room]   | <b>IT Training: McMillan Standard Agreement Form</b> with Tina Fiorella (90 min.)  |
|                      | 2:45 p.m. – 3:00 p.m.<br>[Training room]   | <b>Break</b>   |
|                      | 3:00 p.m. – 4:30 p.m.<br>[Training room]   | <b>IT Training: Automate and Research Memo</b> with Tina Fiorella (90 min.)  |
| <b>Friday, May 6</b> | 9:00 a.m. – 9:30 a.m.<br>[Lang room]       | <b>Overview of Office Services</b> with Allison Paiger (30 min.)   |
|                      | 9:30 a.m. – 10:00 a.m.<br>[Lang room]      | <b>Working with Your Assistant</b> with Sigrid Pertschi (30 min.)  |
|                      | 10:00 a.m. – 10:15 a.m.<br>[Lang room]     | <b>Break</b>   |
|                      | 10:15 a.m. – 11:45 a.m.<br>[Lang room]     | <b>What Every Student Needs to Know about Deals: Part 2</b> with Ryan Black and Mike Reid (90 min.)  |
|                      | 11:45 a.m. – 12:00 p.m.<br>[Lang room]     | <b>Break</b>   |
|                      | 12:00 p.m. – 1:30 p.m.<br>[Lang room]      | <b>Introduction to Securities Law (with lunch)</b> with James Munro (90 min.)  |

| <b>Date</b>                  | <b>Time</b>  | <b>Topic/Event</b>  |
|------------------------------|--|---|
|                              | 1:30 p.m. – 1:45 p.m.<br>[Lang room]                     | <b>Break</b>  |
|                              | 1:45 p.m. – 3:15 p.m.<br>[Lang room]                     | <b>Introduction to McMillan Practice Areas</b> with Herb Ono, Lou Zivot, Damon Chisholm, Christine Man, Ryan Black, and Navnit Duhra) (90 min.)                                       |
|                              | 3:15 pm<br>[Lang room]                                   | Vancouver Students Move Into Their Offices<br><b>Kate meeting with Ilana – Instructions for Research Memo</b>   |
| <b>Monday,<br/>May 9</b>     | 8:45 a.m. – 9:00 a.m.                                    | <b>Office Tour</b>  |
|                              | Noon – 1:00 p.m.<br>[Andrew will let you know the venue] | <b>Lunch with Principal, Andrew Stead</b>   |
|                              | 5:00 p.m.  | <b>Submit Research Memo Assignment directly to your respective Writing Mentor by email</b><br><br>Writing mentor:<br><ul style="list-style-type: none"> <li>• Andrew Stead</li> </ul> |
| <b>Tuesday,<br/>May 10</b>   | 9:30 a.m.  | <b>Library Tour</b> with Justine Morley   |
|                              | 12:00 p.m. – 1:00 p.m.<br>[Manville room]                | <b>Litigation Research and Resources</b> via video conference (60 min.)   |
| <b>Wednesday,<br/>May 11</b> | 12:00 p.m. – 1:00 p.m.<br>[Manville room]                | <b>Business Research and Resources</b> via video conference (60 min.)   |
| <b>Friday, May 13</b>        | 10:00 a.m. – 11:30 a.m.<br>[meet at Reception]           | <b>Tour of the Court and the Land Registry Office</b> with Marc-Elie Scott  |
| <b>Friday, May 13</b>        | 2:00 p.m. – 3:00 p.m.<br>[Viking room]                   | <b>What Every Student Needs to Know About Professionalism</b> with Peter Major  |

## 2016 CALGARY SUMMER STUDENT ORIENTATION

| Date                     | Time   | Topic/Event   |
|--------------------------|--|---|
| <b>Monday,<br/>May 2</b> | 8:30 a.m. –<br>9:00 a.m.<br>[Lang Michener]                  | <b>Coffee Mixer</b> with principals, Ilana Schrager (30 min.)   |
|                          | 9:00 a.m. –<br>9:45 a.m.<br>[Lang Michener]                  | <b>How to Have a Successful Summer: What to Expect from your Summer at the Firm</b> with Ilana Schrager and Judith Macfarlane (45 min.)   |
|                          | 9:45 a.m. – 10:00<br>a.m.<br>[Lang Michener]                 | <b>Break</b>  |
|                          | 10:00 a.m. – 10:45<br>a.m.<br>[Training room]                | <b>Library Orientation and Introduction to Firm Research Sources and KM</b> with Eve Leung and Suzanne McBeath (45 min.)  |
|                          | 10:45 a.m. – 11:30<br>a.m.<br>[Lang Michener]                | <b>How to Get Things Done at the Firm: HR Matters and Firm Tour</b> with Stephen Hinds (45min.)   |
|                          | 11:30 a.m. – 1:30<br>p.m.<br>[off site: Coast<br>Restaurant] | <b>Group Welcome Lunch</b> with principals and Ilana Schrager (120 min.)  |
|                          | 1:30 p.m. – 2:45<br>p.m.<br>[Training room]                  | <b>IT Training: How to Get Things Done Using McMillan Technology</b> with Tina Fiorella (75 min.)   |
|                          | 2:45 p.m. – 3:00<br>p.m.<br>[Lang Michener]                  | <b>Break</b>  |
|                          | 3:00 p.m. –<br>3:45 p.m.<br>[Lang Michener]                  | <b>What Every Student Needs to Know About Professionalism</b> with Ilana Schrager and Judith Macfarlane (45 min.)   |
|                          | 3:45 p.m. – 5:15<br>p.m.<br>[Lang Michener]                  | <b>How to Have a Successful Summer: What the Firm Expects from You and Tips from Those Who Have Gone Before You</b> with Ilana Schrager, Judith Macfarlane, Sasa Pudar, Scott Kuehn, and Becky Rock (90 min.) |

| <b>Date</b>             | <b>Time</b>  | <b>Topic/Event</b>   |
|-------------------------|--|--|
|                         | 5:15 p.m. - 6:15 p.m.<br><b>[off-site: Royal Dinette Restaurant]</b> | <b>Team Building with Lawyers</b> with Sasa Pudar, Scott Kuehn, Becky Rock (60 min.)                                       |
| <b>Tuesday, May 3</b>   | 8:30 a.m. - 10:00 a.m.<br><b>[Training room]</b>                     | <b>Library Training: Secondary Sources Part 1</b> with Eve Leung and Suzanne McBeath (90 min.)                             |
|                         | 10:00 a.m. - 10:15 a.m.  | <b>Break</b>   |
|                         | 10:15 a.m. - 11:45 a.m.<br><b>[Lang room]</b>                        | <b>Library Training: Research Process and Methodology</b> with Charlotte Conlin and Eve Leung by videoconference (90 min.) |
|                         | 11:45 a.m. - 12:45 p.m.<br><b>[Lang room]</b>                        | <b>The Business of Law: Why and How to Docket (with lunch)</b> with Ilana Schrager and Judith Macfarlane (60 min.)         |
|                         | 12:45 p.m.- 1:00 p.m.<br><b>[Lang room]</b>                          | <b>Break</b>   |
|                         | 1:00 p.m. - 2:00 p.m.<br><b>[Training room]</b>                      | <b>IT Training: Time KM - The Mechanics of Docketing</b> with Tina Fiorella (60 min.)                                      |
|                         | 2:00 p.m. - 2:15 p.m.<br><b>[Lang room]</b>                          | <b>Break</b>   |
|                         | 2:15 p.m. - 3:15 p.m.<br><b>[Lang room]</b>                          | <b>Research and Writing: How to Think Strategically</b> with Melanie Harmer (60 min.)                                      |
|                         | 3:15 p.m. - 3:30 p.m.<br><b>[Lang room]</b>                          | <b>Break</b>   |
|                         | 3:30 p.m. - 5:00 p.m.<br><b>[Lang room]</b>                          | <b>What Every Student Needs to Know About Deals: Part 1</b> with Ryan Black and Mike Reid (90 min.)                        |
| <b>Wednesday, May 4</b> | 9:00 a.m. - 10:30 a.m.<br><b>[Training room]</b>                     | <b>Library Training: Secondary Sources Part 2</b> with Eve Leung and Suzanne McBeath (90 min.)                             |
|                         | 10:30 a.m. - 10:45 a.m.<br><b>[Training room]</b>                    | <b>Break</b>   |



| <b>Date</b>                | <b>Time</b>  | <b>Topic/Event</b>   |
|----------------------------|--|--|
|                            | 10:45 a.m. – 11:45 a.m.<br>[Training room]           | <b>IT Training: Email Management Tool/Desksite</b> with Tina Fiorella (60 min.)                              |
|                            | 11:45 a.m. – 1:00 p.m.<br>[Lang room]                | <b>How to Manage Your Practice/New Commissioner Powers (with lunch)</b> with Jamie Virgin (75 min.)          |
|                            | 1:00 p.m. – 2:00 p.m.<br>[Training room]             | <b>Library Training: Primary Sources Part 1</b> with Eve Leung and Suzanne McBeath (60 min.)                 |
|                            | 2:00 p.m. – 2:15 p.m.<br>[Lang room]                 | <b>Break</b>   |
|                            | 2:15 p.m. – 2:45 p.m.<br>[Lang room]                 | <b>Legal Research and Writing: How to Get Good Instructions</b> with Mike Reid and Ilana Schrager (30 min.)  |
|                            | 2:45 p.m. – 3:00 p.m.<br>[Lang Michener]             | <b>Break</b>   |
|                            | 3:00 p.m. – 4:45 p.m.<br>[Lang Michener]             | <b>How To Make a Great First Impression: Schmoozing 101</b> with Andrea Verwey of Green Valentine (105 min.) |
|                            | 4:45 p.m. – 5:00 p.m.<br>[Lang Michener]             | <b>Break</b>   |
|                            | 5:00 p.m. – 6:00 p.m.<br>[Sea to Sky Lounge]         | <b>New Student Welcome Reception</b> (60 min.)   |
|                            | 6:30 p.m.<br>[off site:<br>L'Abattoir<br>Restaurant] | <b>Dinner</b> with Principals and Ilana Schrager   |
| <b>Thursday,<br/>May 5</b> | 9:00 a.m. – 10:00 a.m.<br>[Training room]            | <b>Library Training: Primary Sources Part 2</b> Eve Leung and Suzanne McBeath (60 min.)                      |
|                            | 10:00 a.m. – 10:15 a.m.<br>[Training room]           | <b>Break</b>   |

| <b>Date</b>              | <b>Time</b>                                       | <b>Topic/Event</b>   |
|--------------------------|---|--|
|                          | 10:15 a.m. – 11:15 a.m.<br><b>[Training room]</b> | <b>Library Training: Wrap Up and Game Show</b> with Eve Leung and Suzanne McBeath (60 min.)  |
|                          | 11:15 a.m. – 11:30 a.m.                           | <b>Break</b>   |
|                          | 11:30 a.m. – 1:00 p.m.<br><b>[Lang room]</b>      | <b>Legal Research and Writing: Anatomy of a Research Memo/How to Analyze Facts and Law (with lunch)</b> with Jamie Virgin and Ilana Schrager (90 min.) |
|                          | 1:00 p.m. – 1:15 p.m.<br><b>[Lang room]</b>       | <b>Break</b>   |
|                          | 1:15 p.m. – 2:45 p.m.<br><b>[Training room]</b>   | <b>IT Training: McMillan Standard Agreement Form</b> with Tina Fiorella (90 min.)  |
|                          | 2:45 p.m. – 3:00 p.m.<br><b>[Training room]</b>   | <b>Break</b>   |
|                          | 3:00 p.m. – 4:30 p.m.<br><b>[Training room]</b>   | <b>IT Training: Automate and Research Memo</b> with Tina Fiorella (90 min.)  |
| <b>Friday,<br/>May 6</b> | 9:00 a.m. – 9:30 a.m.<br><b>[Lang room]</b>       | <b>Overview of Office Services</b> with Allison Paiger (30 min.)   |
|                          | 9:30 a.m. – 10:00 a.m.<br><b>[Lang room]</b>      | <b>Working with Your Assistant</b> with Sigrid Pertschi (30 min.)  |
|                          | 10:00 a.m. – 10:15 a.m.<br><b>[Lang room]</b>     | <b>Break</b>   |
|                          | 10:15 a.m. – 11:45 a.m.<br><b>[Lang room]</b>     | <b>What Every Student Needs to Know about Deals: Part 2</b> with Ryan Black and Mike Reid (90 min.)  |
|                          | 11:45 a.m. – 12:00 p.m.<br><b>[Lang room]</b>     | <b>Break</b>   |
|                          | 12:00 p.m. – 1:30 p.m.<br><b>[Lang room]</b>      | <b>Introduction to Securities Law (with lunch)</b> with James Munro (90 min.)  |

| <b>Date</b>                  | <b>Time</b>  | <b>Topic/Event</b>  |
|------------------------------|--|---|
|                              | 1:30 p.m. – 1:45 p.m.<br>[Lang room]                     | <b>Break</b>  |
|                              | 1:45 p.m. – 3:15 p.m.<br>[Lang room]                     | <b>Introduction to McMillan Practice Areas</b> with Herb Ono, Lou Zivot, Damon Chisholm, Christine Man, Ryan Black, and Navnit Duhra) (90 min.)                                       |
|                              | 3:15 pm<br>[Lang room]                                   | Vancouver Students Move Into Their Offices<br><b>Kate meeting with Ilana – Instructions for Research Memo</b>   |
| <b>Monday,<br/>May 9</b>     | 8:45 a.m. – 9:00 a.m.                                    | <b>Office Tour</b>  |
|                              | Noon – 1:00 p.m.<br>[Andrew will let you know the venue] | <b>Lunch with Principal, Andrew Stead</b>   |
|                              | 5:00 p.m.  | <b>Submit Research Memo Assignment directly to your respective Writing Mentor by email</b><br><br>Writing mentor:<br><ul style="list-style-type: none"> <li>• Andrew Stead</li> </ul> |
| <b>Tuesday,<br/>May 10</b>   | 9:30 a.m.  | <b>Library Tour</b> with Justine Morley   |
|                              | 12:00 p.m. – 1:00 p.m.<br>[Manville room]                | <b>Litigation Research and Resources</b> via video conference (60 min.)   |
| <b>Wednesday,<br/>May 11</b> | 12:00 p.m. – 1:00 p.m.<br>[Manville room]                | <b>Business Research and Resources</b> via video conference (60 min.)   |
| <b>Friday, May<br/>13</b>    | 10:00 a.m. – 11:30 a.m.<br>[meet at Reception]           | <b>Tour of the Court and the Land Registry Office</b> with Marc-Elie Scott  |
| <b>Friday, May<br/>13</b>    | 2:00 p.m. – 3:00 p.m.<br>[Viking room]                   | <b>What Every Student Needs to Know About Professionalism</b> with Peter Major  |

## 2016 CORPORATE COUNSEL CPD WEBCASTS

\* indicates Ontario Professionalism

| DATE  | TOPIC   | SPEAKERS  |
|---|---|---|
| February 10   | Privacy Basics – Part 2   | Lyndsay Wasser                                    |
| February 23   | Technology Issues in Contracts  | Ryan Black<br>Michael Reid                        |
| Tuesday April 5   | Should It Stay or Should It Go? Document Management and Litigation Holds – Part 1   | Ben Bathgate<br>Elisa Henry<br>Chris Purdon       |
| Wednesday<br>May 11<br>(12:00-1:30 p.m.)<br><br>Joint webcast with LexisNexis | Corporate Governance  | Chris Bart<br>Markus Koehnen<br>Frank Palmay      |
| June 23   | Current Topics in Commercial Leasing  | William Rowlands<br>David Ross<br>Laura Brazil    |
| October 18  | Should It Stay or Should It Go? Document Management and Litigation Holds – Part 2   | Ben Bathgate<br>Chris Purdon<br>Sheri Munk-Mandel |
| October 31  | Employment Law Update: Helping You Navigate the Latest Legal Traps  | Paul Boshyk<br>Stefanie Di Francesco              |
| November 16*  | Managing Millennials (in-person seminar)  | Margie Shore                                      |
| November 16*  | Staying Right and Honourable – The Corporate Counsel's Guide to Dealing with Media, Government and the Public (in-person seminar) | Steve Paikin<br>David Herle<br>Tim Murphy         |
| November 16*  | Panel: Managing Outside Counsel (in-person seminar)   | Bindu Cudjoe<br>Marni Dicker<br>John Walsh        |

| DATE        | TOPIC                                   | SPEAKERS                         |
|-------------|---|----------------------------------|
|             |   | Sarita Dankner                   |
| November 30 | Competition Law:<br>2016 Year in Review | Mark Opashinov<br>James Musgrove |

## 2016 CORPORATE COUNSEL CPD WEBCASTS

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| DATE        | TOPIC                                   | SPEAKERS                         |
|-------------|---|----------------------------------|
|             |   | Sarita Dankner                   |
| November 30 | Competition Law:<br>2016 Year in Review | Mark Opashinov<br>James Musgrove |

## HORAIRE DE FORMATION – Marie Rondeau

| Date                    | Heure       | Lieu                     | Activité   |
|-------------------------|-------------|--------------------------|--|
| <b>Lundi 20 juin</b>    | 9h – 9h30   | Réception                | <b>Tour du bureau avec Catherine Péloquin</b>  |
|                         | 9h30 – 10h  | Mont Royal II            | <b>Bienvenue et Exigences du Barreau du Québec avec Catherine Péloquin et Milèna Tomovic</b> |
|                         | 10h - 11h   | Mont Royal II            | <b>Assurances, bénéfices et salaire avec Franca Giannini</b>                                 |
|                         | 11h - 11h15 | Mont Royal II            | <b>Rencontre IT re blackberry avec Dany Notarangelo</b>                                      |
|                         | 11h30-12h   | Salle de formation (25e) | <b>Rappels informatiques avec Shanna Fréchette</b>   |
|                         | 12h – 14h00 |                          | <b>Lunch de bienvenue avec Émile, Sophie, Mireille et Florence</b>                           |
| <b>Mardi 21 juin</b>    | 10h – 11h   | Bibliothèque             | <b>Rappel des outils de recherche avec Mary Kelly</b>  |
|                         | 12h – 14h   |                          | <b>Lunch avec ton mentor</b>   |
| <b>Mercredi 22 juin</b> | 9h-11h      | Palais de Justice        | <b>Représentations à la Cour avec Shari Munk-Manel et Émile Catimel-Marchand</b>             |
| <b>Jeudi 23 juin</b>    | 17h30 - 22h |                          | <b>Activité des étudiants</b>  |
| <b>Jeudi 21 juillet</b> | 14h - 21h   |                          | <b>BBQ au chalet de Max Mendelsohn</b>   |
| <b>À confirmer</b>      | 14h - 21h   |                          | <b>BBQ au chalet de Charles Chevrette</b>  |



**PROGRAMME DE FORMATION  
ÉTUDIANTS D'ÉTÉ 2016**

| <b>Date</b> | <b>Heure</b>   | <b>Activité</b>  |
|-------------|--|--|
| Lundi 9 mai | 8:30 a.m. – 9:30 a.m.<br>McMillan                                | <b>Questions administratives et visite du bureau (incluant le centre d'affaires)</b><br>avec Franca Gianinni (60 min.) |
|             | 9:30 a.m. – 11:15 a.m.<br>Salle de formation (25 <sup>e</sup> )  | <b>Recherche juridique : La Référence</b> (105 min.)   |
|             | 11:15 a.m. – 11:30 a.m.  | <b>Pause</b>   |
|             | 11:30 a.m. – 12:00 p.m.<br>Salle de formation (25 <sup>e</sup> ) | <b>Formation informatique: Comment éviter les catastrophes avec les documents STAN</b> (30 min.)                       |
|             | 12:00 p.m. – 2:00 p.m.   | <b>Lunch avec vos mentors</b>  |
|             | 2:00 p.m. – 2:15 p.m.<br>Bureau de Sophie<br>(appel conférence)  | <b>Instructions pour la note de recherche</b><br>avec Catherine Péloquin (15 min.)                                     |
|             | 2:15 p.m. – 6:00 p.m.  | <b>Travail individuel sur votre note de recherche</b>  |

|                 |   |  |
|-----------------|---|--|
| Mardi 10 mai    | <p>9:00 a.m. – 12:00 p.m.<br/>715 Square- Victoria,<br/>bureau 600</p> <p>2:00 p.m. – 3:00 p.m.<br/>McMillan<br/>(video conference)</p> <p>3:15 p.m. – 4:30 p.m.<br/>Bibliothèque</p> <p>4:30 p.m. – 6:00 p.m.</p> <p>6:00 p.m.</p> | <p><b>Recherche juridique : SOQUIJ</b> (180 min.)</p> <p><b>Litigation Research and Resources</b> with<br/>Library Team (60 min.)</p> <p><b>Visite de la bibliothèque avec Mary Kelly<br/>et base de données québécoises</b> (75 min.)</p> <p><b>Travail individuel sur votre note de<br/>recherche</b></p> <p><b>Envoyer note de recherche par courriel à<br/>Elisa Henry</b></p> |
| Mercredi 11 mai | <p>12:30 p.m. – 1:45 p.m.<br/>(incluant le lunch)<br/>McMillan</p> <p>2:00 – 3:00 p.m.<br/>McMillan<br/>(video conference)</p>  | <p><b>Gestion de la pratique</b> avec Shari Munk-<br/>Manel (75 min.)</p> <p><b>Business Research and Resources</b> with<br/>Library Team (60 min.)</p>  |
| Jeudi 12 mai    | <p>12:30 p.m. - 1:30 p.m.<br/>(incluant le lunch)<br/>Mont Royal I</p>  | <p><b>Conseils pratiques pour faire de votre été<br/>un succès</b> avec Mirna Kaddis et Frédérick<br/>Néron (60 min.)</p>  |
| Vendredi 13 mai | <p>2:15 p.m. – 5:00 p.m.</p> <p>5:30 p.m. – 9:30 p.m.</p>   | <p><b>Activité des étudiants et stagiaires</b></p> <p><b>Souper des étudiants et avocats chez Éric<br/>Vallières</b></p>   |
| Lundi 16 mai    | <p>9:30 a.m. – 10:30 a.m.<br/>McMillan</p>  | <p><b>Recherche juridique et rédaction: Corrigé<br/>de l'exercice de note de recherche</b> avec<br/>Elisa Henry (60 min.)</p>  |
| Mardi 17 mai    | <p>9:00 a.m. - 11:00 p.m.<br/>Palais de justice</p>   | <p><b>Visite du Palais de justice</b> avec Maude<br/>Poulin</p>  |
| Mardi 24 mai    | <p>12:00 p.m. – 1:00 p.m.<br/>McMillan</p>  | <p><b>Lunch avec Max Mendelsohn</b></p>  |

|                       |  |  |
|-----------------------|--|--|
| Mercredi 25 mai       | 12:00 p.m. – 1:45 p.m.<br>(includes lunch)<br>Sherbrooke | <b>Legal Research and Writing: How to Read and Understand Statutes</b> with Charlotte Conlin (90 min.) |
| Jeudi 9 juin          | 5:30 p.m. – 9:30 p.m.                                    | <b>Activité estivale du bureau</b>   |
| Semaine du 20<br>juin | Date et heure à confirmer                                | <b>Activité des étudiants et stagiaires</b>  |
| Jeudi 21 Juillet      | 2:00 p.m. – 9:30 p.m.                                    | <b>BBQ au chalet de Max Mendelsohn</b> (Morin Heights)   |

2016 Ottawa Articling Student Program (additional Ottawa training)

| <b>Date</b>                    | <b>Time</b>                               | <b>Topic/Event</b>  |
|--------------------------------|---|---|
| <b>Monday,<br/>August 8</b>    | 9:00 a.m. – 10:00 a.m.<br>McClung Room    | <b>Welcome, Tour of the new office and Local Administrative Matters</b> with Laura Buder (60 min)         |
|                                | 10:00 a.m. – 10:30a.m.                    | <b>Settling in your office</b> (30 min)   |
|                                | 10:30 a.m. – 11:30 a.m.<br>McClung Room   | <b>Advocacy Workshop: Argue Your Motions Before the Master and Debrief</b> with Patrick Thompson (60 min) |
|                                | 12:00 p.m. – 1:30 p.m.                    | <b>Lunch with your mentor</b>   |
| <b>Thursday,<br/>August 11</b> | 5:00 p.m. – 9:00 p.m.                     | <b>Office Summer event</b>  |
| <b>Friday,<br/>August 12</b>   | 12:00p.m. – 1:00 p.m.<br>(lunch provided) | <b>Tips from those who have gone before you</b> with Tim Cullen and Jennifer Hill (60 min)                |

**2016 OTTAWA STUDENT PROGRAM**

| <b>Date</b>       | <b>Time</b>   | <b>Seminar or Event</b>  |
|-------------------|---|--|
| Monday<br>May 9   | 8:30 a.m. – 9:30 a.m.                                 | <b>Welcome to Ottawa, Tour of the Office and Administrative matters</b> with Laura Buder (60 min.) |
|                   | 9:30 a.m. – 9:45 p.m.<br>Martin's office              | <b>Research Memo Assignment/Instructions</b> with Martin Thompson (15 min.)                        |
|                   | 9:45 a.m. - 12:00 p.m.                                | <b>Independent Work on Research Memos</b>  |
|                   | 12:00 p.m. - 1:30 p.m.                                | <b>Lunch with your mentors</b>   |
|                   | 1:30 p.m. - 4:30 p.m.                                 | <b>Independent Work on Research Memos</b>  |
|                   | 4:30 p.m. – 6:00 p.m.<br>Lounge                       | <b>Student Welcome Reception in Ottawa</b>   |
| Tuesday<br>May 10 | 9:00 a.m. - 10:00 p.m.<br>Laurier                     | <b>Small Claims Court Practice &amp; Procedure,</b> with Patrick Thompson (60 min)                 |
|                   | 12:00 p.m.  | <b>Research Memos Due</b> – email to your Martin Thompson no later than 12:00 p.m.                 |
|                   | 12:00 p.m. – 1:15 p.m.<br>(includes lunch)<br>Laurier | <b>How to Have a Successful Summer: Managing Your Practice</b> with Darcy Ammerman (75 min.)       |
|                   | 2:00 – 3:00 p.m.<br>Laurier<br>(videoconference)      | <b>Litigation Research and Resources</b> with Library Team   |

| <b>Date</b>         | <b>Time</b>  | <b>Seminar or Event</b>  |
|---------------------|--|--|
| Wednesday<br>May 11 | 2:00 – 3:00 p.m.<br>Laurier<br>(videoconference)                                   | <b>Business Research and Resources</b> with<br>Library Team  |
| Week of May 16      |  | <b>Student Meetings with Writing Mentor</b> (to<br>be arranged with Martin Thompson)   |
| Monday<br>May 16    | 5:30 p.m. – 8:00 p.m.<br>HMCS Bytown Wardroom<br>- Crows' Nest<br>78 Lisgar Street | <b>Small Claims Court Is No Small Matter -<br/>Learn the Essentials!</b><br>Young Lawyers Division East                                  |
| Thursday<br>May 18  | 9:00 a.m. – 10:30 a.m.   | <b>Tour of Courthouse</b> with Timothy Cullen<br>(90min.)  |
|                     | 12:00 p.m. – 1:00 p.m.<br>(includes lunch)   | <b>How to Have a Successful Summer: Tips<br/>From Those Who Have Gone Before You</b><br>with Jonathan O'Hara and Tim Cullen (60<br>mins) |

**Toronto and Ottawa Articling Student Orientation**  
Tuesday August 2 to Friday August 5, 2016

| Date                | Time                               | Topic/Event  |
|---------------------|------------------------------------|--|
| Tuesday<br>August 2 | 8:30 a.m.<br>Rowell II             | Networking Breakfast   |
|                     | 9:00 a.m. – 10:00 a.m.<br>Rowell I | Welcome from Eric Friedman<br><b>What's New and What to Expect From the McMillan Articling Experience</b> with Marlene Kane and Jude Atwood        |
|                     | 10:00 a.m. – 10:15 a.m.            | Break  |
|                     | 10:15 a.m. – 11:30 a.m.            | <b>Building Your Personal Brand: How to Succeed as a McMillan Articling Student</b> with Mitch Koczerginski, Tayleigh Armstrong and Devina D'Silva |
|                     | 11:30 a.m. – 11:45 a.m.            | Break  |
|                     | 11:45 a.m. – 1:45 p.m.<br>(Lunch)  | Professionalism with Dan MacDonald and Marlene Kane  |
|                     | 1:45 p.m. – 2:00 p.m.              | Break  |
|                     | 2:00 p.m. – 3:15 p.m.<br>Rowell I  | <b>Practice Management: How to Manage Your Time and Your Work</b> with Marlene Kane and Jude Atwood  |
|                     | 3:15 p.m. – 3:45 p.m.              | <b>Practice Management: How to Work Smarter Not Harder with Your Assistant</b> with Kim Luketic and Marianna Longo                                 |
|                     | 3:45 p.m. – 4:00 p.m.              | Break  |

| Date                          | Time                                     | Topic/Event   |
|-------------------------------|--|---|
|                               | 4:00 p.m. – 5:00 p.m.<br>Training Room   | <b>Wrap Up and Preview of Next Day Technology: Overview and Passwords</b> with Technology Training Team |
| <b>Wednesday<br/>August 3</b> | 9:00 a.m. – 10:30 a.m.<br>Rowell I       | <b>Life Cycle of a Business: Acquiring the Business</b> with Caroline Samara and Hilary Hennick         |
|                               | 10:30 a.m. – 10:45 a.m.                  | <b>Break</b>  |
|                               | 10:45 a.m. – 12:15 p.m.                  | <b>How to Draft a Litigation Proof Agreement</b> with Marlene Kane and Ahsan Mirza                      |
|                               | 12:15 p.m. – 1:45 p.m.<br>(Lunch)        | <b>Understanding Financial Statements</b> with Michael Friedman   |
|                               | 1:45 p.m. – 3:15 p.m.<br>Training Room   | <b>Technology Refresher</b> (Email/DeskSite) with Technology Training Team                              |
|                               | 3:15 p.m. – 3:30 p.m.                    | <b>Break</b>  |
|                               | 3:30 p.m. – 5:00 p.m.<br>Rowell I        | <b>Life Cycle of a Business: Employment Matters</b> with Dave McKechnie and Stefanie Di Francesco       |
| <b>Thursday<br/>August 4</b>  | 9:00 a.m. – 11:00 a.m.<br>Rowell I       | <b>Life Cycle of a Business: Financing the Business with Debt</b> with Michelle Boodhoo and Maria Sagan |
|                               | 11:00 a.m. – 11:15 a.m.                  | <b>Break</b>  |
|                               | 11:15 a.m. – 12:15 p.m.<br>Training Room | <b>Technology Refresher (Time KM)</b> with Technology Training Team                                     |
|                               | 12:15 p.m. – 12:45 p.m.<br>(Lunch)       | <b>HR /Administrative Matters</b> with Christina Weir and Sabrina Ramlall                               |
|                               | 12:45 p.m. – 1:15 p.m.                   | <b>Break</b>  |
|                               | 1:15 p.m. – 2:45 p.m.<br>Rowell I        | <b>Life Cycle of a Business: Protecting the Business's Brand</b> with Sharon Groom                      |



| Date                   | Time   | Topic/Event  |
|------------------------|--|--|
|                        | 2:45 p.m. – 3:00 p.m.  | <b>Break</b>   |
|                        | 3:00 p.m. – 4:30 p.m.  | <b>Life Cycle of a Business: Bankruptcy and Insolvency</b><br>with Tushara Weerasooriya  |
|                        | 4:30 p.m. – 5:00 p.m.  | <b>Break</b>   |
|                        | 5:00 p.m.<br><b>Sky Lounge</b>   | <b>Welcome Reception</b>   |
|                        | <b>Woods Restaurant &amp; Bar</b>  | <b>Dinner with Your Mentors</b>  |
| <b>Friday August 5</b> | 9:00 a.m. – 10:15 a.m.<br><b>Rowell I</b>  | <b>Advocacy Workshop: Anatomy of an Action</b> with Geoff Moysa and Adam Chisholm  |
|                        | 10:15 a.m. – 10:30 a.m.  | <b>Break</b>   |
|                        | 10:30 a.m. – 12:00 p.m.  | <b>Advocacy Workshop: The Theory of Your Case</b> with Cara Zacks and Anna Tombs   |
|                        | 12:00 p.m. – 1:30 p.m.<br>(Lunch)<br><b>Toronto – Rowell I</b><br><b>Montreal – McMillan</b> | <b>Practice Management: The Business of Law</b> with Claire Duckworth, Evelyn Dempsey and Marlene Kane                                       |
|                        |  | <b>Independent time to work on your motions</b>  |
|                        |  |  |
| <b>Monday August 8</b> | 9:00 a.m. – 10:30 a.m.<br><b>Wood</b><br><b>Howland</b><br><b>McMillan</b><br><b>Binch</b>   | <b>Advocacy Workshop: Argue Your Motions Before the Masters and Debrief</b> with Jeffrey Levine, Adam Chisholm, Calie Adamson and Cara Zacks |
|                        | 10:30 a.m. – 11:00 a.m.  | <b>Break</b>   |

| Date                           | Time   | Topic/Event   |
|--------------------------------|--|---|
|                                | 11:00 a.m. – 12:00 p.m.<br><b>Rowell III</b> | <b>Demo of Motion Arguments and Group Debrief</b> with Jeffrey Levine and Calie Adamson   |
|                                | 12:00 p.m. – 1:30 p.m.                       | <b>Lunch on your own</b>  |
|                                | 1:30 p.m. – 2:45 p.m.<br><b>Rowell III</b>   | <b>How To be Useful at a Closing</b> with Karen Tuschak and Susan Tyrell  |
|                                | 2:45 p.m. – 3:00 p.m.                        | <b>Break</b>  |
|                                | 3:00 p.m. – 4:00 p.m.                        | <b>Legal Research Refresher</b> with Ashleigh Graden and Elfi Kwong   |
| <b>Tuesday<br/>August 9</b>    | 10:00 a.m. – 11:30 a.m.                      | <b>Litigation Students' Research Refresher</b> with Library Team  |
|                                | 1:00 p.m. – 2:30 p.m.                        | <b>Financial Services Students' Research Refresher</b> with Library Team  |
| <b>Wednesday<br/>August 10</b> | 10:30 a.m. – 12:00 p.m.                      | <b>Business Law Students' Research Refresher</b> with Library Team  |
| <b>Monday<br/>August 15</b>    | 9:00 a.m. – 10:30 a.m.                       | <b>Business Law /Financial Services Students Technology Training: STAN, WorkShare Compare and Track Changes</b> with Technology Training Team |
| <b>Tuesday<br/>August 16</b>   | 9:00 a.m. – 10:30 a.m.                       | <b>Litigation Students' Technology Training: INFORMS and Track Changes</b> with Technology Training Team                                      |
| <b>Thursday<br/>August 25</b>  | 8:15 a.m. – 10:30 a.m.                       | <b>Toronto Lawyers' Association Courthouse Orientation</b> (Details to follow)  |
| <b>March 2017</b>              |  | <b>Professional Responsibility Exam</b>   |



**2016 TORONTO, OTTAWA and MONTREAL  
SUMMER STUDENT PROGRAM**

| <b>Date</b>     | <b>Time</b>   | <b>Seminar or Event</b>   |
|-----------------|---|---|
| Monday<br>May 2 | 8:30 a.m. – 9:00 a.m.<br><b>Rowell II &amp; III</b> | <b>Coffee</b> – mixer, fruit  |
|                 | 9:00 a.m. – 10:15 a.m.<br><b>Rowell I</b>           | <b>Welcome</b> with Eric Friedman<br><b>How to Have a Successful Summer: What to Expect from Your Summer At the Firm</b> with Marlene Kane, Danielle Miller and Jude Atwood |
|                 | 10:15 a.m. – 10:30 a.m.                             | <b>Break</b>  |
|                 | 10:30 a.m. – 11:30 a.m.                             | <b>What Every Summer Student Needs to Know About Professionalism</b> with Marlene Kane and Jude Atwood  |
|                 | 11:45 a.m. – 12:00 p.m.                             | <b>Student Photos</b>   |
|                 | 12:00 – 1:30 p.m.                                   | <b>Lunch</b>  |
|                 | 1:30 p.m. – 3:00 p.m.                               | <b>How to Get Things Done at the Firm: HR Matters and Firm Tour</b> with Nicole Reynolds and Sabrina Ramlall  |
|                 | 3:00 p.m. – 3:15 p.m.                               | <b>Break</b>  |
|                 | 3:15 p.m. – 3:45 p.m.                               | <b>How to Get things Done at the Firm: Working with Your Assistants</b> with Michelle Wagg and Kim Luketic  |

| <b>Date</b>      | <b>Time</b>   | <b>Seminar or Event</b>   |
|------------------|---|---|
|                  | 4:00 p.m. – 5:00 p.m.<br><br><b>Training Room</b>                           | <b>Preview of Tomorrow's Program</b><br><br><b>How to Get Things Done at the Firm: Using McMillan Technology</b> with Technology Team<br><br><b>Blackberry's to be issued to ALL students</b> |
| Tuesday<br>May 3 | 9:00 a.m. – 10:30 a.m.<br><br><b>Rowell I</b>                               | <b>How to Have a Successful Summer: Meeting the Firm's Expectations</b> with Marlene Kane, Jude Atwood and Danielle Miller  |
|                  | 10:30 a.m. – 10:45 a.m.   | <b>Break</b>  |
|                  | 10:45 a.m. – 11:15 a.m.   | <b>Legal Research and Writing: How to Get Good Instructions from Your Assigning Lawyer</b> with Calie Adamson and Tim Hughes  |
|                  | 11:15 a.m. – 12:15 p.m.   | <b>Legal Research and Writing: How to Think Strategically</b> with Charlotte Conlin   |
|                  | 12:15 p.m. – 1:15 p.m.<br><br><b>Rowell II &amp; III</b>                    | <b>Lunch and Q &amp; A</b> with Marlene Kane, Danielle Miller and Jude Atwood   |
|                  | 1:15 p.m. – 2:45 p.m.<br><br><b>Rowell I – Toronto<br/>Lang – Vancouver</b> | <b>Legal Research and Writing: Research Process and Methodology</b> with Charlotte Conlin and Eve Leung   |
|                  | 2:45 p.m. – 3:00 p.m.   | <b>Break</b>  |
|                  | 3:00 p.m. – 4:00 p.m.   | <b>What Every Summer Student Needs to Know about the Business of Law</b> with Marlene Kane and Danielle Miller  |
|                  | 4:00 p.m. – 5:00 p.m.<br><br><b>Training Room</b>                           | <b>Time KM: The Mechanics of Docketing</b> with Technology Team   |
|                  | 5:30 p.m.   | <b>Group Social – Games Night</b>   |

| Date               | Time  | Seminar or Event  |
|--------------------|---|---|
| Wednesday<br>May 4 | 9:00 a.m. - 10:30 a.m.<br><b>McMillan Binch</b> | <b>Legal Research and Writing: The Anatomy of a Research Memo</b> with Lisa Kerbel-Caplan   |
|                    | 10:30 a.m. - 10:45 a.m.                         | <b>Break</b>  |
|                    | 10:45 a.m. - 11:45 a.m.                         | <b>Library Orientation and Introduction to Firm Research Sources and KM</b> with Library Team   |
|                    | 11:45 a.m. - 1:45 p.m.<br>(with lunch)          | <b>What Every Summer Student Needs to Know About Deals: Part 1 – Anatomy of a Deal</b> with Marlene Kane<br><br><b>What Every Summer Student Needs to Know About Deals: Part 2 - How to Navigate Deal Agreements</b> with Caroline Samara |
|                    | 1:45 p.m. - 2:00 p.m.                           | <b>Break</b>  |
|                    | 2:00 p.m. - 3:30 p.m.<br><b>Training room</b>   | <b>Legal Research and Writing: Secondary Sources Part I</b> with Library Team   |
|                    | 3:30 p.m. - 3:45 p.m.                           | <b>Break</b>  |
|                    | 3:45 p.m. - 4:30 p.m.<br><b>McMillan Binch</b>  | <b>How to Commission an Affidavit</b> with Mitch Koczerginski   |
| Thursday<br>May 5  | 9:00 a.m. - 11:00 a.m.<br><b>Rowell I</b>       | <b>Legal Research and Writing: How to Analyze the Facts and the Law in Your Research Memo</b> with Lisa Kerbel-Caplan   |
|                    | 11:00 a.m. - 11:15 a.m.                         | <b>Break</b>  |

| Date            | Time   | Seminar or Event  |
|-----------------|--|---|
|                 | <p>11:15 a.m. – 12:45 p.m.<br/><b>Training Room</b></p> <p>12:45 p.m.- 2:30 p.m.<br/><b>Rowell I</b></p> <p>2:30 p.m. – 2:45 p.m.</p> <p>2:45 p.m. – 4:15 p.m.<br/><b>Training room</b></p> <p>4:15 p.m.- 4:30 p.m.</p> <p>4:30 p.m. – 5:00 p.m.<br/><b>Rowell I</b></p> <p>5:00 p.m. – 6:30 p.m.<br/><b>Sky Lounge</b></p> <p>6:30 p.m.</p> | <p><b>Technology Training</b> with Technology Team</p> <p><b>How to Have a Successful Summer: Making a Great First Impression</b> with Evan Thompson and Danielle Miller</p> <p><b>Break</b></p> <p><b>Legal Research and Writing: Secondary Sources Part II</b> with Library Team</p> <p><b>Break</b></p> <p><b>What Every Summer Student Needs to Know About Deals: Part 3 – How to be Useful at a Closing</b> with Michelle Boodhoo</p> <p><b>Welcome Reception</b></p> <p><b>Welcome Dinner</b></p> |
| Friday<br>May 6 | <p>9:00 a.m. – 10:30 a.m.<br/><b>McMillan Binch</b></p> <p>10:30 a.m. – 10:45 a.m.</p> <p>10:45 a.m. – 11:45 a.m.<br/><b>Training room</b></p> <p>11:45 a.m. – 1:30 p.m.<br/>(with lunch – pizza and salad)<br/><b>McMillan Binch</b></p>  | <p><b>Legal Research and Writing: What Every Summer Student Needs to Know About the Elements of Good Writing</b> with Lisa Kerbel Caplan</p> <p><b>Break</b></p> <p><b>Legal Research and Writing: Primary Sources</b> with Library Team</p> <p><b>What Every Summer Student Needs to Know About Deals: Part 4 – How to Conduct Due Diligence</b> with Marlene Kane and Sean Brandreth</p>  |

| <b>Date</b>         | <b>Time</b>   | <b>Seminar or Event</b>   |
|---------------------|---|---|
|                     | 1:30 p.m. – 3:00 p.m.<br><b>Training Room</b><br>3:00 p.m.<br>3:15 p.m. – 4:00 p.m.<br><b>Training Room</b><br>4:00 p.m. – 5:00 p.m.<br><b>Training Room</b>                          | <b>Technology Training</b> with Technology Team<br><br><b>Break</b><br><br><b>Time to Work Independently on Primary Sources Exercises</b><br><br><b>Legal Research and Writing: Wrap Up (including Game Show)</b> with Library Team |
| Monday<br>May 9     | 8:30 a.m. – 8:45 a.m.<br><b>Library</b><br><br>6:00 p.m.  | <b>Research Memo Assignment/Instructions</b> with Marlene Kane<br><br><b>Independent Work on Research Memos</b><br><br><b>Research Memos Due</b> – email to Louise no later than 6:00 p.m.  |
| Tuesday<br>May 10   | 2:00 – 3:00 p.m.<br><b>Tor</b> – McMillan Binch<br><b>Mtl</b> – McMillan<br><b>Cal</b> – Mannville<br>(12 – 1 pm)<br><b>Van.</b> – Stewart<br>(11 am – 12 pm)<br><b>Ott</b> – Laurier | <b>Litigation Research and Resources</b> with Library Team<br><br>Via video conferencing  |
| Wednesday<br>May 11 | 2:00 – 3:00 p.m.<br><b>Tor</b> – McMillan Binch<br><b>Mtl</b> – McMillan<br><b>Cal</b> – Mannville<br>(12 – 1 pm)<br><b>Van.</b> – Stewart<br>(11 am – 12 pm)<br><b>Ott</b> – Laurier | <b>Business Research and Resources</b> with Library Team<br><br>Via video conferencing  |

| <b>Date</b>        | <b>Time</b>                                  | <b>Seminar or Event</b>   |
|--------------------|--|---|
| Thursday<br>May 12 | 10:00 a.m. - 11:30 a.m.                      | <b>McMillan Standard Agreement Format:<br/>How to Prevent a Dozen Document<br/>Disasters</b> with Technology Team |
| Thursday<br>May 12 | 2:00 – 3:30 p.m.<br><br><b>Training room</b> | <b>Litigation Informs training</b> with Technology Team   |
| Monday<br>May 16   | <b>9:30 – 1:30 p.m.</b>                      | <b>Student Meetings</b> with Lisa Kerbel Caplan   |
| Tuesday<br>May 17  | <b>9:30 – 1:30 p.m.</b>                      | <b>Student Meetings</b> with Lisa Kerbel Caplan   |





## Articling Student Orientation – Dharampreet Dhillon (office 1421) and Gurleen Randhawa (office 1613)

### **Monday, August 8**

|                    |  |
|--------------------|--|
| 8:45 am            | Meet at Reception – Jeremy Howe, HR<br>Photos taken for Security Passes  |
| 9:00 am – 9:30 am  | Welcome/HR Orientation – Jeremy Howe, HR<br>(Lawrence boardroom)   |
| 9:30 am – 12:00 pm | Get settled in your office (walk around to say hello; take care of<br>administrative matters; set up voicemail; make sure that email and<br>BlackBerry work, etc.) |

**12:00 pm – 2:00 pm**      **Welcome Lunch with Principal (TBD)**

|                   |   |
|-------------------|---|
| 2:30 pm – 5:00 pm | In your office work on your bio for the website |
|-------------------|---|

### **Tuesday, August 9**

|                    |   |
|--------------------|---|
| 9:00 am – 12:00 pm | IT Refresher Training (Training Room – 15 <sup>th</sup> floor)  |
| 12:00 pm – 1:30 pm | Student Lunch with articling & summer students (Lang boardroom) |

### **Wednesday, August 10**

|                    |  |
|--------------------|--|
| 9:00 am – 11:30 am | IT Refresher Training (Training Room – 15 <sup>th</sup> floor)                           |
| 1:00 pm – 2:00 pm  | Library Research Refresher with Suzanne McBeath (Training Room – 15 <sup>th</sup> floor) |

### **Thursday, August 11**

|                   |  |
|-------------------|--|
| 1:00 pm – 2:30 pm | Library Research – Solicitor Specific Databases/Resources with Suzanne McBeath<br>(Training Room – 15 <sup>th</sup> floor) |
|-------------------|--|

### **Friday, August 12**

|                   |   |
|-------------------|---|
| 1:00 pm – 2:30 pm | Library Research – Litigation Specific Databases/Resources with Suzanne McBeath<br>(Training Room – 15 <sup>th</sup> floor) |
|-------------------|---|

## 2016 VANCOUVER SUMMER STUDENT ORIENTATION

| Date                     | Time   | Topic/Event   |
|--------------------------|--|---|
| <b>Monday,<br/>May 2</b> | 8:30 a.m. –<br>9:00 a.m.<br>[Lang Michener]                  | <b>Coffee Mixer</b> with principals, Ilana Schrager (30 min.)   |
|                          | 9:00 a.m. –<br>9:45 a.m.<br>[Lang Michener]                  | <b>How to Have a Successful Summer: What to Expect from your Summer at the Firm</b> with Ilana Schrager and Judith Macfarlane (45 min.)   |
|                          | 9:45 a.m. – 10:00<br>a.m.<br>[Lang Michener]                 | <b>Break</b>  |
|                          | 10:00 a.m. – 10:45<br>a.m.<br>[Training room]                | <b>Library Orientation and Introduction to Firm Research Sources and KM</b> with Eve Leung and Suzanne McBeath (45 min.)  |
|                          | 10:45 a.m.– 11:30<br>a.m.<br>[Lang Michener]                 | <b>How to Get Things Done at the Firm: HR Matters and Firm Tour</b> with Stephen Hinds (45min.)   |
|                          | 11:30 a.m. – 1:30<br>p.m.<br>[off site: Coast<br>Restaurant] | <b>Group Welcome Lunch</b> with principals and Ilana Schrager (120 min.)  |
|                          | 1:30 p.m. – 2:45<br>p.m.<br>[Training room]                  | <b>IT Training: How to Get Things Done Using McMillan Technology</b> with Tina Fiorella (75 min.)   |
|                          | 2:45 p.m. – 3:00<br>p.m.<br>[Lang Michener]                  | <b>Break</b>  |
|                          | 3:00 p.m. –<br>3:45 p.m.<br>[Lang Michener]                  | <b>What Every Student Needs to Know About Professionalism</b> with Ilana Schrager and Judith Macfarlane (45 min.)   |
|                          | 3:45 p.m. - 5:15<br>p.m.<br>[Lang Michener]                  | <b>How to Have a Successful Summer: What the Firm Expects from You and Tips from Those Who Have Gone Before You</b> with Ilana Schrager, Judith Macfarlane, Sasa Pudar, Scott Kuehn, and Becky Rock (90 min.) |

| <b>Date</b>             | <b>Time</b>  | <b>Topic/Event</b>   |
|-------------------------|--|--|
|                         | 5:15 p.m. - 6:15 p.m.<br><b>[off-site: Royal Dinette Restaurant]</b> | <b>Team Building with Lawyers</b> with Sasa Pudar, Scott Kuehn, Becky Rock (60 min.)                                       |
| <b>Tuesday, May 3</b>   | 8:30 a.m. - 10:00 a.m.<br><b>[Training room]</b>                     | <b>Library Training: Secondary Sources Part 1</b> with Eve Leung and Suzanne McBeath (90 min.)                             |
|                         | 10:00 a.m. - 10:15 a.m.  | <b>Break</b>   |
|                         | 10:15 a.m. - 11:45 a.m.<br><b>[Lang room]</b>                        | <b>Library Training: Research Process and Methodology</b> with Charlotte Conlin and Eve Leung by videoconference (90 min.) |
|                         | 11:45 a.m. - 12:45 p.m.<br><b>[Lang room]</b>                        | <b>The Business of Law: Why and How to Docket (with lunch)</b> with Ilana Schrager and Judith Macfarlane (60 min.)         |
|                         | 12:45 p.m.- 1:00 p.m.<br><b>[Lang room]</b>                          | <b>Break</b>   |
|                         | 1:00 p.m. - 2:00 p.m.<br><b>[Training room]</b>                      | <b>IT Training: Time KM - The Mechanics of Docketing</b> with Tina Fiorella (60 min.)                                      |
|                         | 2:00 p.m. - 2:15 p.m.<br><b>[Lang room]</b>                          | <b>Break</b>   |
|                         | 2:15 p.m. - 3:15 p.m.<br><b>[Lang room]</b>                          | <b>Research and Writing: How to Think Strategically</b> with Melanie Harmer (60 min.)                                      |
|                         | 3:15 p.m. - 3:30 p.m.<br><b>[Lang room]</b>                          | <b>Break</b>   |
|                         | 3:30 p.m. - 5:00 p.m.<br><b>[Lang room]</b>                          | <b>What Every Student Needs to Know About Deals: Part 1</b> with Ryan Black and Mike Reid (90 min.)                        |
| <b>Wednesday, May 4</b> | 9:00 a.m. - 10:30 a.m.<br><b>[Training room]</b>                     | <b>Library Training: Secondary Sources Part 2</b> with Eve Leung and Suzanne McBeath (90 min.)                             |
|                         | 10:30 a.m. - 10:45 a.m.<br><b>[Training room]</b>                    | <b>Break</b>   |

| <b>Date</b>                | <b>Time</b>   | <b>Topic/Event</b>   |
|----------------------------|---|--|
|                            | 10:45 a.m. – 11:45 a.m.<br><b>[Training room]</b>             | <b>IT Training: Email Management Tool/Desksite</b> with Tina Fiorella (60 min.)                              |
|                            | 11:45 a.m. – 1:00 p.m.<br><b>[Lang room]</b>                  | <b>How to Manage Your Practice/New Commissioner Powers (with lunch)</b> with Jamie Virgin (75 min.)          |
|                            | 1:00 p.m. – 2:00 p.m.<br><b>[Training room]</b>               | <b>Library Training: Primary Sources Part 1</b> with Eve Leung and Suzanne McBeath (60 min.)                 |
|                            | 2:00 p.m. – 2:15 p.m.<br><b>[Lang room]</b>                   | <b>Break</b>   |
|                            | 2:15 p.m. – 2:45 p.m.<br><b>[Lang room]</b>                   | <b>Legal Research and Writing: How to Get Good Instructions</b> with Mike Reid and Ilana Schrager (30 min.)  |
|                            | 2:45 p.m. – 3:00 p.m.<br><b>[Lang Michener]</b>               | <b>Break</b>   |
|                            | 3:00 p.m. – 4:45 p.m.<br><b>[Lang Michener]</b>               | <b>How To Make a Great First Impression: Schmoozing 101</b> with Andrea Verwey of Green Valentine (105 min.) |
|                            | 4:45 p.m. – 5:00 p.m.<br><b>[Lang Michener]</b>               | <b>Break</b>   |
|                            | 5:00 p.m. – 6:00 p.m.<br><b>[Sea to Sky Lounge]</b>           | <b>New Student Welcome Reception</b> (60 min.)   |
|                            | 6:30 p.m.<br><b>[off site:<br/>L'Abattoir<br/>Restaurant]</b> | <b>Dinner</b> with Principals and Ilana Schrager   |
| <b>Thursday,<br/>May 5</b> | 9:00 a.m. – 10:00 a.m.<br><b>[Training room]</b>              | <b>Library Training: Primary Sources Part 2</b> Eve Leung and Suzanne McBeath (60 min.)                      |
|                            | 10:00 a.m. – 10:15 a.m.<br><b>[Training room]</b>             | <b>Break</b>   |

| <b>Date</b>              | <b>Time</b>                                | <b>Topic/Event</b>   |
|--------------------------|--|--|
|                          | 10:15 a.m. – 11:15 a.m.<br>[Training room] | <b>Library Training: Wrap Up and Game Show</b> with Eve Leung and Suzanne McBeath (60 min.)  |
|                          | 11:15 a.m. – 11:30 a.m.                    | <b>Break</b>   |
|                          | 11:30 a.m. – 1:00 p.m.<br>[Lang room]      | <b>Legal Research and Writing: Anatomy of a Research Memo/How to Analyze Facts and Law (with lunch)</b> with Jamie Virgin and Ilana Schrager (90 min.) |
|                          | 1:00 p.m. – 1:15 p.m.<br>[Lang room]       | <b>Break</b>   |
|                          | 1:15 p.m. – 2:45 p.m.<br>[Training room]   | <b>IT Training: McMillan Standard Agreement Form</b> with Tina Fiorella (90 min.)  |
|                          | 2:45 p.m. – 3:00 p.m.<br>[Training room]   | <b>Break</b>   |
|                          | 3:00 p.m. – 4:30 p.m.<br>[Training room]   | <b>IT Training: Automate and Research Memo</b> with Tina Fiorella (90 min.)  |
| <b>Friday,<br/>May 6</b> | 9:00 a.m. – 9:30 a.m.<br>[Lang room]       | <b>Overview of Office Services</b> with Allison Paiger (30 min.)   |
|                          | 9:30 a.m. – 10:00 a.m.<br>[Lang room]      | <b>Working with Your Assistant</b> with Sigrid Pertschi (30 min.)  |
|                          | 10:00 a.m. – 10:15 a.m.<br>[Lang room]     | <b>Break</b>   |
|                          | 10:15 a.m. – 11:45 a.m.<br>[Lang room]     | <b>What Every Student Needs to Know about Deals: Part 2</b> with Ryan Black and Mike Reid (90 min.)  |
|                          | 11:45 a.m. – 12:00 p.m.<br>[Lang room]     | <b>Break</b>   |
|                          | 12:00 p.m. – 1:30 p.m.<br>[Lang room]      | <b>Introduction to Securities Law (with lunch)</b> with James Munro (90 min.)  |

| <b>Date</b>                  | <b>Time</b>   | <b>Topic/Event</b>   |
|------------------------------|---|--|
|                              | 1:30 p.m. – 1:45 p.m.<br>[Lang room]                        | <b>Break</b>   |
|                              | 1:45 p.m. – 3:15 p.m.<br>[Lang room]                        | <b>Introduction to McMillan Practice Areas</b> with Herb Ono, Lou Zivot, Damon Chisholm, Christine Man, Ryan Black, and Navnit Duhra) (90 min.)  |
|                              | 3:15 pm   | <b>Vancouver Students Move Into Their Offices</b>  |
| <b>Monday,<br/>May 9</b>     | 8:45 a.m. – 9:00 a.m.<br>[Rideau room]                      | <b>Instructions for Research Memo Assignment</b> with Ilana Schrager   |
|                              | Noon – 1:00 p.m.<br>[17 <sup>th</sup> floor room]           | <b>Pizza Lunch</b> - Ilana Schrager available for further discussion on memo assignment (60 min.)  |
|                              | 5:00 p.m.   | <b>Submit Research Memo Assignment directly to your respective Writing Mentor by email</b><br><br>Writing mentors: <ul style="list-style-type: none"> <li>• Jennifer Cockbill</li> <li>• Jamie Virgin</li> <li>• Ilana Schrager</li> </ul> |
| <b>Tuesday,<br/>May 10</b>   | 11:00 a.m. – 12:00 p.m.<br>[Stewart]                        | <b>Litigation Research and Resources</b> via video conference (60 min.)  |
|                              | 12:00 p.m. – 1:15 p.m.<br>[17 <sup>th</sup> floor room]     | <b>General Tips and Lessons (with lunch)</b> with Robin Junger (75 min.)   |
| <b>Wednesday,<br/>May 11</b> | 9:30 a.m. – 11:00 a.m.                                      | <b>Courthouse tour</b> with Jamie Virgin (90 min.)   |
|                              | 11:00 a.m. – 12:00 p.m.<br>[Stewart]                        | <b>Business Research and Resources</b> via video conference (60 min.)  |
|                              | 5:00 p.m.<br>[off site: Pacific Institute of Culinary Arts] | <b>Cooking Class and Dinner</b> with Ilana Schrager at Pacific Institute of Culinary Arts  |



mcmillan  
business  
academy

## **national new associate orientation**

### **A G E N D A**

**Monday September 12, 2016**

|                         |  |
|-------------------------|--|
| 8:30 a.m.               | Mix and Mingle   |
| 9:00 a.m. – 10:15 a.m.  | <b>Welcome and Introductions</b><br><b>The New Associate's Guide to Career Success</b><br>Marlene Kane, <i>National Director of Professional Development</i><br>Judith Atwood, <i>Director of Professional Resources</i> |
| 10:15 a.m. – 10:30 a.m. | <b>Break</b>   |
| 10:30 a.m.–11:45 a.m.   | <b>The New Associate's Guide to Docketing:</b><br><b>How to Communicate Value to Our Clients</b><br>Glenn Grenier, <i>Litigation Partner and Master Docketer</i>   |
| 11:45 a.m. – 1:00 p.m.  | <b>Networking Lunch and Discussion</b>   |
| 1:00 p.m. – 2:00 p.m.   | <b>The New Associate's Guide to Avoiding a Malpractice Claim</b><br>Dan MacDonald, <i>General Counsel to McMillan</i>  |
| 2:00 p.m. – 2:15 p.m.   | <b>Break</b>   |
| 2:15 p.m. – 4:45 p.m.   | <b>The New Associate's Guide to Networking</b><br>Susan Sneider, J.D. and Author of <i>A Lawyer's Guide to Networking</i>  |
| 4:45 p.m. – 5:00 p.m.   | <b>Wrap Up</b>   |
| 5:00 p.m.               | <b>Welcome Reception and Dinner</b>  |

## APPENDIX H

### CYBER LIABILITY

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Name of Firm: McMillan LLP

#### 1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

Director of Information Security & Governance

- b) Do you have a Chief Privacy Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

#### 2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?  
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

☐ Data at rest

☒ Data in transit \* only on a client request basis

☐ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)

☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?  
☒ yes ☐ no

- e) Do you require that passwords be regularly updated? ☒ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no

- h) Is the data on your servers encrypted? ☐ yes ☒ no



- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no \* this applies to iPhones and Blackberries only. Samsung phones are not encrypted
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☐ yes ☒ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

### 3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no  
 McMillan is in the final stages of implementing an Information Security Policy and an Incident Response Plan. If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☒ yes ☐ no

### 4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no \*\*McMillan is in the final stages of implementing an Information Security Policy and an Incident Response
- b) Do you advise your lawyers of the risks of using unencrypted email? ☒ yes ☐ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☒ yes ☐ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches? ☒ yes ☐ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? ☒ yes ☐ no



|        |              |                       |                                      |                                     |
|--------|--------------|-----------------------|--------------------------------------|-------------------------------------|
| 51151C | SBROCCHI     | SANDRA DANIELLE       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 27491Q | SCAVONE      | ROBERT MICHAEL        | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 20059R | SCOTT        | THOMAS EDWARD         | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 60607A | SHORE        | ROBERT JONATHAN       | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 20074C | SIMPSON      | JEFFREY BRIAN         | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 32052F | SIWANOWICZ   | HELLEN LINDA          | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 38470E | SLAN         | DAVID EVAN            | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 52402J | ST. BERNARD  | ANDREA MERREL MARION  | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 41773H | STACEY       | CHERYL ANNE           | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 51422J | STEWART      | CATHERINE BRETT       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 55687A | STIRLING     | ANDREW JOHN           | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 22247K | TEMPLETON    | MICHAEL DAVID         | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 47721T | THOMPSON     | MARTIN JAMES          | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 50549R | THOMPSON     | PATRICK ANDREW        | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 21158W | THRING       | DAVID EDWARD          | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 65741W | TOMBS        | ANNA LESLIE ROBINSON  | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 71093R | VALE         | ALLISON SIMA          | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 60647W | VATAVU       | IOANA                 | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 48753E | WAGGOTT      | GEORGE LOVELL         | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 70784H | WAHIDIE      | EHSANULLAH            | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 49942F | WASSER       | LYNDSAY AVRA          | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 43389Q | WATERS       | DONALD MARTIN         | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 51186K | WEERASOORIYA | TUSHARA NILUPUL       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 18002S | WELLS        | PETER EDWARD JOHN     | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 22267V | WENTZELL     | DAVID GERRARD         | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 27552D | WHITCOMBE    | MICHAEL PAUL          | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 29739M | WILKS        | JAMIE MICHAEL         | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 32106J | WILLIS       | PETER ALEXANDER JAMES | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 38069O | WISNER       | ROBERT                | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 68998A | WORTLEY      | STEPHEN DOUGLAS       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 17243F | YAKSICH      | MICKEY MILAN          | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 64681U | ZACKS        | CARA MICHELLE FRANK   | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 60338I | ZHAO         | SANDRA XUE            | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 71118M | ZHOU         | DAVID HAO             | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 63006F | ZHOU         | XUE                   | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 27671I | MAHONEY      | RICHARD JOSEPH        | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |

Number of lawyers practising in the firm: 159

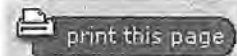
**N - New LAWYER**

New LAWYERS have been added to your Firm listing for 2017. Please carefully review the Real Estate Practice Coverage Option selected for the new LAWYERS.

**Save and Continue**

|        |              |                             |                                      |                                     |
|--------|--------------|-----------------------------|--------------------------------------|-------------------------------------|
| 43433O | LORIMER      | HEATHER LINDSAY             | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 29568S | LYONS        | CAROL VIRGINIA              | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 18865F | MACCHIONE    | LUIGI                       | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 23125F | MACDONALD    | DANIEL VINCENT              | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 45724I | MACNEIL      | JANINE MARIE                | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 48560H | MAEROV       | ADAM CHRISTIE               | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 33797R | MAIDMENT     | JEFFREY SCOTT               | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 68499A | MARFATIA     | MUDASIR                     | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 46028E | MARTIN       | KATHY ABIE                  | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 11037S | MCDERMOTT    | ROBERT KENNETH              | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 49365S | MCILWAIN     | GREGORY JAMES               | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 49366O | MCKECHNIE    | DAVID JAMES GRAEME          | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 25448E | MCNEE        | MARGARET CECILIA            | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 25451S | MCWILLIAM    | BRUCE NORMAN                | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 36821O | MILLER       | TODD ANDREW                 | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 46043M | MIRAKIAN     | SHAHEN-ARAM                 | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 60526C | MIRZA        | AHSAN-UDDIN                 | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 54373S | MOYSA        | GEOFFREY ERIC               | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 29616A | MURPHY       | TIMOTHY JOHN                | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 36442P | MURRAY       | PATRICK WILLIAM             | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 26300E | MUSGROVE     | JAMES BURK                  | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 68519P | NAGASHIMA    | JEFFREY EIGI                | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 66025H | NAMESTNIKOVA | IANA                        | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 62608S | O'HARA       | JONATHAN PETER              | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 40094E | ONN          | ANDREA LYNN                 | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 40600O | OPASHINOV    | MARK                        | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 17892L | PALMAY       | FRANK                       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 49639N | PARLIAMENT   | LISA DIANNE                 | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 10447H | PATERSON     | JOHN ALEXANDER              | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 23510O | PETERSEN     | RONALD STUART               | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 22856R | PHELAN       | PATRICK JOSEPH              | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 70428L | RANKIN       | JEREMY PALMER               | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 27710P | RANKIN       | MICHAEL STEPHEN             | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 70727I | RAY          | LAUREN ELIZABETH            | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 43347C | RICHMOND     | MICHAEL JONATHAN            | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 32435L | RIGBY        | STEPHEN CHARLES ERNEST      | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 35657E | ROGERS       | ROBERT DOUGLAS JEFFREY      | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 21103D | ROSS         | DAVID NORMAN                | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 43165S | ROSTOM       | WAEI MOSTAFA KAMEL<br>AHMED | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 23876Q | ROWLANDS     | WILLIAM ALLAN               | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 66457K | RUDENSKY     | ADRIANA KRISTINE            | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 60583B | SAGAN        | MARIA                       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 63856K | SAMARA       | CAROLINE TAHAN              | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |

|        |                 |                     |                                      |                                     |
|--------|-----------------|---------------------|--------------------------------------|-------------------------------------|
| 28766S | DEBENHAM        | DAVID BISHOP        | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 42013J | DEWAN           | RAJEEV              | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 65857F | DI FRANCESCO    | STEFANIE ANNE       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 21625C | DRABINSKY       | HOWARD MARK         | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 35439F | DUBE            | GEORGES CUDDIHY     | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 23677J | DUFORT          | TERESA MARIE        | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 29846I | DUNLOP          | DAVID ROBERT        | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 31827E | EDMONDSTONE     | DANIEL GEORGE       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 23029W | ELENBAAS        | DAVID STUART        | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 60091H | FELL            | CAITLIN ELIZABETH   | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 22715J | FLYNN-GUGLIETTI | MARY LOUISE         | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 39059I | FORGIONE        | PASQUALE            | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 61495I | FORRISTAL       | MARIE ANNIK         | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 31055F | FRIEDMAN        | ERIC BRAM           | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 45405A | FRIEDMAN        | MICHAEL FRASER      | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 38235F | GARRAH          | CHRISTOPHER JAMES   | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 28429O | GERMANAKOS      | CHRIS NICKOLAS      | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 41681T | GIDDENS         | PETER DOUGLAS       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 19889L | GLASS           | ROBERT EDWARD       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 68423U | GORDON          | SAMANTHA LYNN       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 32272T | GRENIER         | GLENN               | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 28449C | GROOM           | SHARON ELIZABETH    | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 46627R | GROSSMAN        | ROBBIE BRETT        | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 45965G | HALLADAY        | CASEY WILTON        | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 58590T | HAN             | JULIANA             | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 35899V | HANNA           | WILLIAM BRADLEY     | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 44336A | HARRISON        | BRETT GAVIN         | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 61909T | HENNICK         | HILARY ROSE         | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 70000D | HILL            | JENNIFER LYNNE      | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 55549D | HOUSHIDARI      | ANITA               | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 54008B | IRVINE          | CARL GEOFFREY LANE  | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 59514Q | KAROLIA         | SHAHEEN             | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 18484O | KENT            | ANDREW JOHN FRANCIS | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 23096P | KENT            | DAVID WILLIAM       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 65952P | KHOROMI         | MONEY               | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 57155G | KILPATRICK      | SARAH STEPHANIE     | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 50788A | KLINE           | ADAM JEREMY         | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 67818B | KOCZERGINSKI    | MITCHELL SEAN       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 29053C | KOEHNEN         | MARKUS              | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 30203M | KRUPA           | HENRY JOHN          | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 28811M | KUBRICK         | GEOFFREY CHARLES    | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 63416F | LAMBERT         | KYLE MICHAEL        | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 55582H | LEVINE          | JEFFREY ANDREW      | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |



The following LAWYERS are ELIGIBLE for and apply for the Real Estate Practice Coverage option effective January 1, 2017:

Please provide an answer for each member:

| LSUC#  | Last Name      | First Name               | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
|--------|----------------|--------------------------|--------------------------------------|-------------------------------------|
| 61750W | ADAMSON        | ALICE ELINOR CALIE       | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 41811S | ALLEN          | MERVYN DEZONIE ST.CLAVER | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 60346K | AMMERMAN       | DARCY JUNE EDITH         | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 45319L | ANNIBALE       | JASON JOSEPH             | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 43215P | ANTENORE       | ROBERTO ALBINO           | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 19765D | ARCHIBALD      | FRANCIS ARNOLD           | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 68648O | ARMSTRONG      | TAYLEIGH CLAIRE          | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 52754K | ATKINS         | JENNIFER RACHEL          | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 20488J | AVIS           | PAUL JAMES               | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 22813E | BADALI         | GERALD ANTHONY           | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 17332F | BANFAI         | GEZA ROBERT              | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 50965E | BATHGATE       | BENJAMIN MATTHEW         | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 52088A | BEAUDRIE       | GERALD RAYMOND II        | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 41628E | BIRD           | KEITH DANE               | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 66198U | BOODHOO        | MICHELLE DEVI            | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 61781A | BOSHYK         | PAUL DANIEL              | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 63958P | BRANDRETH      | SEAN CASEY               | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 60039L | BRAZIL         | LAURA DRYDEN             | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 66576P | BROWN-OKRUHLIK | STEPHEN JAMES            | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 65436P | BURKE          | CIARON ELISE             | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 31774T | CAMPBELL       | ANDREW NEIL              | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 63582P | CHAD           | JOSHUA IAN               | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 70542N | CHAN           | SZE PUI FLORENCE         | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 39856J | CHAPPLE        | BRUCE ALAN               | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 43890T | CHERTIN        | JASON ALEXANDER          | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 56736M | CHISHOLM       | ADAM DONALD HUNT         | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 29813P | CLIFFORD       | JOHN FREDERICK           | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 26135J | COLLINS        | FRANCIS PAUL             | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 39398E | CONLIN         | CHARLOTTE ELLEN          | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 57582G | COOPER         | RACHEL AMI               | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 70553D | COUGHLIN       | SEAN KENDRICK            | <input checked="" type="radio"/> Yes | <input type="radio"/> No            |
| 15567R | CRANSTON       | ROBERT RUTHERFORD        | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 67466T | CULLEN         | TIMOTHY JOSEPH           | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 67719H | D'SILVA        | DEVINA LILLIAN           | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 24344L | DAECHSEL       | WILLIAM KIP              | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 28388P | DAVIS          | PAUL DESMOND             | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |
| 27262E | DE VUONO       | CARLO GIANNI ANTONY      | <input type="radio"/> Yes            | <input checked="" type="radio"/> No |

The LAWYERS each acknowledge his/her own on-going duty, through to the date of policy inception, to advise LAWPRO in writing of any material changes with respect to their practice circumstances.

☒ Please check this box to evidence your signature for the Warranty Declaration above.

*If you wish to make a further change or correction, click on the appropriate Tab at the top of this form to return to the relevant section of the application. Then Review the application again.*

*Once you have reviewed your completed form and are satisfied that no additional changes need to be made, proceed as follows:*

- *Print a copy of the Application for your records, using the print function in your browser.*
- *E-file your Application by clicking on the 'Submit now' button below.*
- *You will automatically be provided with a confirmation number once you have submitted your form, to verify that you have successfully completed the e-filing process. Record your confirmation number with your printed application.*

**Submit now**

For more information about this form, please contact LAWPRO Customer Service at: 1-800-410-1013, or (416) 598-5899 in Toronto or via e-mail at [service@lawpro.ca](mailto:service@lawpro.ca).

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**11. Third-party payor authorization:**

Please indicate here if you are going to pay using credit card or bank account information belonging to a third party.

No

**12. Instalment Option:**

Lump sum payment by cheque, dated and received by February 7, 2017 (eligible for \$50 plus PST per LAWYER discount).

**16. Mail/fax your premium payment information:**

No

**17. 2017 Invoicing Information:**

I prefer to receive our law firm's 2017 insurance premium invoice by mail.

**CLAIM(S) & POTENTIAL CLAIM(S)**

18. All members and employees of your LAW FIRM should be canvassed to determine if any member or employee of the LAW FIRM is aware of any CLAIM(S) or POTENTIAL CLAIM(S) of which LAWPRO has not been notified under the Law Society of Upper Canada program.

Other than CLAIM(S) and POTENTIAL CLAIM(S) of which LAWPRO has been notified under the Law Society of Upper Canada program, is any present member or employee of the LAW FIRM aware of any CLAIM(S) or POTENTIAL CLAIM(S) that has (have) been or may be made against the LAW FIRM or against any other on whose behalf this Application is submitted?

No

**Filer Information**

19. **Name, Phone and E-mail:** (this information may be shared with the LAWYER(s) on whose behalf you are filing.)

Name: Brittany Moloney

Phone: 416-865-7882

E-mail: brittany.moloney@mcmillan.ca

Your Comments and/or Suggestions:

A confirmation email will be sent to brittany.moloney@mcmillan.ca upon submission.

**Firm Summary Warranty & Signature**

This Declaration shall constitute and form part of the 2017 Application Form for LAWPRO Professional Liability Insurance filed on behalf of each LAWYER in the Law Firm listed in the Member List.

It is understood, warranted and acknowledged that the undersigned LAWYER is authorized to act as agent for the purposes of this insurance on behalf of each of the LAWYERS. The LAWYERS each warrant and acknowledge that the information provided with this Application Form:

- is true, accurate and complete, or where estimates are required, that such estimates are reasonable;
- will be relied upon by LAWPRO in assessing risk, in offering any terms of insurance and in issuing any policy of insurance;
- will be the basis of and form part of any resulting policy of insurance; and
- the options selected on this form are requested to apply for 2017.

LAWYERS not currently carrying LAWPRO professional liability insurance coverage each acknowledge having read the LAWPRO Personal Information Statement for Ontario LAWYERS and PARALEGALS (LICENSEES) (which forms part of this Application Form). The LAWYERS consent to the collection, use and disclosure of personal information in any optional program(s) for which the LAWYERS choose to apply or are to be named as an INSURED/s in, now or in future policy years, in accordance with that Statement.



|        |              |                          |
|--------|--------------|--------------------------|
| 70727I | RAY          | LAUREN ELIZABETH         |
| 43347C | RICHMOND     | MICHAEL JONATHAN         |
| 32435L | RIGBY        | STEPHEN CHARLES ERNEST   |
| 35657E | ROGERS       | ROBERT DOUGLAS JEFFREY   |
| 21103D | ROSS         | DAVID NORMAN             |
| 43165S | ROSTOM       | WAEI MOSTAFA KAMEL AHMED |
| 23876Q | ROWLANDS     | WILLIAM ALLAN            |
| 66457K | RUDENSKY     | ADRIANA KRISTINE         |
| 60583B | SAGAN        | MARIA                    |
| 63856K | SAMARA       | CAROLINE TAHAN           |
| 51151C | SBROCCHI     | SANDRA DANIELLE          |
| 27491Q | SCAVONE      | ROBERT MICHAEL           |
| 20059R | SCOTT        | THOMAS EDWARD            |
| 60607A | SHORE        | ROBERT JONATHAN          |
| 20074C | SIMPSON      | JEFFREY BRIAN            |
| 32052F | SIWANOWICZ   | HELLEN LINDA             |
| 38470E | SLAN         | DAVID EVAN               |
| 52402J | ST. BERNARD  | ANDREA MERREL MARION     |
| 41773H | STACEY       | CHERYL ANNE              |
| 51422J | STEWART      | CATHERINE BRETT          |
| 55687A | STIRLING     | ANDREW JOHN              |
| 22247K | TEMPLETON    | MICHAEL DAVID            |
| 47721T | THOMPSON     | MARTIN JAMES             |
| 50549R | THOMPSON     | PATRICK ANDREW           |
| 21158W | THRING       | DAVID EDWARD             |
| 65741W | TOMBS        | ANNA LESLIE ROBINSON     |
| 71093R | VALE         | ALLISON SIMA             |
| 60647W | VATAVU       | IOANA                    |
| 48753E | WAGGOTT      | GEORGE LOVELL            |
| 70784H | WAHIDIE      | EHSANULLAH               |
| 49942F | WASSER       | LYNDSAY AVRA             |
| 43389Q | WATERS       | DONALD MARTIN            |
| 51186K | WEERASOORIYA | TUSHARA NILUPUL          |
| 18002S | WELLS        | PETER EDWARD JOHN        |
| 22267V | WENTZELL     | DAVID GERRARD            |
| 27552D | WHITCOMBE    | MICHAEL PAUL             |
| 29739M | WILKS        | JAMIE MICHAEL            |
| 32106J | WILLIS       | PETER ALEXANDER JAMES    |
| 38069O | WISNER       | ROBERT                   |
| 68998A | WORTLEY      | STEPHEN DOUGLAS          |
| 17243F | YAKSICH      | MICKEY MILAN             |
| 64681U | ZACKS        | CARA MICHELLE FRANK      |
| 60338I | ZHAO         | SANDRA XUE               |
| 71118M | ZHOU         | DAVID HAO                |
| 63006F | ZHOU         | XUE                      |
| 68071P | DUTA         | VLAD                     |
| 64432U | HUGHES       | TIMOTHY JOHN WILLIAM     |
| 17525C | MCKENNA      | BRUCE ANDREW             |

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Number of LAWYERS practising in the firm: 159

### LAW FIRM's Coverage Options

**7. DEDUCTIBLE Option:**

\$25,000 DEDUCTIBLE applicable to claim expenses, indemnity payments, and/or costs of repairs together

**8. Innocent Party Coverage sublimit:**

\$250,000 per claim/aggregate

**9. Restricted Area of Practice Option: Criminal and/or Immigration Law:**

No

**10. Real Estate Practice Coverage Option:**

Yes

Number of LAWYERS who have REAL ESTATE practice option: 25

### LAW FIRM's Premium Payment Options

|        |                 |                     |
|--------|-----------------|---------------------|
| 21625C | DRABINSKY       | HOWARD MARK         |
| 35439F | DUBE            | GEORGES CUDDIHY     |
| 23677J | DUFORT          | TERESA MARIE        |
| 29846I | DUNLOP          | DAVID ROBERT        |
| 31827E | EDMONDSTONE     | DANIEL GEORGE       |
| 23029W | ELENBAAS        | DAVID STUART        |
| 60091H | FELL            | CAITLIN ELIZABETH   |
| 22715J | FLYNN-GUGLIETTI | MARY LOUISE         |
| 39059I | FORGIONE        | PASQUALE            |
| 61495I | FORRISTAL       | MARIE ANNIK         |
| 31055F | FRIEDMAN        | ERIC BRAM           |
| 45405A | FRIEDMAN        | MICHAEL FRASER      |
| 38235F | GARRAH          | CHRISTOPHER JAMES   |
| 28429O | GERMANAKOS      | CHRIS NICKOLAS      |
| 41681T | GIDDENS         | PETER DOUGLAS       |
| 19889L | GLASS           | ROBERT EDWARD       |
| 68423U | GORDON          | SAMANTHA LYNN       |
| 32272T | GRENIER         | GLENN               |
| 28449C | GROOM           | SHARON ELIZABETH    |
| 46627R | GROSSMAN        | ROBBIE BRETT        |
| 45965G | HALLADAY        | CASEY WILTON        |
| 58590T | HAN             | JULIANA             |
| 35899V | HANNA           | WILLIAM BRADLEY     |
| 44336A | HARRISON        | BRETT GAVIN         |
| 61909T | HENNICK         | HILARY ROSE         |
| 70000D | HILL            | JENNIFER LYNNE      |
| 55549D | HOUSHIDARI      | ANITA               |
| 54008B | IRVINE          | CARL GEOFFREY LANE  |
| 59514Q | KAROLIA         | SHAHEEN             |
| 18484O | KENT            | ANDREW JOHN FRANCIS |
| 23096P | KENT            | DAVID WILLIAM       |
| 65952P | KHOROMI         | MONEY               |
| 57155G | KILPATRICK      | SARAH STEPHANIE     |
| 50788A | KLINE           | ADAM JEREMY         |
| 67818B | KOCZERGINSKI    | MITCHELL SEAN       |
| 29053C | KOEHNEN         | MARKUS              |
| 30203M | KRUPA           | HENRY JOHN          |
| 28811M | KUBRICK         | GEOFFREY CHARLES    |
| 63416F | LAMBERT         | KYLE MICHAEL        |
| 55582H | LEVINE          | JEFFREY ANDREW      |
| 43433O | LORIMER         | HEATHER LINDSAY     |
| 29568S | LYONS           | CAROL VIRGINIA      |
| 18865F | MACCHIONE       | LUIGI               |
| 23125F | MACDONALD       | DANIEL VINCENT      |
| 45724I | MACNEIL         | JANINE MARIE        |
| 48560H | MAEROV          | ADAM CHRISTIE       |
| 27671I | MAHONEY         | RICHARD JOSEPH      |
| 33797R | MAIDMENT        | JEFFREY SCOTT       |
| 68499A | MARFATIA        | MUDASIR             |
| 46028E | MARTIN          | KATHY ABIE          |
| 11037S | MCDERMOTT       | ROBERT KENNETH      |
| 49365S | MCILWAIN        | GREGORY JAMES       |
| 49366O | MCKECHNIE       | DAVID JAMES GRAEME  |
| 25446E | MCNEE           | MARGARET CECILIA    |
| 25451S | MCWILLIAM       | BRUCE NORMAN        |
| 36821O | MILLER          | TODD ANDREW         |
| 46043M | MIRAKIAN        | SHAHEN-ARAM         |
| 60526C | MIRZA           | AHSAN-UDDIN         |
| 54373S | MOYSA           | GEOFFREY ERIC       |
| 29616A | MURPHY          | TIMOTHY JOHN        |
| 36442P | MURRAY          | PATRICK WILLIAM     |
| 26300E | MUSGROVE        | JAMES BURK          |
| 68519P | NAGASHIMA       | JEFFREY EIGI        |
| 66025H | NAMESTNIKOVA    | IANA                |
| 62608S | O'HARA          | JONATHAN PETER      |
| 40094E | ONN             | ANDREA LYNN         |
| 40600O | OPASHINOV       | MARK                |
| 17892L | PALMAY          | FRANK               |
| 49639N | PARLIAMENT      | LISA DIANNE         |
| 10447H | PATERSON        | JOHN ALEXANDER      |
| 23510O | PETERSEN        | RONALD STUART       |
| 22856R | PHELAN          | PATRICK JOSEPH      |
| 70428L | RANKIN          | JEREMY PALMER       |
| 27710P | RANKIN          | MICHAEL STEPHEN     |

N U

**5. Excess liability insurance (optional):**

Indicate the name of the insurer and excess liability insurance limits. Limits are in addition to the required \$1 million per CLAIM/\$2 million in the aggregate primary LAWPRO policy limits.

**Name of insurer:**

Canadian Lawyers' Liability Assurance Society

**Other insurer:** Canadian Lawyers' Liability Assurance Society

**Total Excess Liability Limits:**

per CLAIM/aggregate above LAWPRO POLICY limits (for all Excess coverage carried)

\$ 249 Million per claim

\$ 249 Million aggregate

**6. Volume Billings:**

Indicate the **Average Gross Billings per LAWYER** in the LAW FIRM.

**Average Gross Billings** (select one):

AV14 - Average Gross Billings: over \$500,000

**Firm Member List**

Listed below are the names and LSUC numbers for all partners, associates and/or employed LAWYERS in the LAW FIRM, who are to be included in this application for Professional Liability insurance.

**R** - LAWYER Removed; **N** - New LAWYER; **U** - LAWYER info Updated.

| LSUC#  | Last Name      | First Name               | Status |
|--------|----------------|--------------------------|--------|
| 61750W | ADAMSON        | ALICE ELINOR CALIE       |        |
| 41811S | ALLEN          | MERVYN DEZONIE ST.CLAVER |        |
| 60346K | AMMERMAN       | DARCY JUNE EDITH         |        |
| 45319L | ANNIBALE       | JASON JOSEPH             |        |
| 43215P | ANTENORE       | ROBERTO ALBINO           |        |
| 19765D | ARCHIBALD      | FRANCIS ARNOLD           |        |
| 68648O | ARMSTRONG      | TAYLEIGH CLAIRE          |        |
| 52754K | ATKINS         | JENNIFER RACHEL          |        |
| 20488J | AVIS           | PAUL JAMES               |        |
| 22613E | BADALI         | GERALD ANTHONY           |        |
| 17332F | BANFAI         | GEZA ROBERT              |        |
| 50965E | BATHGATE       | BENJAMIN MATTHEW         |        |
| 52088A | BEAUDRIE       | GERALD RAYMOND II        |        |
| 41628E | BIRD           | KEITH DANE               |        |
| 66196U | BOODHOO        | MICHELLE DEVI            |        |
| 61781A | BOSHYK         | PAUL DANIEL              |        |
| 63958P | BRANDRETH      | SEAN CASEY               |        |
| 60039L | BRAZIL         | LAURA DRYDEN             |        |
| 66576P | BROWN-OKRUHLIK | STEPHEN JAMES            |        |
| 65436P | BURKE          | CIARON ELISE             |        |
| 31774T | CAMPBELL       | ANDREW NEIL              |        |
| 63582P | CHAD           | JOSHUA IAN               |        |
| 70542N | CHAN           | SZE PUI FLORENCE         |        |
| 39856J | CHAPPLE        | BRUCE ALAN               |        |
| 43890T | CHERTIN        | JASON ALEXANDER          |        |
| 56736M | CHISHOLM       | ADAM DONALD HUNT         |        |
| 29813P | CLIFFORD       | JOHN FREDERICK           |        |
| 26135J | COLLINS        | FRANCIS PAUL             |        |
| 39398E | CONLIN         | CHARLOTTE ELLEN          |        |
| 57582G | COOPER         | RACHEL AMI               |        |
| 70553D | COUGHLIN       | SEAN KENDRICK            |        |
| 15567R | CRANSTON       | ROBERT RUTHERFORD        |        |
| 67466T | CULLEN         | TIMOTHY JOSEPH           |        |
| 67719H | D'SILVA        | DEVINA LILLIAN           |        |
| 24344L | DAECHSEL       | WILLIAM KIP              |        |
| 28388P | DAVIS          | PAUL DESMOND             |        |
| 27262E | DE VUONO       | CARLO GIANNI ANTONY      |        |
| 28766S | DEBENHAM       | DAVID BISHOP             |        |
| 42013J | DEWAN          | RAJEEV                   |        |
| 65857F | DI FRANCESCO   | STEFANIE ANNE            |        |


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**NOTE:** For the purposes of this Application, **LAWYER** means each person who holds a Class L1 licence pursuant to the by-laws of the *Law Society Act*.

**Please review carefully.** To make further changes or corrections before submitting, click on the Tabbed section of the application. Press the 'Submit now' button at the end of this review to complete the online filing.

**A964520 MCMILLAN LLP**

|                              |                                  |                             |                                  |                                     |  |
|------------------------------|----------------------------------|-----------------------------|----------------------------------|-------------------------------------|--|
| <a href="#">Instructions</a> | <a href="#">Firm Information</a> | <a href="#">Member List</a> | <a href="#">Coverage Options</a> | <a href="#">Payment Information</a> | <a href="#">Warranty &amp; Signature</a> |
|------------------------------|----------------------------------|-----------------------------|----------------------------------|-------------------------------------|--|

#### General LAW FIRM Information

- 1. Name of LAW FIRM:** MCMILLAN LLP

**Address:** #4400 - 181 BAY ST  
BROOKFIELD PLACE  
TORONTO, ON  
M5J 2T3

**Phone Number:** 416-865-7000

**Fax Number:** 416-865-7048

**E-mail address:**

**Firm Website(s):** [www.mcmillan.ca](http://www.mcmillan.ca)

**Managing Partner/LAWYER:** 23677J – TERESA MARIE DUFORT

**Office Admin./Manager:** NISHA RIDER

**Claims Contact:** 23125F – DANIEL VINCENT MACDONALD

**CPD Contact:** MARLENE KANE

**Nature of Law Practice:** Partnership - LLP
- 2. Contact name and title:**

Indicate the preferred firm contact for insurance matters.

**Name:** Dan MacDonald

**Title:** General Counsel/Chief Risk Officer
- 3. Number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in LAW FIRM in Ontario:**

Indicate the current number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in the LAW FIRM in Ontario.

159
- 4. Number of staff in LAW FIRM in Ontario who are not LAWYERS:**

Indicate the current number of staff in the LAW FIRM in Ontario who are not LAWYERS. Include all staff who provide law-related services who are directly or indirectly employed, whether through management, other companies or otherwise, by the LAW FIRM or by any of the LAWYERS in the LAW FIRM or any spouse including those EMPLOYEES who are casual or part-time EMPLOYEES. Independent contractors such as bookkeepers, PARALEGALS, researchers, etc. who are not EMPLOYEES of the LAW FIRM should not be included.

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APPENDIX I

2017 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND  
EXEMPTION FORM SUBMITTED TO LAWPRO

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Name of Firm: McMillan LLP